



## International College for Personal and Professional Development

An Coláiste Idirnáisiúnta d’Fhorbairt Pearsanta agus Proifisiúnta

# Quality Assurance Manual

## Lámhleabhar Dearbhaithe Cailíochta

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<b>Author</b>	Registrar
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## SECTION A GOVERNANCE AND STRATEGY

### Chapter 1 Introduction to the International College for Personal and Professional Development (ICPPD)

The International College for Personal and Professional Development (ICPPD), (<https://icppd.com/>) was founded in 2009, by Christine Moran and Tom Moran having evolved out of New Beginnings Counselling Ltd, a professional, compassionate, confidential not-for-profit counselling service provider to individuals, couples and other professionals in the Midlands area for over fifteen years. New Beginnings Counselling Ltd had traditionally provided personal development courses, therapeutic workshops, training for trainers, residential retreats, and other in-house training which attracted participants from all over Ireland. The company had also received invitations from community and statutory organisations to deliver training within these organisations both locally and nationally.

ICPPD was founded to further develop these training and education services, while allowing New Beginnings to focus on offering counselling and supervision services. ICPPD has become a leader in the field of personal and professional development for members of the public and for professionals in the caring and helping arena.

ICPPD adheres to a similar ethos as established by its sister company, rooted in the experience of its founders, and holds at its heart - respect, integrity, inclusivity, ethical and professional practice. The College welcomes the presence, participation and contribution of programme participants who value these attitudes and believes that ICPPD attracts people who appreciate a holistic perspective to helping and healing. Courses at ICPPD are integrative and include a body mind spirit philosophy.

The philosophy of the College embraces a holistic approach to the person. The programmes offered are deeply rooted in the humanistic tradition and a commitment to support learners develop as whole persons - body, mind and spirit in order to achieve their full potential. The focus is on meeting the learner on a physical, cognitive, emotional, spiritual and behavioural level. Respect, integrity, inclusivity and professional and ethical behaviour underpin and support this philosophy.

ICPPD provides an academic framework in personal and professional development that is designed to meet the future statutory regulation requirements for psychological therapies in Ireland. The purpose of offering this framework is to build capacity in the knowledge base of psychotherapeutic and helping practices and inquiry at different levels. The College's programmes are also suited to other professionals and helpers right across the area of caring and support.

ICPPD has positioned itself as a premier provider of training and education through the careful selection of programmes, key personnel, background research and development activities, emphasis on learner support and development, and attention to detail.

#### 1.1 ICPPD's Mission and Strategic Plan

The International College for Personal and Professional Development's (ICPPD) mission is to achieve, and maintain, a standard for excellence in our programmes and learners, and in our staff as educators, through a combined commitment to a person-centred, holistic approach for the individual learner. ICPPD sets out to:

- establish a dynamic and engaging academic community
- foster a climate of critical thinking, ethical deliberation and responsive action



- provide an intellectual climate and a supportive atmosphere for personal and professional development to a diverse learner body
- develop an awareness of the need for life-long learning and the value of continuing to seek opportunities for personal and professional growth
- enable learners to develop their fullest potential through a transformation process that challenges the learner intellectually, emotionally and professionally
- promote personal growth by providing experiences that encourage self-examination and an openness to the perspective of others
- emphasise the appreciation and celebration of the similarities and differences of others.

The College seeks to achieve this mission by providing

- flexible, high quality programmes of education and training in accordance with the [Association for Higher Education Access and Disability \(AHEAD\) Charter for Inclusive Learning](#)
- tutors regarded as leading educators, clinicians and researchers in their chosen areas
- an integrated approach whereby the learner is understood to be an active participant in the relationship between the College, the tutor and the learner
- an engaging learning and teaching environment.

ICPPD's Strategic Plan is formulated and implemented by the Board of Directors.

## 1.2 Guiding Principles at ICPPD

In the conduct of its operation, ICPPD applies the following guiding principles for behaviour:

- **Inclusiveness:** Creating a culture where learners feel valued and respected by the College and where the input of all stakeholders is sought and welcomed in relation to College programmes and developmental activities.
- **Openness:** Transparency in the purpose, work and methods of the College and in all information relating to College activities.
- **Application:** Developing and delivering training courses that are directly relevant to Learners.
- **Learner-Centredness:** The learner is viewed as the primary stakeholder and all College activities are designed with the learner at the core.
- **Responsibility:** Ensuring that the College is using its resources effectively and with probity; conducting its work with integrity.
- **Accessibility:** Ensuring that learners have easy access to College personnel including management and ancillary staff as requested or needed.

## 1.3 Holistic Ethos at ICPPD

The holistic ethos at ICPPD is underpinned by the idea of interconnectedness – the interconnectedness within the person of the mind, body and spirit and the connectivity of the person with nature/environment.

In summarising Dunn (2019), there are several common principles of holism, which include – Connection, Interdependence, Linkages and Integration, Reciprocity and Mutuality, Wholeness, Balance, and Harmony, Change and Social Change. This is reflective of the description of the ICPPD logo which is designed to reflect the holistic philosophy of the college. The logo is the circle in which are seven interlocking discs. The circle illustrates and symbolises the idea of cycles, a continuous journey. The



interlocking discs depict the holistic emblem of connection, inclusion, nurturance, empowerment, integration, community, and interconnectedness. It also represents the many aspects of the self and the concept of balance and wholeness, and more. This supports the holistic ethos and philosophy of ICPPD.

### 1.3.1 Holistic Counselling and Psychotherapy

The aim of holistic counselling and psychotherapy is to appreciate the complexity and context of the unique lived experience of each person including experiences related to “developmental and acute trauma, social privilege, bias, and oppression, as well as issues pertaining to spiritual meaning, purpose, vision, creativity, and non-normative states of consciousness” (Maller et al. in Dunn, 2019: 85).

The Holistic Approach underpins all programmes and is specifically woven into the content of some programmes:

- BA (Honours) in Holistic Counselling and Psychotherapy
- Introduction to Holistic Counselling and Psychotherapy
- Diploma in Supervision Across Professions – a Holistic Approach

## 1.4 Policy for Quality Assurance

The Standards and Guidelines for Quality Assurance in the European Higher Education Area (ESG) states that *‘Institutions should have a policy for quality assurance that is made public and forms part of their strategic management. Internal stakeholders should develop and implement this policy through appropriate structures and processes, while involving external stakeholders.’*

*Part 3* of the Qualifications and Quality Assurance (Education and Training) Act 2012 requires providers to establish quality assurance procedures and to agree these procedures with Quality and Qualifications Ireland (QQI). The quality assurance framework within ICPPD applies the ESG as its primary quality assurance standard, and is implemented in accordance with QQI’s Quality Assurance (QA) Guidelines

- Core Statutory Quality Assurance (QA) Guidelines: Statutory QA Guidelines developed by QQI for use by all Providers (April 2016)
- Sector Specific Quality Assurance (QA) Guidelines: Statutory QA Guidelines developed by QQI for Independent/ Private Providers coming to QQI on a Voluntary Basis (April 2016)
- [QQI Policy on Quality Assurance Guidelines](#)

This Quality Assurance Manual outlines the College’s quality policies and practices under the 10 areas identified in the ESG, namely:

1. Policy for Quality Assurance (*to include Organisation and Governance*)
2. Design and Approval of Programmes
3. Learner-Centred Learning, Teaching and Assessment
4. Learner Admission, Progression, Recognition and Certification
5. Teaching Staff
6. Learning Resources and Learner Support
7. Information Management



8. Public Information
9. On-Going Monitoring and Periodic Review of Programmes
10. Cyclical External Quality Assurance

This Quality Assurance Manual, and associated documentation, has been developed by ICPPD to clearly articulate the College's approach to supporting quality assured academic practice. The Manual sets out the agreed policy and procedures in operation within the College, to demonstrate compliance with *Part 3* of the [Qualifications and Quality Assurance \(Education and Training\) Act 2012](#), and the *ESG* requirements, and defines how this policy is communicated, implemented, managed, measured, and evaluated.

The manual also describes how continual improvement is supported within the College and defines the key objectives and relevant performance indicators which will be used within the College to measure the success. While every effort has been made to ensure that the practices identified and described have been grounded in best practice, it is envisaged that these systems will develop with testing through practice and mature reflection within the College.

All ICPPD activities are subject to the College's quality assurance policies and procedures including, but not limited to -

- the identification and development of new programmes/courses
- elements of ICPPD instructional models
  - workshops, tutorials, seminars, lectures and retreats
  - learner academic and pastoral support
  - assessment procedures
- consultation with learners, stakeholders and representative bodies
- staff development and support
- stakeholder involvement
- management and administration
- equipment and facilities (and outreach)
- ancillary College activities.

These processes are outlined and described within this manual and will be modified to meet the requirements of QQI when/as required.

The feedback loops which are being engaged to inform, modify, and improve the quality and standards of the ICPPD academic and training programme provision are also outlined. These mechanisms have been implemented on a per module basis and will broaden in their approach as the programme portfolio and learner numbers increase within the College.

The Quality Assurance Manual and associated documentation have been developed through the College's academic and management approval processes, with final approval through the Academic Board. These academic and management committees have been charged with responsibility to continue to evolve these processes with the evolution of the College.

All policies and procedures are well-documented, and they are accessible and routinely communicated to staff, learners, and other stakeholders. The current small scale of operation has facilitated this process to date; however the College has developed technology-enabled communication systems which will lend themselves readily to scale-up, and to support remote access/off-site delivery.

The implementation of a successful quality assurance system within ICPPD is evidenced through self-evaluation, external review, and the transfer of information to/from stakeholders, enhancing public confidence in the College's higher education and training provision.



The College aims to deliver high quality standards in all aspects of its functions and conducts effective quality process review as part of on-going organisational practice.

#### 1.4.1 Audit/Review of Quality Assurance Policy

Formal reviews of all quality procedures take place on an annual basis. The QA and Enhancement committee has responsibility to ensure that all output from the review process is reported to the Academic Board, and for implementing appropriate action arising from these reviews. ICPPD endeavours to continuously review the process on an annual basis so that the best practice is always applied to Quality Assurance. This review will be conducted by the QA and Enhancement committee.



## Chapter 2 Organisation and Governance

### 2.1 Overview of ICPPD Management Boards

ICPPD is managed financially, academically and professionally through a number of roles, and a series of boards/committees, which have been established within the College for that purpose. The Board of Directors is responsible for the overall management and strategic development of the College as a whole while the Executive Management Committee has responsibility for the day-to-day operational and financial concerns of the College. The Academic Board is the most senior academic authority within ICPPD. The governance structures are diagrammatically represented in **Figure 2.1** and **Figures 2.2**.

The roles and responsibilities each of these individuals/groups in the overall management and strategic development of the College are defined in the following sections of this chapter. ICPPD complies with all relevant legislation, including that covering freedom of information; data protection; safety, health and welfare; employment; and equality legislation, in the performance of its operation.



Figure 2.1: ICPPD Organisation Chart – Boards and Committees

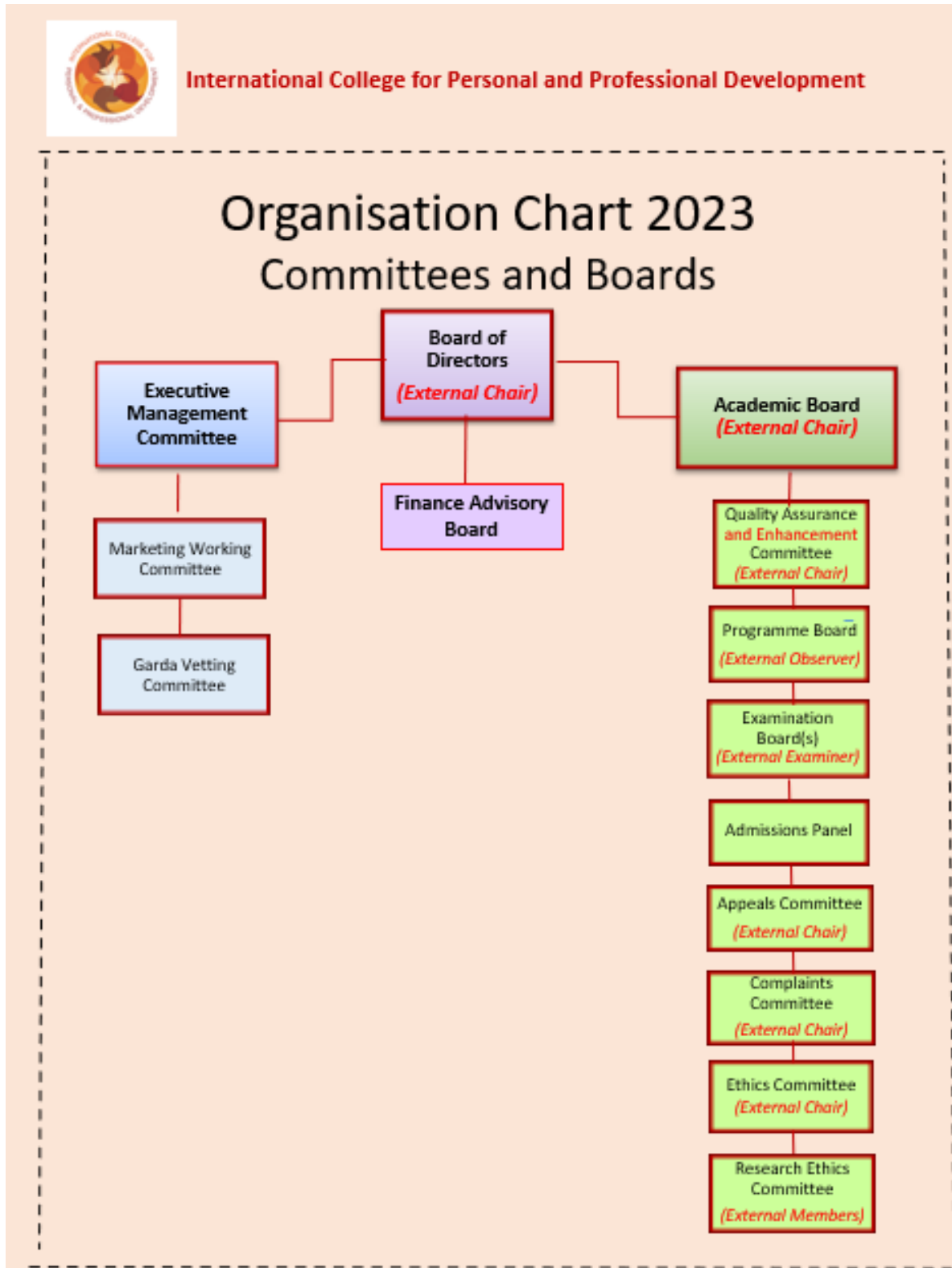
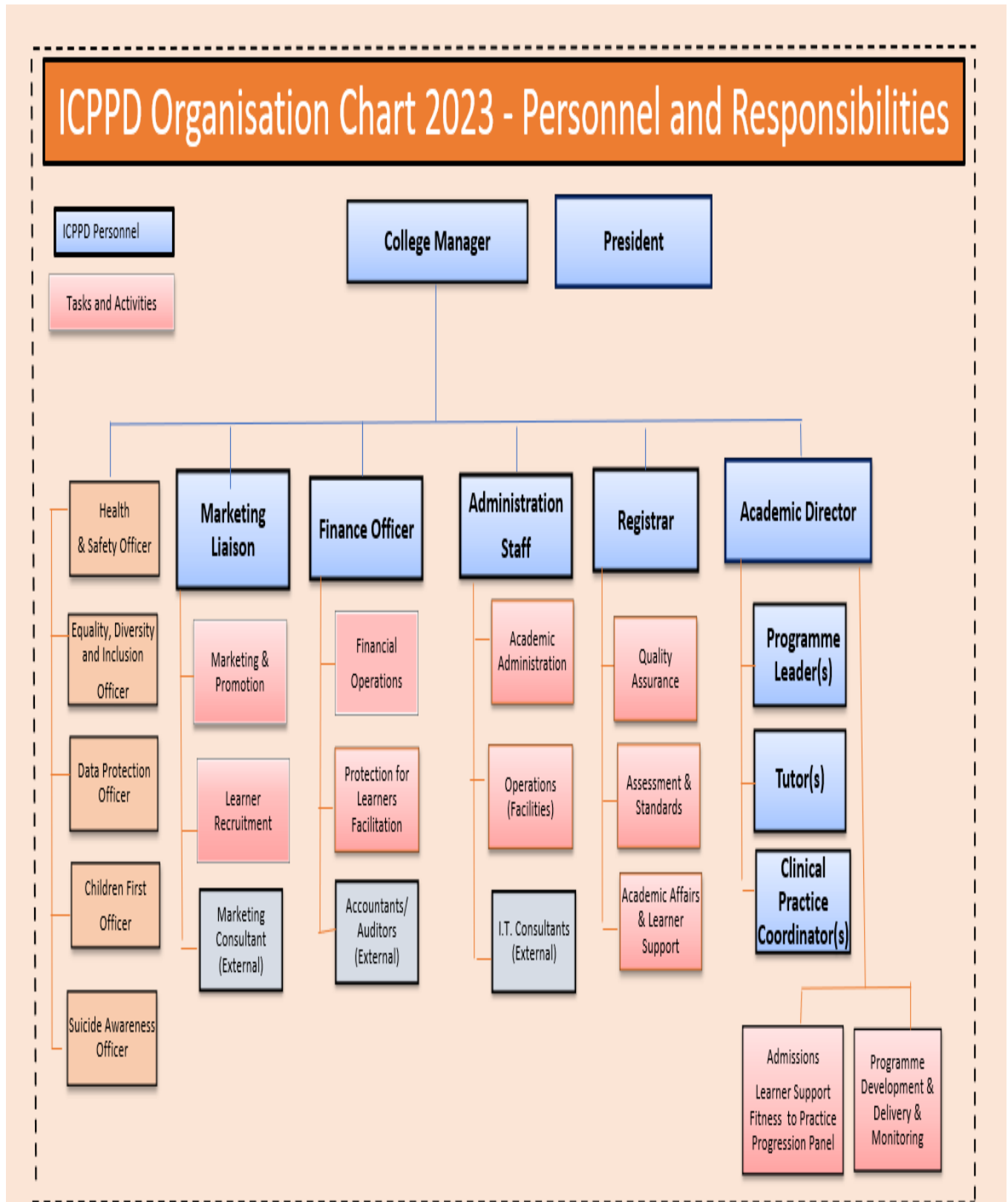




Figure 2.2: ICPPD Organisation Chart – Personnel and Responsibilities





## 2.2 Terms of Reference for Boards and Committees

### 2.2.1 Terms of Reference for Board of Directors

Membership	<p>Chair</p> <p>Executive Directors</p> <p>Non-Executive Directors</p> <p>The Finance Officer acts as minutes' secretary to this Board.</p>
Chair	Non-Executive Director
Remit / Function	<p>The Board of Directors is appointed to act on behalf of the shareholders to oversee the day-to-day financial affairs of the business and provides the company (ICPPD) with strategic direction. The Board of Directors is responsible for setting values and standards within ICPPD through its decisions regarding strategy, policy and internal control systems. It is the responsibility of the board to ensure that the College fulfils its mission, and in doing so, sets the overall policy objectives. The objects of the company have been defined in the Memorandum of Association and regulations are laid out in the Articles of Association.</p>
Breadth of Responsibility	<p>ICPPD's Board of Directors maintains effective corporate governance. It has authority over the Academic Board and the Executive Management Committee.</p> <p>The Board of Directors has reserved functions and so is not involved in the delivery of the managerial functions of the College. Its principal function is the management and control of the financial affairs and property of the College and has overall responsibility for approving ICPPD financial and academic policy. The Board of Directors focuses on the overall direction and strategic and financial management of the College and delegates responsibility for day-to-day management to the Chief Executive Officer.</p> <p>Items falling within the Board's remit include approval of all major changes to the overall policy and nature of the College, the annual audited accounts and annual estimates, and approval of future plans, including acquisitions and disposals, major investments and capital projects, treasury and risk management policy, and approval of major contracts. It also ensures that ICPPD is fulfilling its strategic purposes, operating in accordance with its statutory obligations and that appropriate reporting systems are in place to support it in meeting its responsibilities.</p>
Quorum	50%
Agenda	Set by Chair
Frequency of Meetings	Monthly

### 2.2.2 Terms of Reference for Academic Board

Membership	<b><i>Nominated/appointed members, from whom the Chair is nominated.</i></b>
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	<p>External Advisors (Academic) (3),          ICPPD Academic Staff Members (active in the field of counselling/psychotherapy) (2)          Learner Representatives (2),          Public Interest (Community/Employer) Representative (1),          Industry Representative (1)          Academic Director          Registrar</p> <p>Members of the Academic Board are appointed for a period of two years. The learner representatives are appointed on an annual basis. Members are eligible for re-appointment at the expiry of their terms of office.</p> <p>The President is an ex-officio member of Academic Board and may attend meetings in an observational role and does not sit on sub-committees.</p> <p>An Administration Staff member acts as minutes' secretary to this Board.</p>
Chair	External Academic member with significant Higher Education experience.
Remit / Function	<p>The Academic Board is the most senior academic authority within ICPPD, with responsibility for the College's strategic academic direction, policy and standards.</p> <p>In particular, the Academic Board considers and advises the Board of Directors on matters relating to ICPPD programmes – specifically:</p> <ul style="list-style-type: none"> <li>- the educational character and objectives of the College</li> <li>- the academic elements of the Strategic Plan</li> <li>- academic regulations and policy</li> <li>- safeguarding Academic Integrity in all College activities</li> <li>- programmes development</li> <li>- assessment policy, practices and procedures</li> <li>- agreements with partners</li> <li>- communications with professional and awarding bodies</li> <li>- institution-wide self-reviews and evaluations.</li> </ul> <p>Academic Board advises the Board of Directors on the development of ICPPD education strategy and maintains a high-level of oversight of this strategy and its implementation within the College, including policy development and effectiveness review. The Academic Board ensures that ICPPD initiatives are undertaken within an appropriate framework of academic standards, policies, and processes, and to test these initiatives against them.</p>
Breadth of Responsibility	<p>All programmes run by College (and any college activity which may impact on these programmes).</p> <p>The Academic Board</p> <ul style="list-style-type: none"> <li>- ensures a major review of all programmes is conducted at least once every five years, while facilitating input from stakeholders such as the community,</li> </ul>

	<p>industry, training organisations, professional providers and other education providers.</p> <ul style="list-style-type: none"> <li>- monitors all courses each year through an agreed set of performance indicators and considers end-of-year course reports in relation to all ICPPD programmes.</li> <li>- approves the academic aspects of all documentation, including programme development proposals, for submission to external accreditation, validation and/or audit agencies.</li> <li>- is accountable for the development and on-going review of academic quality assurance measures and academic standards, and for ensuring compliance with its policies and procedure.</li> <li>- ensures that ICPPD policies for access, transfer and progression; admission; assessments, examinations and awards; and staff development are fair, equitable and consistent.</li> <li>- reports the outcomes of all reviews and propose actions to the Board of Directors or nominated committee.</li> <li>- advises on the implementation of continuous improvement and national and international benchmarking.</li> <li>- has an important role in the development of policies that promote possible applied research opportunities, and particularly professional practice research, at ICPPD.</li> <li>- has an over-arching responsibility to ensure that all programmes, wherever delivered, are of comparable standards to those delivered at ICPPD's premises</li> <li>- has oversight of disciplinary processes</li> </ul>
Reporting Responsibility	The Academic Board makes reports to the Board of Directors in relation to all aspects of academic governance at the end of each academic year, but may be requested to report more frequently, on an ad hoc basis.
Quorum	50%
Agenda	Set by the Chair
Frequency of Meetings	3 times per year Sub-Committee – ad-hoc basis as required

### 2.2.3 Terms of Reference for Executive Management Committee

Membership	<p>Chair of Board of Directors</p> <p>College Manager</p> <p>Academic Director</p> <p>Registrar</p> <p>The President may attend meetings in an observational role.</p>
Chair	College Manager
Remit /	The role of the ICPPD Executive Management Committee is to ensure effective coordination and integration of all aspects of academic management and

Function	operations throughout ICPPD. An important function of the Executive Management Committee is the establishment of a shared culture, shared values and a shared understanding of roles throughout ICPPD.
Breadth of Responsibility	<p>In the performance of its duties, the Executive Management Committee supports:</p> <ul style="list-style-type: none"> <li>- review and confirmation of academic objectives, plans, priorities and standards to support the mission of the College</li> <li>- clarification of executive responsibilities for implementation of plans</li> <li>- monitoring academic management and operations throughout ICPPD, including review of operational reports and monitoring operational performance</li> <li>- monitoring academic quality and standards and promoting standards of excellence</li> <li>- monitoring academic developments and implementation of special projects</li> <li>- review of academic and operational issues, agreeing appropriate responses and ensuring that corrective action is taken in a timely and effective manner</li> <li>- developing and supporting effective cooperation between members, and effective liaison between staff and Learners</li> <li>- approval of plans and proposals, as and when appropriate, for consideration by internal and external partners and collaborators</li> <li>- identifying and implementing the staff development policy.</li> </ul>
Reporting Responsibility	The activities which routinely are linked to the operation of the College are reported to the Board of Directors through the College Manager.
Quorum	50%
Agenda	Set by Chair
Frequency of Meetings	Monthly

#### 2.2.4 Terms of Reference for Finance Advisory Board

Membership	Chair of Board of Directors, President, one other director
Remit / Function	A sub-committee of the Board of Directors nominated to examine in detail aspects of financial budgets and accounts
Breadth of Responsibility	The Finance Advisory Board is responsible for exploration and support of the accounting processes in line with strategic plans. The responsibility of the Finance Advisory Board is to scrutinise and advise on precise financial details in line with the overall financial plans and proposals of the Board of Directors.
Reporting Responsibility	Board of Directors
Quorum	N/A
Agenda	Set by Chair



Frequency of Meetings	Ad hoc
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### 2.2.5 Terms of Reference for Programme Boards

Membership	<p>Academic Director (Chairperson)</p> <p>Registrar</p> <p>Programme Leaders</p> <p>Programme Tutors</p> <p>Learner Representatives</p> <p>Co-opted members, where/as appropriate (IACP External Observer).</p> <p>The Academic Director and Registrar are ex officio members of the Programme Board(s).</p> <p>The President may attend meetings in an observational role.</p> <p>A designated administration staff member acts as secretary to Programme Boards.</p>
Chair	Academic Director
Remit / Function	<p>Programme Boards are operational committees constituted per programme (including all levels on a programme) which support the relevance and quality of the curriculum through a process of feedback and periodic review, consistent with the academic calendar. Leadership and responsibility for effective Programme Boards are under the aegis of the Academic Director.</p>
Breadth of Responsibility	<p>A Programme Board</p> <ul style="list-style-type: none"> <li>- reviews all matters relating to the provision and conduct of the academic programme</li> <li>- deliberates on academic policy, maintenance of standards, and administration of the relevant programme(s), with particular focus on consistency across delivery sites</li> <li>- ensures consistency in the quality of tutor feedback across modules, learner cohorts and centres</li> <li>- reviews/monitors learner performance at each meeting and advises on appropriate corrective measures where/as required</li> <li>- encourages staff to reflect on their teaching practices and on the quality of the learner experience, and consider relevant seminars/conferences</li> </ul>

	<ul style="list-style-type: none"> <li>- ensures that the aims and objectives of the course are clearly defined, and examines progress towards these</li> <li>- reviews detailed syllabi, ensures co-ordination across teaching programmes, and reviews arrangements for teaching, learning, assessment, examination and evaluation, access, transfer and progression</li> <li>- advises on relevant resources: timetables, staff, facilities (on-site and hired), academic supports, and learner scheduling and workload</li> <li>- prepares and reviews documentation to support institutional and programmatic reviews/monitoring processes</li> <li>- discusses issues relevant to liaison with relevant businesses and professional bodies, and appropriate client work sessions</li> <li>- reports annually to the Academic Board (in particular through the preparation of the annual programme report)</li> <li>- plans promotion of programme and content of programme publicity material, in consultation with the College's marketing function</li> <li>- liaises with, and obtains feedback from tutoring staff regarding any College or other developments relevant to syllabuses and examinations</li> <li>- proof-reads and peer-reviews assessment material</li> <li>- determines and implements appropriate actions for issues identified in the external examiners report(s).</li> </ul>
Reporting Responsibility	Programme Boards submit an annual report to the Academic Board at the end of each academic year, but may be requested to report more frequently, on an <i>ad hoc</i> basis.
Quorum	50%
Agenda	Set by Chair
Frequency of Meetings	Twice per year for full board including learner representatives Twice per year for Faculty Members

### 2.2.6 Terms of Reference for Examination Board

Membership	Registrar Academic Director Programme Leaders Programme Tutors External Examiner(s) Administration Staff  The President may attend meetings in an observational role.
Chair	Registrar
Remit / Function	An Examination Board is established for each programme for which the College conducts assessment of learners, on a bi-yearly basis. The composition of the Examination Board is determined by and in accordance ICPPD procedures

	<p>which have been based on current regulations and requirements of the relevant validating body, where appropriate. The number and composition of individual Examination Board varies depending on the nature of the academic programme.</p> <p>The Examination Board considers and ratifies the results of assessments conducted for the relevant programmes.</p> <p>Matters to be discussed by the Examination Board includes:</p> <ul style="list-style-type: none"> <li>• Determining if learners have been appropriately graded and classified.</li> <li>• Consideration of borderline cases</li> <li>• Determination of eligibility for progression</li> </ul>
Reporting Responsibility	Reporting to Academic Board
Quorum	50%
Agenda	Set by the Chair
Frequency of Meetings	Twice yearly – Summer and Autumn. Dates set at start of academic year.

### 2.2.7 Terms of Reference for Quality Assurance and Enhancement Committee

Membership	External QA Consultant, Registrar, Academic Director.
Chair	External QA Consultant
Remit / Function	The Quality Assurance and Enhancement Committee ensures that the ICPPD's approach to supporting quality assured academic practice permeates all academic and academic support functions and that programmes are delivered in accordance with robust Quality Assurance procedures.
Breadth of Responsibility	<p>The QA and Enhancement Committee evaluates, reviews and revises Quality Assurance procedures within ICPPD.</p> <p>To monitor and review all processes designed to improve academic standards. Ensure ongoing quality processes are incorporated into the academic programmes.</p>
Reporting Responsibility	To Academic Board by Registrar
Quorum	N/A
Agenda	Set by the Chair
Frequency of Meetings	Annually

### 2.2.8 Terms of Reference for Appeals Committee

Membership	External Chair (member of Academic Board)
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	Programme Leader/two tutors not involved with the appeal (who may have specific expertise in module/content)
Chair	External Chair as appointed by Academic Board
Remit / Function	Where an appeal is warranted, an appeals committee is established. The responsibility of the committee is to hear the learners request for an appeal and assess the appeal in the context of QA policies and procedures and ensure fair assessment processes and outcomes
Breadth of Responsibility	Review, evaluate and uphold the QA policies while conducting and attending fairly to the appellants/learner's grievance.
Reporting Responsibility	The appeals committee secretary (nominated by the external chair above) informs the Registrar of the outcome of the committee's deliberations in writing.
Quorum	N/A
Agenda	Set by Chair in accordance with QA Manual/appeals policies
Frequency of Meetings	As required

### 2.2.9 Terms of Reference for Complaints Committee

Membership	Chair (member of Board of Directors) Two other members of staff (depending on the nature of the complaint)
Chair	Chair as appointed by Board of Directors
Remit / Function	It is recognised that there may be occasions when a stakeholder may feel that they have cause for complaint. ICPPD aims to provide fair, consistent and expeditious mechanism to process complaints made by complainant in relation to college staff or services, in a manner that affords all concerned parties their full rights in accordance with the principles of natural justice. This complaints procedure applies to any dispute other than one regarding assessment.
Breadth of Responsibility	To facilitate a timely resolution of any possible issue, to exhaust the internal processes to resolve any dispute and if necessary, engage a third party to support a fair mechanism for all concerned.
Reporting Responsibility	The appointed committee reports directly to the Board of Directors through the appointed chair.
Agenda	Set by the chair in accordance with QA Manual, policies and procedures
Frequency of Meetings	As required

### 2.2.10 Terms of Reference for Ethics Committee

Membership	No less than three representatives drawn from members of Academic Board, members of academic staff and others if required.
Chair	As nominated from within the members
Remit / Function	The Ethics Committee is a sub-committee of ICPPD's Academic Board and is convened when necessary to consider ethical approval for a project or when a learner disputes decisions of academic panels regarding fitness to practice

	issues and the clinical element of programmes offered at ICPPD.
Breadth of Responsibility	To ensure that the procedures for implementing and monitoring ethical policies are current and user friendly.
Reporting Responsibility	Registrar
Quorum	N/A
Agenda	As agreed prior to meeting
Frequency of Meetings	As necessary

### 2.2.11 Terms of Reference for Research Ethics Committee

Membership	Academic Director, Programme Leader, Research Tutor, External Research Expert, Professional Expert and Legal Expert
Chair	Academic Director
Remit / Function	The remit of the Research Ethics Committee is to - Oversee ICPPD learners research plans and projects Approve research topics subject to ethical consideration, sensitivity and support for all involved Support the learner in garnering appropriate research questions for their chosen research that is concurrent with counselling and psychotherapeutic practice and evolving trends.
Breadth of Responsibility	Identify and support the learner to ethically conduct research in accordance with good practice and ICPPD's Code of Conduct and that of validating and professionally bodies to complete their studies.
Reporting Responsibility	This is an agenda topic for Academic Board Meeting, the Academic Director reports on developments, progress and outcomes.
Quorum	50% (to include attendance of External Research Expert)
Agenda	Set by Chair
Frequency of Meetings	At least once during academic year

### 2.2.12 Terms of Reference for Garda Vetting Committee

Membership	College Manager, Academic Director, designated Administration staff member.
Chair	College Manager
Remit / Function	To communicate with and support Learners and Garda Vetting procedures to ensure that ICPPD learners are approved prior to Fitness to Practice Meetings and commencement of Clinical work.
Breadth of Responsibility	The designated college administration staff member liaises with Westmeath Volunteering Office to provide relevant details and then is responsible for supporting and informing learners of the requirements to facilitate this vetting

	<p>process and the mandatory clearance prior to working with clients to complete their clinical practice module.</p> <p>The Garda Vetting Committee reviews feedback and discusses any implication for learners involved.</p>
Reporting Responsibility	If complications arise in this process the Academic Director discusses professional implications and options with the learner.
Frequency of Meetings	Annually

### 2.2.13 Terms of Reference for Marketing Working Group

Membership	College Manager, Marketing Co-Ordinator, President
Chair	College Manager
Remit / Function	Oversight of marketing campaign and recruitment strategies, sales activities and overall promotion of ICPPD and programmes offered as presented by the Marketing and Recruitment Consultant.
Breadth of Responsibility	The Marketing Working Group is responsible for promoting ICPPD as a national reputable third level provider. The working group supports the Marketing and Recruitment Consultant to ensure ICPPD's public profile and presence on relevant advertising mediums, website efficacy, competitor analysis, and ultimately ensuring all classes are at their maximum capacity of enrolled learners. Managing marketing budget.
Reporting Responsibility	Board of Directors by College Manager.
Frequency of Meetings	Bi-Monthly or as necessary

### 2.2.14 Terms of Reference for Admissions Panel

Membership	Academic Director, Programme Leaders, Programme/Core Tutors, Registrar, Administration Staff members
Chair	Academic Director
Remit / Function	<p>Monitoring, review and development of selection systems including those based on prior learning and transfer policies.</p> <p>Oversight of admissions procedures.</p> <p>Evaluation and review of entry requirements as per the agreed Validation documentation.</p> <p>Academic Staff are the members of the BA Interview Panels.</p>
Breadth of Responsibility	<p>The Admissions Panel monitors practice, patterns and trends of admission and makes recommendations to Academic Board.</p> <p>Admissions Panels advices are made in the context of the requirements of the relevant awarding body (QQI) and professional body (IACP).</p>



	The Academic Director holds an Induction Session with Interview Panellists annually in advance of BA interviews.
Reporting Responsibility	Academic Board
Frequency of Meetings	Annually to plan for anticipated admission and review admission policies post-intake.

## 2.3 Management and Staff Roles and Responsibilities

### 2.3.1 College President

The President with the Board of Directors are responsible for the development of a comprehensive and responsible budget that is used to advance the organisation's strategic goals and priorities. He is responsible for overseeing both human and financial resources in a manner that ensures accountability. Another major responsibility of the president is to lead the organisation in the acquisition of resources, focusing particularly on the development and implementation of initiatives to attract new sources of financial support.

As the college leader, the president collaborates with a wide range of internal and external stakeholders to define the vision and set the direction for the organisation. As the champion of its reputation, the president articulates the strategic goals, vision and messages of ICPPD in order to build broad support for and ownership of aspirations among its many constituents, including faculty, staff, learners and alumni, local communities, government, business and industry employers, the media, and the general public.

Role of the President:

The President's primary responsibility is to provide vision for the College and continuous leadership and direction for the planning and operation of all aspects of the College's programmes and services in conformity with the Board of Directors. He is responsible for ensuring that the organisation is well connected to its stakeholders. He promotes and develops good governance within the College compatible with and supportive of overall college governance structures and policies and leads the strategic development of the College in line with ICPPD's Strategic Plan. As President he supports the College budget, the enhancement of research, the attainment of national visibility/recognition for the College.

The President is also a member of the Marketing Working Group and as such supports the ICPPD website, Open Evenings etc.

The President is an ex officio member of all Boards and Committees at ICPPD. Due to the College President being one of the Founders of ICPPD and to separate the commercial and academic activities of the organisation, the president is an executive member of the Board of Directors and has a vote on this Board. However, in relation to the academic processes at ICPPD the President can attend any Board/Committee/Meeting as an observer only.

In the role as College President, he holds the honorary position at the Conferring and Awards Ceremony and Graduation of Learners each year on behalf of QQI validated and internal programmes.

### 2.3.2 College Manager

The College Manager has responsibility for the efficient and effective management of the college. The College Manager is a member of, and accountable to and provides administrative support to the Board of Directors, and manages and directs ICPPD's academic, administrative and financial business. The



College Manager takes the lead role in new business generation and promotion, and the development of strategic partnerships and community liaison.

The key roles of the College Manager are to:

- Manage the day-to-day business of the college prioritising Board of Directors policies and procedures, implement actions outlined by the Board of Directors and prepare a monthly report for the Board of Directors monthly meeting.
- Work in partnership with the college team and with the board of directors to develop a strategic plan and subsequent yearly business plans.
- Co-ordinate liaison arrangements between management and the College's staff
- Develop, implement, evaluate and modify, as required, job descriptions and contracts for College team and tutors.
- Lead the academic management and academic development of the College ensuring that ICPPD meet the Requirement of Professional Academic Quality and Standards.
- Develop and enhance the academic framework, infrastructure, standing, reputation and resource base of ICPPD
- Manage academic operations, resources and relationships with collaborative partners
- Work in partnership with both in-house and external finance stakeholders to ensure profitability of courses offered and ensure value for money.
- Take responsibility for the leases, insurance, utility providers etc. of the premises utilized by ICPPD.
- To develop processes, in conjunction with ICPPD Quality Assurance Manual to ensure that required systems are being adhered to, that data collection provides demonstrable evidence of a Quality Approach and that the voice of all stakeholders is core to personal and professional academic programme delivery.
- To be responsible for Health and Safety Management and Equality within the College.

The College Manager is a member of the Board of Directors, Executive Management Committee and the Marketing Working Group.

### 2.3.3 Academic Director

The Academic Director is the academic head of ICPPD with key responsibility for the leadership of the academic direction of the College. The Academic Director ensures that all aspects of the College's academic portfolio continue to develop, by motivating and engaging staff at all levels, communicating proactively, and influencing internal stakeholders and external partners on behalf of ICPPD. Specific responsibilities of the role include to:

- provide academic leadership, direction and management
- coordinate and support the academic management and academic development of ICPPD
- coordinate and support liaison arrangements between academic programme management and the College's administration/registrar's offices
- lead and coordinate liaison arrangements with collaborative partners
- oversee academic planning, development, delivery and monitoring of all programmes
- develop and enhance of the academic framework, infrastructure, standing, reputation and resource base of ICPPD



- uphold academic quality and standards and the academic integrity of programmes
- ensure staff planning, development and scholarly activity
- manage college resources
- link with professional bodies, support professional accreditation to meet national requirements

The Academic Director is a member of the Academic Board, the Executive Management Committee, and Examination Boards, and Chair of the Programme Boards.

#### 2.3.4 Registrar

The Registrar has responsibility for academic affairs within ICPPD, including responsibility for academic administration, academic regulations, academic quality and standards, academic records and liaison with accrediting bodies, which includes responsibility for co-ordinating academic relationships, validation events, programmatic reviews, College reviews and reporting arrangements.

The Registrar has responsibility for

- all aspects of academic administration, including admission and registration of learners; programme organisation and administration; coordination of learner assessment.
- organisation of examinations and examination board; recording, processing and communication of results
- communication and liaison with collaborative partners and accrediting bodies in relation institutional academic quality assurance practices
- academic regulations, in particular regulations governing learner admission, progression and graduation and teaching, learning and assessment; updating regulations as required and monitoring compliance with the requirements of accrediting bodies and the terms of programme approval
- academic records, including responsibility for maintenance of academic files and records and has ultimate responsibility for the reliability and integrity of all academic records
- promoting and safeguarding Academic Integrity in assessment activities
- advise staff and collaborate with them in relation to academic developments, quality enhancement initiatives and continuing development of programmes, and promotion of the highest academic standards.

The Registrar is a member of the Academic Board, the Executive Management Committee, Programme Boards and chair of the Examination Boards.

#### 2.3.5 College Administration Staff

The College Administration Staff are members of the Executive Management Committee and have operational responsibility for the administration function within the College. Duties of the College Administration Staff include

- office management (and administration)
- front-line customer support and coordination of telephone inquiries
- supporting the College Manager with all College-related communications e.g., confidential correspondence with learners, staff members, Academic Board
- supporting the Academic Director and Registrar to uphold standards in relation to academic issues and QA policies



- communication with tutors and learners in relation to commencement, learner attendance, timetables, programme delivery, assessment schedules, and general enquiries
- co-ordination of academic processes and liaison with relevant stakeholders
- maintaining ICPPD records regarding ICPPD boards, trustees, personnel, and key stakeholders and clients of ICPPD
- supporting ICPPD staff induction programme, particularly that for new Tutors with regards to Moodle
- procurement of College and general office requirements
- supervision of College housekeeping and programme refreshment provision
- liaison with external facility for programme provision and arrangement of housekeeping and programme refreshment provision
- administration support to the Academic, Programme and Examination Boards.

The Administration Staff are members of the Executive Management Committee and perform the secretary roles to the Programme Boards, Academic Board and the Examination Boards.

### 2.3.6 Finance Officer

The Finance Officer has operational responsibility for the administration of the financial functional within the College.

Key responsibilities include:

- day-to-day bookkeeping and management of ICPPD cash and current accounts, including maintaining computerised accounts (TAS Books)
- liaising with ICPPD's company accountants, auditors and banks
- credit control - accounts receivable
- debit control – accounts payable
- processing of learner fees and payment plans
- facilitation of the protection for enrolled learners' scheme, with service provider and learners
- lodgements
- management of the College's petty cash system
- administration of the payroll system and tax returns (including PAYE returns) and payment of Board member gratuities
- budgeting for College and general office requirements, including procurement for outreach facilities/venues
- preparation of financial reports.

The Finance Officer is a member of the Executive Management Committee and performs the secretary role to the Board of Directors.

### 2.3.7 Marketing Support & Recruitment Officer

The Marketing and Recruitment Consultant is responsible for promoting ICPPD as a third level education provider and the individual programmes offered. In addition, one of the primary roles in relation to marketing means that Learners who wish to have something considered for inclusion in the College



newsletter and/or online blog should contact to discuss. The Marketing and Recruitment Officer has responsibility for ensuring ICPPD's presence on Social Media, for updating the ICPPD Website, for providing Competitor Analysis, arranging Open Evenings, collating and distribution of ICPPD's Encounter Newsletter and identifying other events that promote ICPPD as an organisation of excellence and is responsible for ensuring all classes are at their maximum capacity of enrolled learners.

The marketing support and recruitment consultant is employed to perform a number of duties in relation to College promotion, including

- to develop and deliver a communications and marketing plan and promotional campaign for programmes within budget for the College.
- to develop and promote the College's public profile and levels of awareness by the local community, the general public, partners, agencies, decision-makers, visitors and the media.
- Ensuring the media, general public, and potential learners are aware of the College's academic and training programmes, and latest developments, through the distribution of press materials, and arranging interviews of key personnel with the media.
- to gather high-level and local information, and to support the corporate utilisation of this information to further the aims and mission of ICPPD.
- to develop, source and manage a range of tools and resources to support online and offline communications and marketing activities, including the social media presence, display boards and ICPPD promotional brochures/leaflets.

The Marketing and Recruitment Officer plus the President and College Manager are members of the *Marketing Working Group*, which identifies and implements the activities necessary to action the College's communications and marketing plan. The Marketing Working Group reports monthly to the Board of Directors.

### 2.3.8 Clinical Practice Co-Ordinator

The Clinical Practice Coordinators are responsible for the overall delivery and administration of the Clinical Practice module. This includes

- delivery of class content and advisory sessions
- feedback to trainee counsellors/psychotherapists, relevant College staff, and appropriate clinical practice organisations' representatives
- administration of clinical practice, including the storage of confidential reflections on practice/files (in accordance with the College's record management policies)
- monitoring learners' client work and supervised work
- conduct site-visits/evaluations of counselling venues/clinical practice organisations
- collation of all reports including monitor external supervision log and provide feedback on trainees' development as counsellors/psychotherapists
- conduct assessment and completion of clinical practice feedback sheets.

The Clinical Practice Coordinators also support persons for the trainee counsellor/psychotherapist and is in regular contact to offer clarity and encouragement. They are also available to offer emergency supervision when required. The Clinical Practice Coordinators are supported by the relevant Programme Leader and accountable to the Academic Director.

Clinical Practice Coordinators are members of the relevant Programme and Examination Boards.





### 2.3.9 Programme Leader

The Programme Leader has responsibility for the day-to-day management and coordination of the organisation, operation, and delivery of a specific College programme, or group of programmes, and has central involvement in admission, course management, theory, skills' training, supervision and assessment, when/as relevant. The Programme Leader supports the other programme staff including other Tutors, Clinical Practice Coordinator and In-house Supervisors (who are responsible for meeting criteria of professional bodies/professional accreditation).

The Programme Leader is an accredited member of a recognised professional/accrediting body. They are required to be currently practising within the profession, having trained as a tutor, and has significant experience in counselling training. The Programme Leader must have a commitment to on-going professional development and hold a minimum qualification of Masters' Degree.

Responsibilities of the Programme Leader include

- being one of the core tutors for the relevant programme, undertaking a substantial element of the training and assessment of learners as they are crucial to the professional formation of the trainee Counsellors or Psychotherapists
- reporting to the Academic Director, Registrar and Programme Board on programme-related and learner-related matters
- leading Learner Induction sessions at the commencement of each academic year
- conducting Learner Support, Fitness-to-Practice and end of year Progression meetings with learners and any ad-hoc individual learner meetings as necessary
- communicating with learners on programme-related matters, as well as issues presented through learner feedback mechanisms
- ensure that learners are meeting that all professional criteria i.e., personal therapy logs
- contributing to programmatic review process
- being familiar with the current versions of the relevant Professional Bodies' Codes of Ethics.

The Programme Leader is a member of the relevant Programme and Examination Boards.

### 2.3.10 Programme Tutors

Tutors are employed by the college and are selected on the basis of their academic and professional qualifications, teaching and clinical experience and person-related skills. All Tutors are required to attend a college induction seminar (and any subsequent induction/training sessions, as required by Academic Director and Academic Board).

In the performance of their duties at ICPPD, Tutors are required to

- engage with College processes including the induction and staff development processes, as appropriate
- engage with learners and College staff
- assist in the development of modules, and attend programme development events with relevant accrediting bodies
- deliver and assess one or more individual modules, or sections of modules
- collect and provide feedback to learners, management and other College staff
- support the College's quality assurance and monitoring activities, including attendance at institutional and programmatic review meetings
- attend College meetings where required



Tutors are highly qualified (to a minimum of Masters' Degree level) and experienced in their field of expertise and may deliver training at all levels in the College. They are responsible for the day-to-day delivery of programmes at ICPPD, and for ensuring the quality of the delivery of those programmes, under the guidance of the Academic Director and the respective Programme Boards.

Programme Tutors are members of the relevant Programme and Examination Boards.

### 2.3.11 Core Tutors

Core Tutors at ICPPD are essential in providing support to students throughout the course. Core Tutors have central involvement in admission, course management, theory, skills training, supervision and assessment. They are crucial to the professional formation of the student Counsellors or Psychotherapists and as such undertake the bulk of training and assessment.

Core tutors are expected to be available to support the admissions process at interviews and support the Programme Leader in conducting Learner Support Meetings, Fitness-to-Practice (Year 2) and end of Year Progression Meetings.

To ensure that individuals are appropriately qualified to become Core Staff members there are a number of requirements for individuals to fulfil in accordance with [IACP course accreditation criteria](#):

- That the individual has substantial experience of supervised counselling for at least five years after qualifying and be currently practicing.
- Have trained as trainers.
- Have at least 4 years experience in counselling training.
- Have regular external supervision and external consultation on training work.
- Have had personal therapy either individual or group.
- Have an accredited theoretical background.
- Have a commitment to ongoing professional development.

### 2.3.12 Suicide Awareness Officer

The role of the Suicide Awareness Officer is to provide support, training and information related to suicide prevention, intervention and postvention to the ICPPD community – learners, tutors, staff.

Responsibilities of the role include:

- Facilitation of workshops/information seminars on topics suicide and self-harm prevention and intervention, as well as suicide bereavement.
- Provision of support to individuals, groups or cohorts affected by recent suicide bereavement
- Advising ICPPD Board of Directors on best practice in relation to suicide awareness

The Suicide Awareness Officer provides this service as required by the college and therefore can be contacted through the College Manager or Academic Director.



## Chapter 3 Recruitment, Selection, Development, Support and Management of Staff

### 3.1 Human Resources Policy and Procedures

ICPPD's HR procedures support ICPPD management to maximise staff and organisational performance to achieve the College's vision and objectives. ICPPD has a duty of care to implement effective policies and procedures for safeguarding the welfare of its staff and learners in accordance with all relevant statutory requirements and guidelines. In order to achieve this ICPPD ensures that College staff are carefully selected, screened, trained, supervised and supported.

#### 3.1.1 Review/Audit of HR Policies and Procedures

ICPPD is committed to ensuring that HR Policies and procedures are adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the Board of Directors through the College Manager.

### 3.2 Staff Selection

ICPPD engages in recruitment processes to

- replace an existing/approved position, including maternity cover, etc.
- establish a new position

The College Manager prepares a rationale/justification for the proposed post (including academic posts), taking into consideration the necessity for the current proposed post, the tasks to be undertaken within the role, and the skills required to undertake the job.

The proposed post is discussed within the Board of Directors to ensure that it will meet both present and future needs of ICPPD, and to determine if the role specification attached will bring optimal benefits for the College.

The recruitment process is overseen by the College Manager and is administered by the Administration Staff, and a recruitment file is opened for each vacancy to be advertised. Information kept on a recruitment file includes

- correspondence relating to the justification/approval for filling the post
- description of the post (job description), including minimum selection requirements (personal specification – experience, qualifications and training, required knowledge, skills, competencies and personal attributes), reporting responsibilities, and salary scale
- a copy of the advertisement and a record of where it was placed (the advertisement must be in accordance with the requirements of **Section A: Chapter 4, 4.2, ICPPD as an Equal Opportunities Employer**).
- all the application forms/CVs submitted
- any notes made as part of the short-listing, pre-selection, and interview processes
- correspondence regarding reference checking
- correspondence regarding approval of the appointment, and a copy of the letter of appointment



Relevant material is retained on file, after the date of appointment in accordance with ICPPD's record management policy (reference **Section 3, Chapter 10, Data Retention and Record Management at ICPPD**).

Application forms accompanied by the job description and information about the organisation, are provided to each applicant.

After the closing date, applications may be shortlisted, as necessary/appropriate, by the function head in consultation with the College Manager. Criteria used for shortlisting reflect the job specification.

Candidates who appear to meet all shortlisting criteria are invited to interview as soon as possible after the advertised closing date (the interview must be conducted in accordance with the requirements of **Section A: Chapter 4, 4.2, ICPPD as an Equal Opportunities Employer**).

A selection panel containing at least two members, one of whom is an appropriate member of College management, is established to carry out the selection interview process, to explore the information stated on the application form, and to assess the applicant's suitability for the post. (Every effort is made to facilitate gender balance within the selection panel composition.)

A letter of regret is sent to any applicant whose application is received after the closing date, to those who are not successful in the short-listing phase, and to those unsuccessful at interview.

An offer letter is sent to the successful candidate. The College Manager, in consultation with the Board of Directors, must approve any appointment before any formal offers are made, and this offer is subject to the receipt and confirmation of relevant supporting documentation (including reference checks and confirmation of qualifications/experience).

On offer of the post, applicants are expected to supply the names of two referees. The referee will be requested to provide confirmation that the applicant held a particular position, for a particular duration, as stated on their application form, whether their service was satisfactory, and whether the employer would re-employ the applicant.

National vetting may additionally be required for certain roles.

The proposed staff member is issued with individual specific terms and conditions of employment within an employment contract.

ICPPD maintain all information supplied by the applicant in a confidential manner, and it may be viewed only by persons directly involved in the recruitment procedure.

### 3.2.1 Academic Staff Selection

Additional criteria relating to the selection of academic staff in adherence with [IACP course accreditation criteria](#) in relation to Course Staff and Core Staff.

Tutoring staff are required to:

- Have substantial demonstrable experience in the relevant area of expertise
- Understand the principles of adult education and have trained as trainers
- Have a demonstrable commitment to ongoing professional development

To be considered as a Core Tutor, the following criteria must be met:



- Substantial experience of supervised counselling / psychotherapy for at least five years after accreditation, and be currently practicing
- Understand the principles of adult education, how adults learn
- Have trained as trainers
- At least 4 years' experience in counselling / psychotherapy training
- Attend regular external supervision and external consultation on training work
- Have had Personal Therapy
- Commit to ongoing personal and professional development

Core staff must be familiar with and agree to work within the current version of the IACP's Code of Ethics and Practice.

### 3.3 Probation Period

All staff appointments are conditional on the successful completion of a trial period, the length of which should be decided at the outset, based on post duration (usually 6 months). This period provides ICPPD with an opportunity to assess the suitability of a new worker to work within the College and to determine their commitment to the organisation.

If there are performance issues during the probation period, a meeting is held between the staff member and the College Manager. This meeting provides an opportunity for either party to seek clarification on any issue or address any problems that may be hindering the probationer's performance. The outcome of this meeting may be to put corrective actions in place, to extend the probation period, or to terminate the probationer's employment.

Where the employee's Garda clearance is outstanding on their taking up a post at ICPPD, the outcome of this vetting process is monitored through the probation process. An additional meeting may be scheduled to address the outcome.

On conclusion of the probation period, a meeting is held between the staff member and the College Manager. If the probationer's performance is considered satisfactory to date, this meeting will result in the conclusion of the probation period. If there are performance issues during the probation, it provides an opportunity for either party to seek clarification on any issue or address any problems that may be hindering the probationer's performance. The outcome of this meeting may be to put corrective actions in place, to extend the probation period, or to terminate the probationer's employment.

Records in relation to a member of staff's probation period are retained on the staff member's file, in accordance with the ICPPD's record management policy (reference **Section 3, Chapter 10, Data Retention and Record Management at ICPPD**).

Owing to the nature of the Tutor appointments (6-weeks' teaching plus assessment and feedback period), the administration and management of probation is handled differently to full-time/part-time staff. Tutor performance is monitored through a number of ICPPD processes including

- consultation with relevant Programme Leader(s)
- informal communication with learners
- formal learner feedback
- Programme Board discussions
- overall performance and reliability as evidenced during their time at ICPPD, through consultation with the Academic Director



On conclusion of the Tutor's module delivery, a meeting is held between the staff member and the Academic Director. If the Tutor's performance is considered satisfactory to date, this meeting will result in further contracts for the Tutor, subject to module schedules and areas of expertise. If there are performance issues during the initial module delivery, it provides an opportunity for either party to seek clarification on any issue or address any problems that may be hindering the Tutor's performance. The outcome of this meeting may be to put corrective actions in place, to extend the probation/review period, or to terminate any further engagement of the Tutor by ICPPD.

### 3.4 Induction

ICPPD is committed to the induction of staff to facilitate their effective integration into/across the College for the mutual benefit of both parties. Once the successful applicant takes up their position at ICPPD, they are required to undertake the induction programme. All staff, both full- and part-time, at all levels within the College and those returning from long-term absence/leave are required to undertake this training.

In accordance with the [Chartered Institute of Personnel and Development \(CIPD\)](#) best practice, ICPPD's induction programme contains the following elements

- the organisation's history/vision/mission, its programmes/services, its culture and values
- orientation (physical) - where the facilities are, including outreach venue details, if/as appropriate
- orientation (organisational) - how the employee fits into the team, relevant reporting structure(s), and how the role fits with the organisation's strategy and goals
- roles and responsibilities of other functions within the organisation, and how the employee fits within that, including cross-functional responsibility (meet in person or through the use of technology)
- academic and administrative procedures and regulations
- health and safety information and requirements
- explanation of terms and conditions of employment, including HR and CPD policies, procedures and regulations
- a clear outline of the job/role requirements.

Records in relation to a staff member's induction programme participation are retained on the staff members file, in accordance with the ICPPD's record management policy (reference **Section 3, Chapter 10, Data Retention and Record Management at ICPPD**).

#### 3.4.1 Induction of Teaching Staff

**Faculty Induction Sessions** are scheduled at the commencement of each Academic Year for all members of faculty, new and existing, to embed policies and procedures relating to the programme delivery, teaching and learning strategies, assessment strategies etc.

**Individual Tutor Induction Sessions** - All newly recruited tutors attend an individual Induction Session with the Academic Director to provide specific focus on their module. Where a new tutor has been recruited for a module, any tutor currently teaching this module at another centre will participate in the induction session for the new tutor, providing valuable mentoring and support and helping to ensure consistency across centres and cohorts.

### 3.5 Continuous Professional Development (CPD)

ICPPD is a 'learning community' where all are involved in a continuous process of improvement and enrichment. Continuous Professional Development (CPD) is an essential element of the College's



overall processes, ICPPD has a policy of supporting staff and faculty with a variety of training, educational and development opportunities, and the College is committed to fostering a positive climate for continuous learning.

The Executive Management Committee is responsible for implementing the staff development policy by ensuring that all staff members are given appropriate opportunities to develop. The Executive Management Committee executes an annual review and identification of staff training and development needs (as identified through programme boards, programme development activities and environmental and economic factors), to facilitate the College's strategic plans. Staff members' involvement in CPD events over the previous and upcoming year are discussed and reviewed at this meeting.

To inform this process, the Academic Director in discussion with Tutors/Programme Board prepares an annual CPD programme to support personal and professional development and uphold academic standards at ICPPD. These training and educational events are both internal and external events.

Proposed CPD events for all staff are discussed and a proposal is developed and costed and presented to the Board of Directors by the College Manager.

CPD is any measure undertaken by individuals, teams or organisations to improve their skills, knowledge and abilities, particularly as they relate to their work/area of speciality, and from which added value can be ascertained. Staff are required to maintain a high level of currency in both aspects of the teaching role. Staff are encouraged and supported, by the Training and Development Fund, to access CPD at subject/speciality level.

CPD is the means by which ICPPD is able to motivate and develop its community at a variety of levels - individual, team, College-wide, and through wider networks, with an emphasis on collaborative learning. CPD Mechanisms undertaken include:

- pursuing formal qualifications using taught and/or research models (including doctoral research)
- attendance and participation in conferences and seminars both national and international
- participation in short courses relevant to their subject area/discipline or area of responsibility in the College
- representing the College on relevant special interest working groups and boards/ committees of other organisations whose work would have an association and relevance for the studies conducted at ICPPD
- regular staff monitoring

A Staff Development Register is in place which acts as a repository for documenting staff development including events attended and proof of compliance with professional CPD requirements.

All tutors are enrolled on a "Tutor CPD Resources" Folder in Moodle for staff to share any professional development knowledge – videos, journals, articles or CPD events/training. This supports their academic and professional continuous professional development requirements and also the requirement to be upskilling, staying current in their topic of expertise as an ICPPD tutor.

All staff, irrespective of contract type or length of service, are required to take part in the CPD Programme, which allows staff to develop skills, competencies and expertise, across the key areas of their chosen profession, and more particularly in the areas of teaching, learning and assessment. The CPD programme supports development within individual College functions, as well as within the overall context of the College, in a fair and objective manner, and seeks to support professional recognition



of all CPD undertaken. The college CPD programme is considered a positive, developmental initiative, and is seen as mandatory.

CPD at ICPPD also aims to support and assist the development of individual staff, where possible, which thereby enhances the College's performance by supporting the achievement of the College's strategic objectives and goals, and promoting its values associated with staff and learners, respect, integrity, inclusivity, diversity, innovation, excellence, integrity, and professional and ethical behaviour.

The College strives to provide short courses or seminars internally or externally on various topics related to pedagogy, assessment, quality enhancement, or Equality, Diversity and Inclusion awareness etc. ICPPD encourages the use of resources and training events provided by National Forum for the Enhancement of Teaching and Learning in Higher Education or The Association for Higher Education Access & Disability to provide an opportunities for staff training and development.

### 3.5.1 CPD in Teaching and Learning Approaches

The aim of staff development in teaching and learning approaches is to enhance the quality of the learning experience for all learners at the College and to encourage continual enhancement of teaching methods, including where relevant the use of technology. Academic Staff who do not hold a formal qualification in teaching will be supported to engage in suitable training in consultation with the Academic Director and College Manager. For this support to be approved, the training must be demonstrably aligned to the Learning, Teaching and Assessment Strategies.

### 3.5.2 Research (Academic Staff)

ICPPD is conscious of the importance of Research within an institution of higher education and facilitates and encourages Tutors to engage in research. ICPPD sees engagement with research as an opportunity to update staff knowledge, which benefits the College as a whole, and supports the development of theory and practice within the field of counselling and psychotherapy, and in applied academic practice.

The majority of ICPPD Tutors are engaged in their own personal research, to meet their professional CPD objectives. This ongoing research informs Tutors' teaching and practice on the programme and, thereby, supports the College's teaching and learning strategy.

Approval for proposed Research projects is required from Academic Board in relation to research by staff or research which proposes to use ICPPD learner feedback. While ICPPD is conscious of its limits in relation to its right to control the personal research of contract staff; potential conflict between personal research and 'ICPPD led' research and/or ICPPD's mission, is identified at the time of contract engagement and during annual staff appraisal process (reference **section 3.6** below), and the Academic Board arbitrate in relation to any potential conflict identified.

All research procedures, and associated College activity, are monitored and reviewed by the Executive Management Committee, and must ultimately be approved by the Academic Board. ICPPD has established a Research Ethics Committee to oversee the College's stakeholders' research activities. (**See Section A, Chapter 2, 2.2.11 – Terms of reference of Research Ethics Committee**)

## 3.6 Staff Appraisal

All College staff have the opportunity to engage in discussions about their development needs and support requirements. An annual appraisal conducted by the College Manager and Academic Director





where relevant, which provides an opportunity for confidential and frank discussion, to review individual achievement against annual objectives, and for each party to seek clarification on any issue which may be impeding a staff member's satisfactory performance of their duties, supports this process. Individual staff development event requests are considered when developing the annual CPD plan and are incorporated into the staff development budget if/as appropriate.

### 3.7 Consistency of Approach

The same safeguards, as listed for each of the HR processes within this document, are applied to the recruitment of all staff, whether employed on a temporary, contract or permanent basis. Additionally, ICPPD ensure that any staff employed through an agency are recruited in accordance with College HR practices and require that the agency furnish the necessary supporting documentation (e.g., copy of Garda vetting forms, references and qualifications).

### 3.8 Personnel/HR Files

All procedures for staff development, and associated requests, are monitored and reviewed by the Executive Management Committee, and must ultimately be approved by the Board of Directors.

College Administration Staff maintain all necessary records, including copies of certification, information on training courses and other relevant training materials, verification of training attended and budget allocation for training and development of staff, in accordance with the College's record management policy (*reference Section 3, Chapter 10, Data Retention and Record Management at ICPPD*).

Individual staff members are required to maintain their own records in relation to any CPD programmes they may have undertaken externally and provide this information to ICPPD on a regular basis. Staff CVs are updated on an annual basis to reflect any relevant new academic, professional, QA or administration qualifications or CPD.

## Chapter 4 Policies under the Remit of the Board of Directors

### 4.1 Equality, Dignity and Inclusion Policy

In accordance with the [Employment Equality Acts 1998-2015](#), ICPPD will not discriminate as an employer on the grounds of gender, marital status, religious belief, sexual orientation, family status, age, disability, race, and membership of the traveller community.

The nature of ICPPD programmes promotes a non-judgemental attitude and acceptance of the uniqueness of each individual. ICPPD undertakes to develop awareness among all participants in the educational process of the need to promote dignity and respect for all current and future staff and Learners. Strategies to achieve this goal include

- (a) encouraging the eradication of stereotyping and discrimination through a co-ordinated action plan to raise awareness of these issues
- (b) setting up a programme of obligatory staff development which will ensure that individual employees
  - are obliged to co-operate with any measures introduced by ICPPD to promote equal opportunity



- must not themselves, either directly or indirectly, discriminate against any fellow employees, job applicants or learners or harass or intimidate them in any way
- (c) providing assertiveness, stress management training and other relevant supports for staff to enable them to interact with greater confidence in engaging with staff and Learners.

In accordance with the [Employment Equality Acts 1998-2015](#) and the [Equal Status Acts 2000- 2015](#), ICPPD as an educational and training provider, will not discriminate on the grounds of gender, marital status, family status, age disability, sexual orientation, race, religion, and membership of the Traveller community, in the provision of goods and services (including professional or trade services; access to education; facilities for cultural activities).

The [Equal Status Acts \(2000-2015\)](#) places certain requirements on schools and educational institutions in relation to how they deliver their services. The Equal Status Acts specify four areas in which an education institution must not discriminate:

1. the admission of a learner, including the terms or conditions of the admission of a learner,
2. the access of a learner to a course, facility or benefit provided by the school,
3. any other term or condition of participation in the school and
4. the expulsion of a learner or any other sanction.

ICPPD undertakes to encourage learners to have a non-discriminatory, non-stereotyped view of themselves, of society, and their roles within it. Strategies to achieve this goal will include

- (a) encouraging a widening of learners' educational experiences
- (b) eradicating persistent stereotypes from textbooks, teaching materials and guidance by establishing guidelines for in-house production and through replacement of material containing stereotypes by non-stereotyped material
- (c) encouraging learners to diversify their career choices on a non-stereotyped basis
- (d) ICPPD undertaking to make every effort to eradicate incitement to hatred and violence towards any group in whatever form it takes
- (e) ICPPD demonstrating its commitment to equal opportunities by using non-discriminatory/non-sexist language in all documents, e.g., terms such as "person" will be used in both internal and external documentation, and by portraying both sexes in a non-sexist way in pictures, posters, promotional material and magazines displayed or produced within the College.

ICPPD undertakes to ensure the implementation of these objectives, which will be subject to monitoring, evaluation and revision as appropriate.

#### 4.1.1 Definitions

(a) **Discrimination:** Discrimination can be either direct or indirect (reference the [Employment Equality Act, 2004](#)).

**Direct Discrimination:** Less favourable treatment for people that is explicitly related to any of the discriminatory grounds outlined in the legislation.

**Indirect Discrimination:** Discrimination is indirect where a person is obliged to comply with a requirement or condition of employment normally applicable to all employees which is not however essential to the job and which a substantially higher proportion of persons of the other sex or otherwise not a member of the relevant protected category is able to comply.



(b) **Victimisation:** This clause prohibits a person being penalised or treated less favourably because of pursuing their rights to equal treatment, supporting action or giving notice of intention to take or support action under equality legislation.

#### 4.1.2 Policy Statement

1. ICPPD is committed to providing a safe working and learning environment in which all employees and learners have the opportunity to fulfil their potential with dignity. Such an environment should be free of all forms of bullying, harassment and discrimination. The scope of this policy to all off-site delivery locations, to College staff attendance at conferences or trade shows as part of their terms of employment, and attendance at work-related social events.
2. It is recognised by ICPPD that bullying, harassment and discrimination in the working or learning environment can seriously damage a person's mental and physical health and well-being. Accordingly, ICPPD regards any complaint of alleged bullying, harassment or discrimination as a serious matter which may, if substantiated, lead to the College disciplinary procedures being invoked.
3. ICPPD recognises the importance of balancing the freedoms of expression and intellectual enquiry, which are part of the ethos of higher education, with ensuring that these freedoms are not abused so as to leave members of the College community feeling bullied, harassed or discriminated against.
4. Once a complaint of bullying, harassment or discrimination has been made, any form of victimisation arising out of the complaint will be taken seriously and can itself lead to disciplinary action.
5. Complaints which are malicious and unfounded may also be subject to disciplinary procedures.
6. This policy applies to all ICPPD staff and learners and all visitors and contractors and to all ICPPD events and activities, whether in the Athlone building or off site.
7. In formulating this policy ICPPD has had regard to the relevant legislation and publications including the [Employment Equality Act, 1998 \(Code Of Practice\) \(Harassment\) Order, 2002, SI 78](#), the [Industrial Relations Act, Code Of Practice Detailing Procedures For Addressing Bullying In The Workplace, Order, 2002, SI 17](#), and the [Code Of Practice On The Prevention Of Bullying At Work Under The Safety, Health and Welfare At Work Act, 1989](#).
8. Using the ICPPD procedure will not affect the complainant's right to make a complaint under the [Employment Equality Acts 1998-2015](#).
9. An employee will not be victimised or subject to sanction for making a complaint in good faith or for giving evidence in proceedings.

#### 4.1.3 Aims of the Dignity and Equality Policy

Through the implementation of this policy, ICPPD aims to:

1. foster a working and learning environment where individuals and groups treat one another with dignity and respect.
2. eliminate all forms of offensive conduct, raise awareness of the effects of such conduct on individuals and their environment, and provide a climate in which employees and learners feel able to raise complaints of bullying, harassment or discrimination without fear of ridicule or victimisation.
3. ensure that all employees and learners are aware of behaviour that may constitute bullying, harassment or discrimination and their responsibilities for avoiding and/or preventing such behaviour.



4. ensure that all employees and learners understand that bullying, harassment and discrimination are unacceptable; that such behaviour will be challenged, and that disciplinary action may be taken in circumstances where informal attempts at resolution have failed, and a formal complaint is upheld.
5. provide arrangements to enable complaints of alleged bullying, harassment or discrimination to be fully investigated in a manner that recognises the sensitivity of the issues raised and the rights of the parties involved.
6. ensure that all complaints and allegations are dealt with fairly and equitably with the appropriate confidentiality.
7. encourage internal and informal resolution of complaints.

#### 4.1.4 The Legal Framework

The [Employment Equality Acts, 1998-2015](#) and the [Equal Status Acts 2000-2015](#) outlaws harassment (any act or conduct which is unwelcome and reasonably regarded as offensive, humiliating or intimidating) and discrimination (less favourable treatment) of persons on 9 specified grounds, which are – gender, marital status, family status, sexual orientation, religion, age, disability, race (including colour, nationality, ethnic and natural origin), and traveller community membership. ICPPD as an employer is legally bound to comply with these Acts.

Potential harassment includes but not limited to spoken words, gestures, or the production, display, or circulation of written material/pictures. It is important to remember that the term ‘harassment’ describes unfair or prejudicial behaviour, decisions or assessments. Additionally, harassment may be of a sexual, racial, personal or physically violent nature.

Bullying is also considered a type of harassment comprising repeated inappropriate behaviour, direct or indirect, whether verbal, physical or otherwise, conducted by one or more persons against another or others, at the place of work and/or in the course of employment, which could reasonably be regarded as undermining the individual’s right to dignity at work. Some examples of bullying are:

- picking on people and criticising them in front of others
- punishing people by refusing to delegate responsibilities to them which they are competent to fulfil
- unfounded criticism of the performance of work tasks
- horse play or other unwanted physical contact
- shouting at people to get things done.

Harassment or bullying does not include fair and reasonable feedback on performance or addressing issues of staff or learner misconduct or poor performance in an inappropriate manner.

Nothing within this policy prevents the learner/staff member from exercising their legal rights at any time.

#### 4.1.5 Responsibilities within ICPPD

Regardless of status, everyone at ICPPD is expected to treat colleagues with mutual dignity and respect. Everyone has a responsibility to read and be aware of this Policy and associated Procedures and to ensure they do not behave in a way that could be intimidating or offensive to others.

In meeting its responsibilities under this policy, the College:



- communicates this policy to all learners and employees and ensure that those with responsibilities for learners and employees are aware of their responsibilities within its remit
- ensures that complaints of alleged bullying, harassment or discrimination are dealt with promptly, seriously and with an appropriate level of sensitivity and confidentiality
- establishes structures and provide specific and appropriate training for those involved in the operation and implementation of this policy
- appoints a senior manager (College Manager) as Equality Officer to oversee the application of the policy
- reviews the effectiveness of the policy and procedures regularly and reports annually to the Board of Directors via the College Manager.

All Employees and learners have responsibility to

- contribute to achieving an environment free of bullying, harassment and discrimination by ensuring that their own behaviour does not cause offence.
- if they feel able to do so, make it clear, if they observe others behaving in a harassing, bullying or discriminatory way that such behaviour is unacceptable.
- With the consent of the individual being subjected to such treatment, bring their concerns to the attention of the Equality Officer.

Under the remit of this policy, the College's Equality Officer's role involves

- developing a policy for maintaining respect and dignity at work
- overseeing the implementation of this policy, including dissemination to learners and staff, and training for frontline staff
- keeping abreast of changes in the legislative framework
- assisting in the informal resolution of disputes
- monitoring formal cases
- providing an annual report to the Board of Directors.

#### 4.1.6 Special Situations Relating to the Academic Environment

In view of the importance of the examination process, ICPPD recognises that fairness within this process must be evident. Concerns about bullying, harassment, discrimination or victimisation which might have an impact on examination performance or results should be brought to the attention of the Registrar, prior to an examination sitting. The Registrar informs the relevant Programme Leader who takes steps to ensure that the specific learner has not been disadvantaged. This resulting action includes ensuring that the learner's examination paper is seen by the external examiner, thereby, the Registrar aims to ensure that Tutors are protected against spurious, malicious or unsupported claims.

This procedure does not interfere with the learner's normal rights to appeal the result of their examination as defined in **Section C, Chapter 6, Assessment Review and Appeals' Procedure**.

#### 4.1.7 Action to be taken by an individual being harassed, bullied or discriminated against

Any difficulty in defining what constitutes bullying, harassment or discrimination should not deter staff, learners, visitors or contractors from complaining of behaviour which causes them distress. Nor should anyone be deterred from making a complaint because of embarrassment or fear of intimidation or publicity.



All members of the ICPPD community have a right to make a complaint if they feel they have been harassed, bullied or discriminated against. ICPPD respects the particular sensitivity of bullying, harassment and discrimination complaints and the consequences as well as the need for the utmost confidentiality. A complaint from a member of staff should be made to the College Manager. Learners are requested to consult their Programme Leader.

The following mechanism for making complaints and resolving alleged incidents of harassment, bullying or discrimination has been developed

#### 4.1.8 (Internal) Procedure for individual being harassed, bullied or discriminated against

As a general rule, an attempt should be made to address an allegation as informally as possible. The objective of this approach is to resolve the difficulty with the minimum of conflict and stress for the individuals involved. Attempting to use the informal procedure does not preclude a complainant from using the other options available.

While in no way diminishing the issue or the effects of harassment, sexual harassment, bullying or discrimination on individuals, an informal approach can often resolve matters. Most recipients of harassment simply want the bullying or harassment to stop. With this in mind, ICPPD has developed the following informal process to address allegations of bullying and/or harassment within the College.

##### ***i. Informal Process***

###### 1. Information on the process

Staff members or learners may, in confidence, seek information from a member of the College's senior staff or the Equality Officer. If the complainant considers it appropriate/necessary, they may be accompanied at such a meeting by a sympathetic colleague, friend or representative. The purpose of this meeting is to discuss the alleged bullying, harassment or discrimination and to try and find a solution. Following this meeting further action involving the complainant is not normally taken without their express permission, in particular, the person about whom the complaint is being made will not be informed of the complainant's identity without their express permission.

###### 2. Medical Assistance, if necessary

If the nature of the alleged harassment is such that it puts the complainant under stress, threat of injury, or makes him/her unwell, then they are recommended to attend their doctor.

###### 3. A record of the incidents

The complainant is advised to make and keep an accurate record of the date and time of any alleged incidents, which could form part of any future investigation, including the name of anyone who was around at the time and may have seen what happened.

###### 4. Identifying Inappropriate Behaviour

If possible, the complainant is recommended to explain, simply and directly, to the person in question that they find certain behaviour unwelcome or offensive and that if such behaviour or similar behaviour is repeated it may be necessary for the complainant to make a formal complaint. The complainant may wish to be accompanied by a third-party such as a friend or colleague, while speaking directly to the alleged harassing/bullying individual.

An alternative approach may be for the complainant to put their concerns in writing. This letter should explain what it is about the alleged behaviour that is upsetting and ask for it to stop. The letter should state clearly that it is part of the informal procedure under the ICPPD

Dignity and Equality Policy. If the complainant decides to tackle the problem by writing to the person, they should keep a copy of the letter or memo and any response.

Additionally, the complainant needs to be prepared to address any response from the alleged transgressor. A personal approach or a letter/memo may be effective where someone does not realise that their behaviour has been objectionable, and the alleged offending behaviour may stop as soon as it is aired as an issue.

#### 5. Individual's Response to Informal Alleged Complaint

If a person is informed verbally or in writing that someone finds some aspect of their behaviour inappropriate, they should treat the matter very seriously. They are recommended to reflect carefully on the alleged issue and take time to consider a response. While the alleged transgressor may have had no intention to bully, harass or discriminate against their colleague, if they perceive their behaviour as unwelcome, threatening or intimidating, then it must be considered carefully. The alleged transgressor may seek advice from a friend, colleague, a member of the College's senior staff, or the Equality Officer, in this consideration.

Where the alleged transgressor's behaviour has caused offence, unintentionally or otherwise, they are requested to apologise to the complainant and subsequently modify their behaviour accordingly. It should be borne in mind that the complaint is being dealt with under the informal procedure and if matters are resolved, no disciplinary action is taken.

An alleged transgressor who does not accept the validity of the complaint is afforded the opportunity to present their account of the issue to the complainant. This communication may require facilitation or mediation to address the issue in a non-confrontational manner.

#### **ii. Mediation**

Workplace mediation is a means of resolving disputes by taking the matter to a third party – a mediator. The process requires the voluntary participation and co-operation of both parties. A mediator is an independent facilitator, usually from outside ICPPD, who is trained in such matters. Either party can withdraw from this process at any time. Successful mediation results in a mutually agreed solution. Again, if mediation fails a complainant has the right to invoke the formal procedure.

#### **iii. Formal procedure**

If an informal approach or mediation is considered inappropriate, or if the matter cannot be resolved by the informal procedure or mediation, the formal procedure may be invoked.

Formal complaints may be made where all attempts to resolve the matter informally have failed or where the conduct is deemed too serious for the informal route. By its nature, bullying or harassment may make the normal channels of complaint difficult to use because of embarrassment, fears of not being taken seriously, fears of damage to reputation, fears of reprisal or the prospect of damaging the working or learning environment, or owing to the small staff numbers operating within the College.

When a formal allegation of bullying, harassment or discrimination is made, ICPPD are committed to handling the matter with a suitable level of sensitivity and confidentiality, to ensure that the reputation of all interested parties is protected insofar as possible. Disclosure is on a need-to-know basis only.

The decision to make a formal complaint is a very serious one for all concerned and should not be taken lightly. Any complaint, whether upheld or not, is likely to adversely reflect on the reputation of the person against whom the complaint is made. Malicious or intentionally unfounded complaints or breaches of confidentiality will be treated as disciplinary matters. In view of the seriousness of a formal complaint, a person who is considering this route is



strongly advised to discuss it in advance with a trusted friend, colleague, a member of the College's senior staff, or the Equality Officer.

When a complaint is made, the College Manager considers whether any party should be suspended with pay from all or part of their duties or requested not to attend the College on a voluntary basis, pending this investigation. This decision is based on the seriousness of the complaint and for the protection of all parties (i.e., the complainant, the witnesses and the person against whom the allegation has been made).

The steps involved in making and responding to a formal complaint are set out below.

#### 1. Written Complaint

The complainant is required to make a formal written complaint to the Chair of Board of Directors. The complaint should be confined to precise details of the allegation(s).

#### 2. Rights of the Alleged Transgressor

The alleged transgressor(s) is notified in writing that an allegation of bullying, sexual harassment, discrimination or harassment has been made against him/her. They are given a copy of the complainant's statement and advised that they will be afforded a fair opportunity to respond to the allegation(s).

#### 3. Initial Examination

The complaint is subject to an initial examination by a designated member of management nominated by the President, who is considered impartial (or an appropriate third-party if necessary), with a view to determining an appropriate course of action. An appropriate course of action at this stage, for example, could be exploring a mediated solution or seeking to resolve the issue informally. Should either of these approaches be deemed inappropriate or inconclusive, a formal investigation of the complaint will take place with a view to determining the facts and the credibility or otherwise of the allegation(s) and, in appropriate cases, the imposition of a disciplinary sanction.

#### 4. Terms of Reference

Any investigation is governed by clear terms of reference, determined by College management following their consultation with the complainant and the alleged transgressor(s). Depending on the nature of the complaint, and the parties involved, the investigation is conducted by either of the following, as determined by the Chair of Board of Directors

- a senior manager of the college
- a small group of staff from ICPPD who work in different areas from the parties involved (gender balance should apply)
- an external investigator or
- any other arrangement which is agreed in advance with all concerned parties.

#### 5. Investigation

(a) Both the complainant and alleged transgressor(s) are informed in writing

- What the formal procedure entails and the relevant time limits. That both parties have a right to be accompanied and/or represented, by appropriate persons (no more than 3) of their choice at meetings
- That the alleged transgressor is to be given full details in writing of the nature of the complaint including written statements and any other documentation or evidence including witness statements, interview notes or records of any meetings held with the witnesses.





- That the alleged transgressor is to be given time to consider the documentation and an opportunity to respond
  - That confidentiality is to be maintained throughout any investigation to the greatest extent consistent with the requirements of a fair investigation
  - That a written record is to be kept of all meetings and investigations
  - That the investigation, having considered all of the evidence submitted and the representations made to it, is required to produce a written report to both parties outlining its findings and the reasons for its final decision
  - That if the complaint is upheld against an employee the report will recommend whether a disciplinary sanction should be imposed.
- b) In the course of investigating a complaint, ICPPD makes no assumptions about the guilt of the alleged transgressor. The investigation is conducted thoroughly, objectively, with sensitivity, utmost confidentiality, and with due respect for the rights of both the complainant and the alleged transgressor(s).
- c) The investigator(s) meet with the complainant, the alleged transgressor(s) and any witnesses or relevant persons on a confidential basis with a view to establishing the facts surrounding the allegations(s).
- d) Every effort is made to carry out and complete the investigation as quickly as possible and preferably within a mutually agreed timeframe.
- e) On completion of the investigation, the investigator(s) is required to submit a written report to the Board of Directors containing the findings of the investigation.
- f) Both parties are informed in writing of the findings of the investigation and are given the opportunity to comment on these findings before any action is decided upon by College management.

On completion of the formal investigation process, complaints are dealt with in one of three ways depending on whether the complaint is made against a member of ICPPD staff, a non-employee/non-Learner such as a visitor or contractor, or a learner.

#### 4.1.9 Outcome of Breach of Equality/Dignity Process

##### ***(i) In the case of ICPPD staff***

Should the investigator(s) advise that the complaint is well-founded, the alleged transgressor(s) is formally interviewed by ICPPD management to determine an appropriate course of action. Such persons will be entitled to be accompanied and/or represented by appropriate persons (no more than 3) of their choice this at meeting.

The gravity of the resulting findings determines the level of disciplinary action to be taken, which could, for example, involve counselling and/or monitoring and/or the imposition of a disciplinary sanction.

If it is considered that the complainant has brought charges improperly, the complainant is formally interviewed to determine an appropriate course of action, which could, for example, involve counselling and/ or monitoring and/or the imposition of a disciplinary sanction. If there is damage to reputation, there is an onus on the complainant to attempt to make reparation.

Any individual who misinforms the investigator(s) (e.g., witnesses) may be subject to penalties.

Before any proposed action is taken, both parties are fully informed of the outcome of the investigation and the action recommendation. Each party has the right to appeal any decision, which should be made to the Chair of the Board of Directors within two weeks of receiving notice of the



outcome and recommendation. An appeals officer, agreed with both parties, will be appointed to review the results of the investigation and the decision. The decision of the appeals officer is final.

***(ii) In the case of an ICPPD non-employee or non-Learner***

If a complaint is upheld against a non-employee, the report will recommend appropriate sanctions against the non-employee or their employer which could extend where appropriate in the circumstances to

- exclusion of the relevant individual from the premises
- transfer of ICPPD operations from a particular premise
- suspension/termination of a contract or service

The outcome of this process is discussed by the Board of Directors to determine the most appropriate course of action to take.

***(iii) In the case of Learners***

When a learner is accused of bullying, harassment, sexual harassment or discrimination, the matter is referred to the College's learners' disciplinary process under ICPPD's Learner Code of Conduct.

**C. External Procedures (Statutory Rights)**

The following external processes are additionally available to all parties under in relation to alleged transgressions under the College's Dignity and Equality Policy.

***(i) Equality Tribunal***

Using the complaints procedure does not affect the complainant's right to make a complaint of sexual harassment or harassment to the Equality Tribunal, under the [Employment Equality Acts 1998-2015](#). Any such complaint must be filed within six months of the alleged offence(s) being committed (this may be extended to 12 months if exceptional circumstances prevented the bringing of the complaint within the initial 6-month period). The Equality Tribunal may utilise Mediation or appoint an investigator who will call a hearing, investigate and issue a decision. Either concerned party can appeal the resulting decision to the Labour Court.

***(ii) Legal Redress***

Nothing in this Policy prevents the complainant from exercising their legal rights at any time. A description of these is beyond the scope of this policy, and it is advised that anyone seeking legal redress should seek legal guidance/support.

**4.1.10 Confidentiality**

All individuals involved in these procedures are required to maintain absolute confidentiality on the matter.

**4.1.11 No Victimisation**

An employee will not be victimised or subject to sanction for making a complaint in good faith, or for giving evidence in proceedings, or by giving notice of intention to do so.

**4.1.12 Audit/Review of Dignity and Equality Policy**

ICPPD is committed to ensuring that the Dignity and Equality Policy and procedures are adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the Board of Directors through the College Manager.



## 4.2 ICPPD as an Equal Opportunities Employer

ICPPD is an equal opportunities employer and is committed to the introduction and development of employment policies, procedures and practices which do not discriminate on grounds such as gender, marital status, religious belief, sexual orientation, family status, age, disability, race, and membership of the Traveller community.

ICPPD pledges itself to the development of a positive action programme and will promote equality of opportunity in all the College's activities.

### 4.2.1 Responsibilities of ICPPD as an employer

- ICPPD recognises that responsibility for ensuring the provision of equality of opportunity rests primarily within the College as an employer.
- The Board of Directors is responsible for the management and control of all the affairs of the College.
- The College Manager is responsible for ensuring that all employment policies and practices of the College reflect both the provisions of legislation and the College's policy in relation to equal opportunities, and has overall responsibility for the design, development, implementation and review of progressive HR policies and practices.

### 4.2.2 Staff Selection

- All employees are selected, promoted and treated on the basis of their abilities and merits only, and according to the requirements of the job. All employees will have the opportunity to show ability and to progress within the College.
- A record of each decision made throughout the selection process is retained as appropriate for one-year after the conclusion of the process.
- All advertisements will carry the statement of the College's commitment to equal opportunities.
- Application forms only require information deemed necessary for selection purposes.
- No question suggesting discrimination against persons will be asked in advance of appointment.

### 4.2.3 Shortlisting and Interviewing of Staff

- Shortlisting is done by matching the details of applicants to the requirements of the job.
- More than one person (both sexes where possible) conducts the shortlisting process, to avoid the possibility of gender bias.
- ICPPD does not tolerate bias against candidates on any grounds in relation to their suitability for any type of work.
- Shortlisting questions only relate to the requirements of the job.
- The personal background of candidates is only pursued in so far as it is deemed relevant to the candidate's fitness for the appointment. Where it is essential to assess if personal circumstances will affect performance, applicants will be asked only if they are aware of anything that might hinder their performance of the job.
- To avoid the possibility of bias all interviews are conducted by more than one person and the interview boards will be gender-balanced, if possible.
- Applicants will be assessed at the end of their interview on pre-set criteria.



#### 4.2.4 Training

- Training where appropriate to the needs of ICPPD and is available to all relevant staff. This means that in addition to training immediately relevant to the current roles, staff may avail of training to upgrade skills or enhance promotional prospects.
- Special training initiatives are developed to prepare employees for non-traditional roles.
- Stereotyping is eliminated from all training materials, e.g., visual aids, manuals, etc.

#### 4.2.5 Work-Life Balance

ICPPD promotes working arrangements which facilitate, combining work and family responsibilities. These include initiatives such as the provision of job sharing, flexible working practices, career breaks and family responsibility leave.

Maternity leave (under the [Maternity Protection Acts 1994-2004](#)), parental leave (under the [Parental Leave Acts 1998-2006](#)), adoptive leave (under the [Adoptive Leave Acts 1995-2005](#)), and carer's leave (under the [Carer's Leave Act 2001](#)) are provided without prejudice to promotion prospects.

All employees (including part-time, temporary and contract staff) are informed of their entitlements under relevant legislation, within their particular contract of employment.

#### 4.2.6 Audit/Review of ICPPD as an Equal Opportunities Employer

ICPPD is committed to ensuring that policies and procedures of ICPPD as an Equal Opportunities Employer are adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the Board of Directors through the College Manager.

### 4.3 Process for Resolution of Staff Grievances / Disputes with ICPPD

ICPPD is committed to the development and maintenance of a positive working environment for all staff; to the encouragement of communication between staff and management on all issues of concern; and to ensuring there is a rapid management response to issues raised by staff.

#### 4.3.1 Purpose

- It is the policy of the College to encourage staff to resolve problems and handle complaints informally and quickly, without recourse to formal disputes or grievance procedures. ICPPD endeavours to foster a working environment and working relationships in which the informal resolution of differences is the norm.
- It is recognised, however, that from time-to-time issues may arise which need more formal arrangements to ensure a satisfactory and effective solution. In such cases, the following Grievance Procedures will be utilised.
- This Grievance Procedure provides effective and fair processes by which staff can seek redress of grievance.

#### 4.3.2 Principles

This grievance procedure provides a comprehensive method for the resolution of grievances in the interests of the avoidance of conflict. Issues raised will be processed in accordance with the principles of full consultation during the process, and in accordance with the general principles of natural justice and fair procedures including that:



- the employee concerned has the right to a fair and impartial determination of the issues concerned, considering any relevant or appropriate evidence, factors or circumstances
- the employee concerned is given the opportunity to avail of the right to be represented during the procedure
- employee/s will not be penalised in any way for making a complaint in good faith, regardless of whether or not the complaint is upheld
- every effort will be made to adhere to the time limits prescribed in the procedure. The time limits laid down may be extended only by mutual agreement of the parties concerned
- all relevant documentation concerning the grievance will be made available, to all relevant parties, at each of the meetings at each of the stages of the procedure
- an employee may withdraw a complaint at any stage of the procedure.

#### 4.3.3 Scope

- This procedure shall apply to all staff of the College who may wish to raise a grievance, either individually or collectively.
- Cases of bullying and harassment should be dealt with through the College's bullying and harassment/dignity at work policy.
- Staff aggrieved by an action or decision taken in relation to the recruitment/selection process should appeal that decision to the College Manager.
- Any employee aggrieved by a legitimate work instruction, given by a manager should carry out such instruction under protest and refer it for processing through the appropriate channels i.e., Grievance Procedure.

#### 4.3.4 Procedures for Resolution of Grievances

Management and staff opinions may be at variance on occasion, but most routine complaints are capable of being resolved on an informal basis, without recourse to the formal grievance procedure. Where a complaint arises, the parties concerned are encouraged to strive to understand the other party's position and should seek, as far as possible, a mutually acceptable solution through informal means.

Without prejudice to their right to invoke immediately the Formal Grievance Procedure, an employee who believes they have been treated unjustly or unfairly is encouraged to raise their grievance through an informal approach with their immediate manager as a matter of first instance.

The stages set out are for use if there is a possibility of the issue in question being resolved at that stage. If it is clear that it will not be possible to resolve the issue, the stage(s) in question may be omitted, with the process moving to the next appropriate stage. In certain circumstances, the parties may agree to proceed immediately to stage 3 of this procedure if agreement cannot be reached at stage 1.

##### ***Prior to invoking the Grievance Procedure***

A grievance may be defined as a complaint which a staff member has concerning their terms and conditions, working environment or working relationships. Such issues are normally raised with the College Manager, and an attempt should be made to resolve the matter in this manner.



The Grievance Procedure may be formally invoked by an individual employee where such informal process fails to resolve the issue or where such a process is not appropriate. [In certain circumstances where both parties are agreeable, the matter may be referred to mediation.]

The aggrieved employee may be accompanied or represented at each stage by a representative/s or a work colleague who may make representations on their behalf.

### **Stage 1**

- This grievance procedure must be formally invoked. The individual employee should formally do so in writing setting out for the College the nature of the grievance.
- A meeting will normally be held within six working days of the grievance procedure having been invoked.
- The meeting will normally be between the complainant (their representatives or colleague) and the person whom the complaint is made against, who may be accompanied by an appropriate member(s) of the College's management.
- If the matter is not resolved at the meeting or fails to be resolved or progressed to the satisfaction of the complainant, the matter may be referred to a Stage 2 meeting by the employee within six working days of College's management's response, or Stage 2 may be formally invoked immediately and subsequently confirmed in writing.

### **Stage 2**

This stage will take place involving the complainant (with their representative or work colleague) and with the College Manager. The College Manager ensures that they are fully familiar with the issue(s) concerned.

This meeting takes place within six working days of the referral of the matter.

If the matter is not resolved at the meeting or fails to be resolved or progressed to the satisfaction of the complainant, the matter may be referred to a Stage 3 meeting by the complainant where possible within six working days following management's response or Stage 3 may be invoked immediately and subsequently confirmed in writing. Otherwise, the complainant shall, except in circumstances agreed as exceptional, indicate their position within twelve working days following management's response thereby concluding the internal stage of the procedure.

### **Stage 3**

- ☐ If the matter remains unresolved to the satisfaction of complainant, they have the option of referring the matter to the Labour Relations Commission/Rights Commissioner Service/Conciliation service of the Labour Relations Commission or an alternative agreed third party as soon as practicable.

#### **4.3.5 Audit/Review of Resolution of Grievances / Disputes Policy**

ICPPD is committed to ensuring that policies and procedures relating to the Resolution of Grievances / Disputes are adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the Board of Directors through the College Manager.



## 4.4 Safety Statement / Health & Safety Policy

### 4.4.1 Introduction

ICPPD is committed to a policy of co-operation and compliance with any directives issued on behalf of the Health and Safety Authority (HSA) - the body charged with the enforcement of health and safety care at work. To this end ICPPD will:

- facilitate and co-operate with inspectors of the HSA during visits or inspections
- take immediate corrective action to comply with improvement notices or prohibition notices
- report to the HSA all prescribed accidents and dangerous occurrences
- seek the advice of the HSA where necessary in matters of health, safety and welfare at work
- stay up to date with health and safety legislation, codes of practice and guidance issued, through use of HSA website- [www.hsa.ie](http://www.hsa.ie), UK HSE website [www.hse.gov.uk](http://www.hse.gov.uk) and health and safety consultants
- implement codes of practice, or guidance documents issued by the HSA.

ICPPD has prepared a Safety Statement to detail the activities and processes which have been implemented within the College to meet its statutory requirements in relation to safety, health and welfare at work. This safety statement deals in the main with the health and safety issues that fall within the remit of ICPPD's premises and operations. The master Safety Statement is held in the Front Office with a copy in the Library

### 4.4.2 Statement of Safety, Health and Welfare at Work Policy

The policy of ICPPD is, in so far as is reasonably practicable, to ensure the safety, health and welfare at work of all the College's employees and further to ensure that persons not in the College's employment, who may be affected by the work activities are not thereby exposed to risks to their safety and health.

In particular ICPPD recognises its express responsibilities under *Section 8* of the [Safety, Health and Welfare at Work Act, 2005](#) will provide the necessary resources, structures and procedures required to safeguard the College's staff, learners and visitors against the risks arising from activities in the workplace.

ICPPD considers that it is the strict duty of all staff and learners to conform to ICPPD safety policies and practices and to carry out their responsibilities as detailed in this document and in accordance with any other relevant legislation. Staff members with specific responsibilities for safety, health and welfare must properly delegate these in their absence.

Each employee is expected to make himself/herself familiar with the ICPPD Framework Safety Statement. Staff and learners who fail to cooperate with safety procedures may be subject to the normal ICPPD disciplinary procedures.

### 4.4.3 Audit/Review of Health and Safety Policy

ICPPD is committed to ensuring that Health and Safety policies and procedures are adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the Board of Directors through the College Manager.



## 4.5 Disability Policy and Supports for Staff and Learners with Disabilities / Additional Learning Needs

### 4.5.1 Definition of Disability

The [Employment Equality Acts 1998–2015](#) and [Equal Status Acts 2000-2018](#) as amended, defines disability as follows:

- 1 The total or partial absence of a person's bodily or mental functions, including the absence of a part of a person's body.
- 2 The presence in the body of organisms causing, or likely to cause, disease or illness.
- 3 The malfunction, malformation or disfigurement of a part of a person's body.
- 4 A condition or malfunction which results in a person learning differently from a person without the condition or malfunction.
- 5 A condition, disease or illness which affects a person's thought processes, perception of reality, emotions or judgement or which results in disturbed behaviour.

### 4.5.2 Disability Awareness Training

Disability awareness training is incorporated in the College's annual staff development programme and all staff are required to participate on a regular basis. Additional specialised training will be made available to individual staff members if/as necessary.

### 4.5.3 Action on Disability

ICPPD Affirmative Action Policy applies to, but is not limited to, all employment practices within the College. ICPPD endeavours to make all reasonable accommodations for the functional limitations of applicants, employees, contract staff and learners with disabilities.

Applicants, employees, contract staff and learners with disabilities at ICPPD are protected from coercion, retaliation, interferences, or discrimination for filing a complaint or assisting in an investigation of a complaint.

All complaints are handled in a confidential and respectful manner.

### 4.5.4 Application Support for Learners

ICPPD welcomes applications from learners with disabilities and is committed to ensuring that every effort, where possible within financial and practical limitations, is made to facilitate learners' access to and participation in college programmes.

ICPPD is committed to promoting inclusive access to all programmes and recognises that some learners attending training may present with a specific need or require additional support to enable them to participate fully.

Applicants with a disability or who may require additional learning supports are provided with pre-entry information on their course of choice, if/as requested. This information includes an outline of the demands of the relevant programme to facilitate the learner deciding their suitability/ability for the programme.

Learners with a disability or who require additional learning supports, are invited to indicate this either at application stage or registration stage of the admissions process to ensure that the College can evaluate any additional supports required.





If a learner with a disability or who requires additional learning supports does not make themselves known to the College until the beginning of the academic year, or, during the academic year, the College will endeavour to ensure that appropriate reasonable accommodations are put in place as soon as practicable.

This information is compiled and dispatched by the administration office, in liaison with the Registrar's Office and/or the relevant Programme Leader/Academic Director.

While there is no obligation on any learner to disclose their disability, non-disclosure has the obvious effect of potentially limiting the provision of appropriate services and facilities and may impact on the learner's ability to fully engage with their academic programme.

Applications and admissions information is made available in alternative formats if requested, where possible.

#### 4.5.5 Reasonable Accommodation of Learners

ICPPD adopts the [AHEAD Charter for Inclusive Teaching and Learning](#) and reflects this in the admissions, teaching, learning and assessment practices of the College.

Reasonable accommodation means that modifications will be made to the academic or learning environment to support the creation of equal educational opportunities, where feasibly possible to do so.

##### 4.5.5.1 Training Facilities

ICPPD takes into consideration the requirements of all learners when sourcing off-site educational facilities to provide 'reasonable accommodation'. Training Venues are readily accessible for learners with physical disabilities, including wheelchair users. ICPPD will discuss any accessibility issues with all prospective learners. Once prospective learners formally disclose to ICPPD any disability or special requirements they may have, their requirements are considered in detail, and the college can determine what additional supports it may be possible to provide.

##### 4.5.5.2 Additional Learning Supports – Personal Support Plan

###### **Personal Support Plan:**

When registering on a programme any documentation to support their requirements should be forwarded to the college registrar. Learners meet with the Academic Director and the registrar to discuss their needs and how the college can help to meet their needs. A Personal Support Plan is devised in collaboration with the learner and communicated to any faculty with whom the learner will engage with over the duration of their studies.

This may include where appropriate:

- support to assist with workload planning including time extensions for assignments
- a review of assessment tasks and any issues that arise for the learner with reference to the programme schedule.
- study skills and learning support
- advice on appropriate assistive technology

Note: Written Examinations are not currently an assessment mode utilised by ICPPD on any programmes offered. Should this change appropriate supports will be made available to learners with specific learning needs.



#### 4.5.6 Learner Orientation

All applicants with disabilities are offered the opportunity of an individual orientation with a designated member of college staff, prior to or on commencement of their programme. This is an opportunity to discuss their needs and to become familiar with the layout of the main building and/or delivery venue.

In so far as is possible, College staff endeavour to support learners with disabilities to meet their full academic potential.

The main role of these services is to support students with disabilities and ensure they can participate fully in all aspects of student life as well as to help minimise the impact of their disability on their learning.

#### 4.5.7 Audit/Review of Disability Policy

ICPPD is committed to ensuring that the Disability Policy and related procedures are adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the Board of Directors through the College Manager.

### 4.6 Risk Management Policy

#### 4.6.1 Introduction

The purpose of ICPPD's Risk Management Policy is to provide a framework and procedure for management to identify, assess and rate risks and to develop strategies to deal with risks so as to provide reasonable assurance that the strategic objectives of ICPPD are achieved.

#### 4.6.2 Aims

ICPPD's Risk Management Policy explains the College's approach to risk management and documents the roles and responsibilities in relation to risk management of the Board of Directors and the Executive Management Team.

The policy aims to:

- ensure a consistent approach to the application of risk assessment and management
- create and maintain a culture of risk awareness
- promote a risk aware organisation through risk assessment and proactive risk management across all services

#### 4.6.3 Scope

Risk Management is considered across the college and policies are integrated into existing management systems. ICPPD is committed to ensuring that the Risk Management Policy and Procedures:

- inform the strategic and operational planning and performance cycle
- are effective and proportionate to the organisation
- are considered at all levels of the organisation
- are established both as part of normal day to day business



#### 4.6.4 Categories of Risk

Risks, by their very nature, may or may not occur and fall into a variety of categories, some of the most common being:

Strategic Risks	The inability to achieve the organisation's strategic and operational objectives
Financial Risks	Exposure to losses arising as a result of inadequate controls or the need to improve the management of the organisation's financial assets
Compliance Risk	Not complying with all the applicable laws and regulations. As laws change all the time, there is always a risk that future regulations will not be identified, and that compliance is not ensured before regulations are implemented
Reputational Risks	Public perception or uncontrollable events to have an adverse impact on the College's reputation and have a consequent result in a decrease in learner numbers or a difficulty in retaining faculty and staff

#### 4.6.5 Responsibility for Risk

**Board of Directors:** Overall responsibility for the management of risk within the organisation lies with the Board of Directors. The Board of Directors has specific responsibility for strategic risks faced by the college. The Board of Directors approves the college's Risk Management Policy and satisfies itself that the policy is effective, that an adequate Risk Management Procedure is in place in the organisation and that fundamental risks are being managed appropriately by the Executive Management Committee.

**Executive Management Committee:** The EMC is charged embedding a risk-aware culture in the college and with responsibility for the implementation of the College's Risk Management Policy with regard to identifying and monitoring operational and financial risks. The EMC reports to the Board of Directors, via the College Manager, to report a new risk that has arisen or where there are significant changes in circumstance surrounding an existing one. The EMC ensures that each operational or financial risk has a risk owner and that individuals understand what level of risk they are empowered to take on behalf of the organisation.

**QA and Enhancement Committee:** The QA and Enhancement Committee is responsible for monitoring of compliance risk, reviewing and updating of existing Quality Assurance policies and procedures and identification and assessment of risk as it relates to programme development, delivery and review. The committee is also responsible for ensuring the effective management of data in order to ensure compliance with legislative and regulatory requirements.

**Marketing Working Committee:** The Marketing Working Committee is responsible for identifying and assessing ant risks to the college's reputation such as negative publicity or public perception.

#### 4.6.6 Risk Management Process

The Risk Management Process details the steps which when taken in sequence, enable continual improvement in decision making. It is a system of identifying, assessing and rating risk.

Risk Management comprises the following components:

- **Risk Identification** - Risk Identification produces a list of the potential risks that could impact on the organisation achieving its objectives.

Risks are identified (commonly under four pre-defined categories as set out above in 4.6.3) and prioritised.

A formal risk identification and review exercise are undertaken on at least an annual basis to update the Risk Register.

- **Risk Assessment** - Risk Assessment has two dimensions:
  1. The **impact** on the college should the risk materialise. This is categorised from 1-3 (Minor, Moderate, Severe)
  2. The **likelihood** of the event occurring. This is categorised from 1-4 (Rare, Unlikely, Possible Likely)

A matrix is then constructed so that risks are prioritised from High Impact/High Likelihood to Low Impact/Low Likelihood by calculating the product of the two ratings.

- **Risk Rating Matrix**

Risk Rating		Impact		
		Minor (1)	Moderate (2)	Severe (3)
Likelihood	Likely (4)	4	8	12
	Possible (3)	3	6	9
	Unlikely (2)	2	4	6
	Rare (1)	1	2	3

- **Grades of Risk:**

Low Risk	1-4
Moderate Risk	5-8
High Risk	9-12

#### 4.6.7 Risk Register

ICPPD's Risk Register is a tool for recording, monitoring and reporting the management of risk in the college.

The outcome of this Risk Management Process is documented in the Risk Register, allocating a grade of risk to any items across the above categories in 4.6.3.



The Risk Register is prepared annually by the Executive Management Committee and presented to the Board of Directors by the College Manager.

Using the Risk Register the college will determine which actions are necessary to mitigate risks or whether there is a tolerable level of risk the college is prepared to accept.

#### 4.6.7.1 GDPR Risk Register

An additional risk register is maintained to identify and mitigate against data protection risks and to demonstrate compliance in the event of a regulatory investigation or audit.

#### 4.6.8 Review/Audit of Risk Management Policy

ICPPD's Risk Management Policy and Process will be reviewed an annual basis in order that the best practice is always applied. This review will be conducted by the Board of Directors through the College Manager.



## SECTION B PROGRAMME RELATED POLICIES AND PROCEDURES

### Chapter 1 Design and Approval of Programmes

ICPPD is committed to the development, delivery, evaluation, assessment, and procurement, of highest standards academic programmes and training courses that meet national and international education and training provision requirements. The validation of programmes of education and training provided by ICPPD, is conducted by the College in accordance with *sections 44 and 45* of the Qualifications and Quality Assurance (Education and Training) Act 2012.

ICPPD ensures that programmes are developed (designed and re-designed) to meet identified learning needs through a process of regular self-evaluation, and review with potential and current learners, stakeholders, and staff. Feedback is garnered from learners and ICPPD graduates on current and future training needs, in the context of continued professional development (CPD) requirements. The College utilises up-to-date knowledge of developments in relevant subject/professional areas through recent publications, professional and academic journals, attending conferences, and membership of professional bodies (committees), as well as engaging in research. On-going consultation with stakeholders from the relevant professional organisations and agencies is also a central element in this process.

Potential modules, courses and programmes, developments including their most appropriate formats and delivery modes, are initially discussed internally within ICPPD to ensure that the proposed new programme or the development to an existing programme supports market requirements.

#### 1.1 Programme Development Aims

In developing its academic and training programmes, ICPPD aims to

- ensure that each educational/training programme's content reflects international trends within the relevant subject/professional area
- emphasise the importance of linking theory with practice, and support an appropriate balance between the two
- respect diversity in ways of learning, and encourage active and participatory learning
- recognise the prior experience and present learning-needs of learners wishing to undertake ICPPD programmes
- mindfully adopt the language of diversity and encourages reflection on outdated, limiting, and inflexible constructs which undermine respect for the other
- ensure on-going learner support from academic, and learner care staff
- facilitate provision of prompt feedback to learners on continuous assessment
- encourage learners to reflect on their learning (through appropriate scheduling)
- support learner expectations to meet standards and attain programme outcomes
- maintain an atmosphere of respect and trust between College staff and learners
- ensure that all programmes are inclusive in their access and delivery.

In developing academic programmes, which attract credit and lead to awards within the [National Framework of Qualifications \(NFQ\)](#), ICPPD meets QQI's requirements as published in the [Awards](#)



Standards - Counselling and Psychotherapy – QQI, 2014, , and are designed in accordance to the Policies and criteria for the validation of programmes of education and training (Nov 2017) and QQI Policy for Determining Awards Standards 2014.

## 1.2 Programme Design & Development – Internal Process

ICPPD defines its programmes into the following two categories:

- **academic programme:** these programmes are defined as programmes which attract credit and lead to (normally major) awards within the [National Framework of Qualifications \(NFQ\)](#).
- **training programme:** these programmes are defined as professionally accredited programmes or short (CPD) programmes which may/may not attract credit and may/may not lead to a minor, special purpose or supplemental award.

Irrespective of category, all programmes are subject to the College’s internal programme design/development process.

- Any member of staff, or associate staff, within ICPPD may propose a new programme for development within the College, or a change to an existing programme. Requests for specific programme development may also come from individual organisations.
- This proposal is presented to the Academic Director using the Proposed Programme Development Approval Form. Requests for specific programme development may also come from individual organisations.
- The Academic Director presents the details of the proposed programme to the Academic Board. ICPPD’s Academic Board’s identification of strategic academic priorities, supported by institutional/programmatically reviews and quality assurance self-evaluation, is the main process for identifying the requirement for programme development within ICPPD.

The Academic Board serves to provide plans within which proposals for new programme development, for changes to existing programmes, and for discontinuing programmes, are compiled. Programmes may also be generated to address skill-shortages, to address changes within the sector being implemented by the relevant professional body, to fulfil the demand for trainees/graduates in a particular area, to provide staff training/re-training within a particular sector, or for ICPPD staff development purposes.

The Proposed Programme Approval Form if relevant is appraised for feasibility consideration and to secure its approval to progress, in accordance with programme viability evaluations as defined in [Policies and criteria for the validation of programmes of education and training \(Nov 2017\)](#) and [QQI Policy for Determining Awards Standards 2014](#).

The Academic Board may decide to approve or not approve further programme development. If approved, the proposed programme plan is presented to the Board of Directors who will review the viability of the programme in terms of resources required.

- The Board of Directors may decide to approve or not approve further programme development. On approval to proceed, the Academic Director nominates a Programme De-

velopment lead/group to drive the process within the College, and to complete the appropriate documentation in a time-frame consistent with the academic calendar (and validation bodies' review meeting dates).

- The programme development lead/group undertakes to collate data in support of the proposal, and to make the market case for new programme proposal. This may include a survey of the potential market, and liaison with relevant stakeholders.
- The programme development lead/group develops the programmes documentation. QQI requires that an application for validation must supply a detailed description of the programme, its context, its educational objectives and its target learners and their characteristics. QQI resources ([Validating QQI Award programmes | Quality and Qualifications Ireland](#)) are used for this purpose, and the description includes a proposed programme schedule. The programme assessment strategy and module assessment strategies (in accordance with QQI's Assessment and Standards 2009 document) are also provided.
- ICPPD also provides a *critical self-assessment* of the proposed programme against the applicable validation criteria, as set out in in [Policies and criteria for the validation of programmes of education and training \(Nov 2017\)](#).
- In summary, on completion, the following documents are prepared to support ICPPDs proposed programme validation:
  - Proposed Programme Schedule
  - Programme information, as identified in the [Validating QQI Award programmes | Quality and Qualifications Ireland](#) which includes minimum intended programme learning outcomes, profile of the proposed programme's target learners, programme assessment strategy, module assessment strategies
  - Critical Self-assessment Report
  - Consortium Agreement(s) (when applicable)
- The programme documentation is presented to the Academic Board to assess its completeness and accuracy with reference to:
  - relevance of the programme to ICPPD's mission and objectives
  - [Policies and criteria for the validation of programmes of education and training \(Nov 2017\)](#)
  - QQI and ICPPD associated policies on access, transfer and progression; programme development; academic quality; clinical practice; etc.
  - the programme's academic content, and proposed assessment mechanisms
  - available/required academic facilities, human resources and learner supports [since the Executive Management Committee has previously considered the financial implications and feasibility of the proposal. The Programme Board is required to check that this section has been completed and is relevant and accurate].

The Academic Board's role is to ensure that the document supports the ICPPD's academic strategies and mission and meets the College's/awarding bodies' quality and standards requirements.



- On approval, by the Academic Board, and following consultation between the Academic Director and the Registrar, an external academic expert (or group of experts, if/as appropriate) who is familiar with the particular subject area, the award standard, and the programme evaluation process, will be invited to review the programme before sending it to the accreditation/professional body for evaluation (i.e., a Mock Panel).
- Subsequent to the review, the Academic Director/Programme Development Team will be notified of the outcome of the evaluation, and this output will be considered by the programme development team.
- Any necessary changes arising from the expert review are incorporated into the programme documentation, and the final document, plus the updated critical self-assessment report, is circulated to Academic Board for final sign-off, in advance of its progression to the external process.
- The Registrar arranges to submit the appropriate documentation to the relevant validation bodies, in a time-frame consistent with the academic calendar and the external agency's scheduled review dates.

### 1.3 Programme Design & Development – External Process

- The Registrar in consultation with the Academic Director arranges to submit the appropriate documentation (including as relevant the programme submission document, the declaration of protection for learners, and the transfer arrangement confirmation) to the relevant validation body, in a time-frame consistent with the academic calendar and the agency's review meeting dates.
- The specified number of printed copies of the application, together with an electronic version and appropriate fee, are sent to the relevant validation body (currently only QQI).
- Review of the programme is undertaken by the relevant validation body and/or the associated professional bodies, if relevant.
- On receipt of the programme documentation, the validating body organises a validation visit (in consultation with relevant ICPPD personnel). The validating body involved determines the nature of the meeting, the date and agenda for the meeting, and organises the relevant panel members (some of which may be nominated by ICPPD).
- ICPPD reviews the membership of the panel for any conflicts of interest and reports back to the validating agency.
- The validation process typically involves an onsite visit where the panel review the submission, meet with senior management and the programme team (and sometimes Learners), and conduct a review of the resources available to support the operation of the programme. The visit is conducted in accordance with the validating body's procedures for programme validation.
- The validating panel formulates initial conclusions and usually conveys them informally to ICPPD management on the conclusion/occasion of the visit. The panel's final conclusions, conditions and recommendations, if appropriate, formally communicated later in a written report. This report may contain conditions, recommendations, or suggestions for the programme.
- Where conditions and/or recommendations are attached, a formal response is developed by the programme development team under the leadership of the Academic Director, is reviewed and approved by the Programme Board and Academic Board and is submitted to the accrediting body by the Registrar.



#### 1.4 Programme Design & Development – Programme Planning Process

- ICPPD endeavours to close out issues arising from the programme design/development in a timely, efficient and controlled fashion to facilitate the smooth operation of College academic support activities.
- To assure the close-out of identified issues, communication with all internal functional areas, relevant academic committees, and external agencies, including accreditation agencies and liaison with professional bodies is vital.
- Completion of the programme development activity within the College necessitates the update to the College information management systems, to ensure that programme changes are captured in human resource allocation, programme timetabling, learner handbooks, marketing information, etc.
- The approved programme submission is developed into a Programme Plan. This process involves developing a detailed plan covering specific approved curriculum; the recruitment and admission of learners; development of programme documentation; reflection on the assessment modes; and scheduling, monitoring and review procedures. Outline schedules and timetables are prepared, and attention is paid to identifying specific trainer and learner needs and to co-ordinating a mix of training styles and modes to ensure that the programme can be effectively delivered. Programme design, development and delivery is the responsibility of the Programme Development Team under the guidance of the Academic Director.
- Specific programme record-keeping forms (including marking schemes and attendance records), learner handbook, and feedback and evaluation forms are also prepared by the College Administrator under the guidance of the Academic Director and in accordance with College document drafting procedures.

#### 1.5 Professional Accreditation of Programmes

A number of professional and other bodies award credit to recipients of QQI awards in the form of examination exemptions and/or admission to higher years of undergraduate programmes. In some instances, these bodies recognise ICPPD as an approved teaching and examination centre for professional courses.

From a counselling/psychotherapy perspective, the [Irish Association for Counselling and Psychotherapy \(IACP\)](#) is the professional bodies with which ICPPD has engaged in discussions regarding programme accreditation. ICPPD's Diploma in Advance Supervision Programme is also accredited by IACP.

The College actively explores and pursues exemption/accreditations for its programme suite as new courses are being developed, and also on an ongoing basis for existing courses in accordance with ICPPD's access, transfer, and progression strategies. When new programmes are being developed within ICPPD, the proposed programme submission is structured in a manner which meets the requirements of the relevant counselling/psychotherapy professional bodies, as well as the validating agencies.

Other professional body engagement, to facilitate programme review, is considered on a course-by-course basis, dependant on the programme specialisation, and will be reviewed as the programme offerings are developed.

#### 1.6 Collaborative Provision, Transnational Provision and Joint Awards

According to the QQI's [Policy for Collaborative programmes, transnational programmes and Joint Awards \(Revised 2012\)](#) document '*collaborative provision, transnational provision and joint awards play important roles in education and training*'. Within this context, and subject to the [Qualifications and Quality Assurance \(Education and Training\) Act 2012](#), QQI accredits or jointly accredits (with other



authorities) collaborative programmes and transnational programmes, and makes and recognises joint awards.

While ICPPD has developed links with other providers of higher education to support its current outreach provision, the College does not currently engage with any third-party in relation to collaborative programmes, transnational programmes and/or joint awards.

It is ICPPD's intention that in advance of undertaking any such partnership discussions that the College will engage with QQI to establish appropriate due diligence procedures for the administration, operation and quality assurance of the collaborative programme, transnational programme or joint award (including necessary document templates for memoranda of understanding and collaborative agreements), to support the engagement, and to ensure that standards and assessment, and related academic matters, are equivalent to those for programmes within the National Framework of Qualifications, and are consistent with statutory requirements.

### 1.7 Audit/Review of Programme Design and Approval Policies and Procedures

ICPPD is committed to ensuring that Programme Design and Approval Policies and procedures are adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the Academic Director, reporting to the Academic Board.

## Chapter 2 On-Going Monitoring and Periodic Review of Programmes

QQI's [Policy for Cyclical Review of Higher Education Institutions](#) states that *'providers of higher education have primary responsibility for their quality assurance. This principle is laid down in Irish law [reference the Qualifications and Quality Assurance (Education and Training) Act 2012] and in the Standards and Guidelines for Quality Assurance in the European Higher Education Area. A core element of contemporary quality assurance practice is external review of the institution as a whole.'*

In this context, ICPPD agreed its quality assurance procedures with QQI in 2012, and has continued to develop these procedures in accordance with QQI's [Core Statutory Quality Assurance \(QA\) Guidelines: Statutory QA Guidelines developed by QQI for use by all Providers \(April 2016\)](#), and [Sector Specific Quality Assurance \(QA\) Guidelines: Statutory QA Guidelines developed by QQI for Independent/ Private Providers coming to QQI on a Voluntary Basis](#).

Therefore, ICPPD has implemented processes for quality monitoring, self-assessment system and periodic review of its systems, in accordance with [Qualifications and Quality Assurance \(Education and Training\) Act, 2012](#), to ensure that it evaluates all programmes at regular intervals and as directed from time to time. ESG (standard 1.7, Information Management) requires the College to *'collect, analyse, and use relevant information for the effective management of their programmes and other activities'*.

Quality assurance processes are implemented by the College

- through (routine) monitoring and evaluation of programmes, which is conducted on an on-going basis.
- by obtaining feedback from internal and external sources, for the purpose of further improving and maintaining the quality of education and training which it provides, organises and/or procures.
- more formally, through a cyclical (institutional) review processes.

The purpose of these programme-facing quality assurance procedures is to



- ensure systematic processes exist for gathering and considering information that may be used to improve the delivery of the programme, learner support services and the overall learner experience
- ensure the programme remains current and continues to meet its stated aims
- monitor the extent to which learners are meeting the intended learning outcomes of the programme and the assessment mechanisms are appropriate
- support the development of a quality culture in which all participants are aware of their respective roles and that observed weaknesses in the programme are addressed
- embed programme monitoring within a framework of systematic periodic reviews.

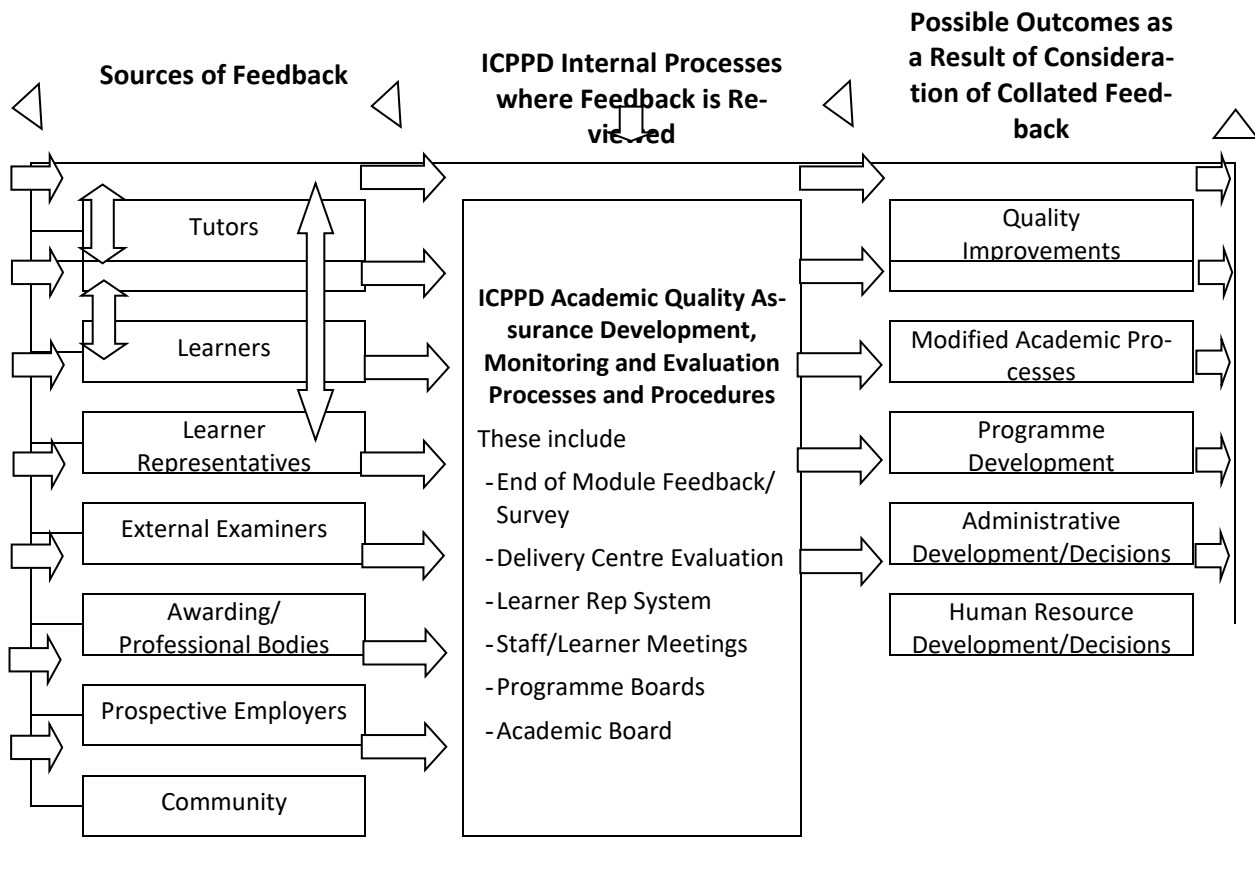
These procedures facilitate the College to encourage learner participation in the quality feedback process in accordance with the (QFI-adopted) [Irish Higher Education Quality Network Common Principles for Learner Involvement in Quality Assurance/Quality Enhancement \(2009\)](#).

Quality assurance feedback is garnered within ICPPD through a number of formal and informal processes including

- cyclical (institutional) review
- employer/professional body consultation
- external examiner's reports
- external observer (ref professional body) reports
- learner survey/feedback
- programmatic review activities
- programme boards
- tutor/staff survey/feedback

Feedback obtained and gathered through quality monitoring, feedback and self-assessment activities within the College may ultimately result in changes to existing programmes or the development (or procurement) of new programmes by ICPPD. A graphical representation of how this information is reviewed, processed and actioned by ICPPD is provided in **Figure 2.1** below.

[Figure 2.1 Sources of Quality Assurance Feedback at ICPPD, Internal Processes which Review Information, and Possible Outcomes](#)



## 2.1 Programmatic Review

Programmatic review within ICPPD takes place in the context of the strategic and academic planning framework, in accordance with the requirements of the Policies and Criteria for the Validation of Programmes of Education and Training (QQI, 2016), and must have regard to programme developmental plans, and anticipated or completed institutional self-study activities. Programmatic review is a self-assessment process at programme level whereby the College conducts a critical evaluation of its programmes and the services related to those programmes and produces a report for subsequent peer review.

QQI has responsibility, under section 46 of the [Qualifications and Quality Assurance \(Education and Training\) Act, 2012](#), 'to monitor and evaluate the quality of programmes of education and training in respect of which awards are made'. To relevant monitoring and evaluation activities are facilitated through the Policies and Criteria for the Validation of Programmes of Education and Training (QQI, 2016) policy documents to define the processes to be undertaken/followed during programmatic review.

In addition, QQI involvement with the process is required if revalidation of programmes is required on completion of the review process. The required process for revalidation is defined in the [Policies and Criteria for the Validation of Programmes of Education and Training \(QQI, 2016\)](#) document. ICPPD apply the requirements and procedures outlined within this document as appropriate to the programme for review when revalidation is required on completion of the review process.

Requirements and arrangements for individual programmatic reviews/monitoring events are determined by College management, in consultation with the Academic Board, and the relevant accreditation agency, as appropriate. Programme reviews normally take place every five years, in advance of



the date for revalidation, but may be undertaken more frequently if circumstances (or accreditation agency) require.

### 2.1.1 Aims and Objectives

The aim of the programmatic review processes is to conduct a critical evaluation of the ICPPD's operation and delivery of (relevant) programmes, to ultimately generate a plan for the College in respect of the specific programme provision, the associated resources and facilities, and to determine supporting strategic initiatives required for ongoing success.

### 2.1.2 Process: Programmatic Review

Programmatic Review is informed by the QQI resources and procedures - [Validating QQI Award programmes | Quality and Qualifications Ireland](#) – and evidenced through the Programme Review Report, Self-Evaluation Report (SER) which is prepared within the College

The appropriate content and process for consideration of the data and information for the previous five years in respect of the approved programmes being reviewed, and the planned and proposed activities for programme development over the next five years, are outlined in the relevant accreditation agency guidance documentation.

ICPPD is fully cognisant that the programmatic review findings submitted may be shared routinely across the constituent agencies of QQI, and any other relevant authorities, as the monitoring agency considers appropriate, and that this sharing of information extends to all findings that emerge during those processes.

### 2.1.3 Follow-up: Programmatic Review

Following programmatic review process, the College (through the Programme Board led by the Academic Director) addresses any outstanding issues in relation to the programmes under consideration. In addition, ICPPD may be requested to identify corrective actions for more general issues within the College (in relation to specific action items referred to in the final report).

ICPPD programmatic review follow-up reports are reviewed and approved by the College's Academic Board. The defined corrective actions are implemented by individuals nominated by the relevant Programme Board, and progress is monitored through the Academic Board.

ICPPD is fully cognisant that the review findings may be shared routinely across the constituent agencies of QQI, and any other relevant authorities, as the monitoring agency considers appropriate, and that this sharing of information extends to all findings that emerge during these processes.

## 2.2 Programme Boards

ICPPD requires that Programme Boards are established for all academic programmes being offered within the College. The terms of reference, composition, etc. of Programme Boards are provided in **Section 1, Chapter 2, 2.2.5**.

Feedback from Programme Boards regarding existing or proposed programmes is reviewed as and processed through the College's Programme Design and Approval procedures (as identified in **Section B, Chapter 1**)

## 2.3 External Examiners' Reports

The process for garnering feedback from external examiners is considered a vital element of the ICPPD's Quality Assurance monitoring and programme development processes. External examiners are appointed by ICPPD in accordance with the procedures/guidelines for external examiners which are also set out in **Section C, Chapter 5, 5.1**.



The external examiners' report is the examiner's personal reflection on the academic standards and achievement of the learning outcomes of the programme, and the internal administration of the external examination system, to defined criteria. The report provides a considered reflection on the relevant programme and the College's assessment process and indicates suggestions that the external examiner feels should improve the standard of the resulting graduate, the programme, or the assessment process.

In addition, the collated feedback from the external examiners' reports is analysed across outreach centres to ensure that there is consistency in the application of standards in delivery and assessment of programmes irrespective of location.

The External Examiner's report is discussed at the relevant Programme Board, and statistics and issues are reported annually to the Academic Board by the Registrar.

On completion of their term of office the External Examiner may additionally submit a general report to the College summarising on their opinion of the standards of the programme and learner performance, and their communication with and overall impression of their experience of engagement with the ICPPD external examination process.

## 2.4 Learner Evaluation/Feedback

Consistent with the requirements of [Qualifications and Quality Assurance \(Education and Training\) Act, 2012](#), as effected through QQI, ICPPD has developed procedures which facilitate the determination of '*learners' satisfaction with their programmes*'. ICPPD has developed learner feedback/evaluation procedures and policies for quality assurance for the purpose of enhancing and maintaining the quality of the education and training it provides.

### 2.4.1 Aims/Objectives: Learner Evaluation/Feedback

ICPPD's aim in conducting processes which facilitate learner evaluation/feedback in relation to their perception of the quality of their programme, and the services related to that programme, is with the purpose of further improving and maintaining the quality of education and training which the College provides.

The primary objective of this learner evaluation/feedback is both to meet the College's statutory requirements in relation to learner feedback, under the [Qualifications and Quality Assurance \(Education and Training\) Act, 2012](#), and to enhance the quality of education and training provision. Learner feedback is collected to gain meaningful feedback on learners' perception of the current quality and standard of programme delivery and service provision to support existing and prospective academic and training programme development and support service enhancement.

In addition. Learner Evaluation/Feedback is compared and for programme provision across the outreach centres to ensure that there is consistency of standards in delivery and assessment of programmes irrespective of location.

The information garnered through these mechanisms is utilised in the College's strategic and academic planning, through institutional review, programmatic review and programme development, and for measuring the effectiveness of the College's learner and academic support services.

### 2.4.2 Process: Learner Evaluation/Feedback

Learner feedback is gathered by ICPPD through the following mechanisms

- **Feedback to Academic and Support Staff**



ICPPD encourages and supports an open and frank working relationship between learners and staff within the College. The relationship between the learner and the academic staff is considered critical to the process for collection of learners' feedback in relation to their programme, and the services related to that programme, in a timely and efficient manner.

• **Formal communication between Learner Representatives and the Academic Director**

A minimum of one class representative is elected for each academic programme at each delivery location. Learner representatives are nominated by their peers at the beginning of the academic session/programme/module commencement. Formal plenary meetings between the Learner representatives and the Academic Director are held on a minimum of one-per-term basis. These are considered useful to obtain learner feedback and to hear of any issues which may be arising. Owing to the part-time/outreach nature of academic programmes within ICPPD these meetings are scheduled at a logistically friendly, mutually agreed time for participants, in a venue which supports the College's ethos. If these meetings are conducted remotely (particularly for those attending outreach centres), and facilitated through technology, they must still maintain a conducive meeting atmosphere. These meetings are formally organised, are minuted, and outstanding issues are communicated to the rest of the class group/academic staff/Programme Board for resolution, as appropriate.

The Academic Director/Programme Leader/Tutor/Clinical Practice Coordinator is also available at other times to meet with Learner representatives, as required, by appointment.

• **Meetings with individual Learners and College staff**

All ICPPD staff are available for one-to-one meetings with learners, by appointment. These meetings may be requested either by

- the College staff member - to discuss the individual's academic performance or engagement with the programme, or
- the learner - to discuss issues that they feel may not be explored through any of the College's other channels of communication.

The discussions at the meeting are noted by the relevant staff member in a way that maintains the personal confidentiality of the learner. Minutes/brief overview on the discussion of any programme specific quality issues are presented at the next Programme Board meeting, if appropriate, allowing for the sensitivities involved and maintaining confidentiality.

• **Participation in Programme Boards and Academic Board**

Learner feedback is an essential element of the quality assurance process as supported by the Programme Board. Learner representatives, from each programme location, are members of the Programme Board and are invited to attend and participate fully in discussions in relation to academic issues. In addition, learner participation and feedback are used to ensure that there is consistency in the application of standards in delivery and assessment of programmes irrespective of location.

Where learner assessment/progress issues are being discussed, the Programme Board chairman requests that the learner representatives withdraw from the meeting for the duration of these discussions. The Programme Board reports to the Academic Board, and any outstanding issues which cannot be/have not been resolved within the Programme Board are brought to the attention of the Academic Board, when/as necessary.

• **Surveys (including End-Of-Module Feedback Forms)**

Learner survey is considered one of the most efficient and immediate processes for the collection of feedback in relation to programme quality, and learners have an important role in





shaping the development of the modules offered. Feedback forms are utilised to assess syllabus quality, the quality of delivery of the content, tutor's ability to impart knowledge, and the learning achieved. The feedback aims to support learners, create an appropriate learning environment, and to model desired behaviour/learning.

**Learner End-of-Module Feedback Surveys** are completed by learners on Moodle at the conclusion of each module within academic programmes, or by the facilitator on conclusion of the training programme/workshop. All responses are completed, and feedback is not traced back to individual learners. In addition, collated data is reviewed across outreach centres to ensure that there is consistency in the application of standards in delivery and assessment of programmes irrespective of location.

Completed feedback surveys are reviewed by the Tutor, Academic Director and Registrar the areas are identified for corrective action, as appropriate.

A summary report of feedback is prepared for consideration and discussion at the relevant Programme Board. Outstanding issues are brought to Academic Board, where necessary.

### 2.4.3 Follow-up: Learner Evaluation/Feedback

ICPPD is highly cognisant of the value of learner feedback and strives to implement appropriate mechanisms for 'closing the loop' to ensure that the learner feedback is addressed by ICPPD, and that the learner is informed of the actions taken in response to her/his feedback. Follow-up with Learners is carried out by the Academic Director, following the Learner Representatives feedback to Programme Board, in particular, and on ad-hoc basis when necessary and as any issues arise.

## 2.5 Consultation with ICPPD Staff

ICPPD Tutors engaged are considered expert in their fields and are additionally experienced facilitators of mature learner education. The team at ICPPD are warm, vibrant, passionate, and respectful and above all are willing to support each other and the community of learners who are applying for courses at the College.

Tutors are chosen for their stated commitment to supporting and participating in quality assurance measures adopted by the College. They are invited to participate in

- admission and progression processes
- facilitation and interpretation of stakeholder (including learner) feedback
- membership of relevant Programme Boards
- peer review of programme content/delivery/examination
- programme development and promotion activities.

In addition, feedback from Tutor consultation is collated and reviewed across outreach centres to ensure that there is consistency in the application of standards in delivery and assessment of programmes irrespective of location.

### 2.5.1 Aims/Objectives: Consultation with ICPPD Tutoring Staff

ICPPD's aim, in conducting processes which facilitate tutoring staff consultation/feedback in relation to their perception of the quality of programme provision, and the services related to that programme, is with the purpose of further improving and maintaining the quality of education and training which the College provides.

The primary objective of this consultation/feedback is both to meet the College's statutory requirements in relation to stakeholder feedback under the [Qualifications and Quality Assurance \(Education and Training\) Act, 2012](#). and to gain meaningful feedback on staff perception of the current quality and standard of programme delivery and service provision to support

existing and prospective academic and training programme development and support service enhancement.

### 2.5.2 Process: Consultation with ICPPD Tutoring Staff

The content and format of each consultation utilised is dependent on the purpose of the engagement and the information output requirement. Where the consultation process is being utilised for programme development/promotion purposes, the required reflection is identified by and minuted as part of the Programme Board process.

The processes utilised for consultation with ICPPD Staff is dependent on the mechanisms employed and the output required. The process for consultation on staff performance is identified in the HR section of this document (reference **Section A, Chapter 3, 3.4.6**).

#### • Tutoring Staff Surveys (including end-of-module feedback forms)

Tutor survey is considered one of the most efficient and immediate processes for the collection of feedback in relation to programme and associated facilities' quality, and in shaping the development of the modules offered. Feedback forms are utilised to assess syllabus quality and support an appropriate learning environment, with particular reference to the remote delivery (i.e., at outreach centres).

**Tutor End-of-Module Feedback Forms [AS03]** are provided to the Tutor in the Tutor Resource Pack and are required to be completed by the Tutor on the conclusion of each module within academic programmes or by the facilitator on conclusion of the training programme/workshop. Specific focus is given to

- general comments on learner engagement and participation including any comments on specific trainees regarding their participation, ability, attendance, personal issues, special needs, extra work for learning/time missed, etc.
- general comments on teaching/facilitating this module
- any suggestions for improving the content/delivery

**Post-Delivery Evaluation of ICPPD Centre Form [AS05]** in the Tutor resource pack, must be submitted by Tutor on completion of module/programme delivery. This Form provides valuable feedback on the quality of resources/facilities available to deliver the programme to ensure the creation of a conducive learning and teaching environment, and tutors are also requested to provide a brief description of any issues encountered during the module/programme and what was done/is required to address the issue. Areas for consideration include Physical Facilities; Teaching Aides/Material including Computer/IT Resources; Course Specific Resources; and Catering Facilities. This Form is particularly relevant to support the outreach nature of the delivery of the ICPPD programmes.

Completed Feedback Forms are returned to for review by the Academic Director and college registrar.

In addition, the collated data is reviewed across outreach centres to ensure that there is consistency in the application of standards in delivery and assessment of programmes irrespective of location.

A summary report of feedback is prepared for consideration and discussion at the relevant Programme Board. Outstanding issues are brought to Academic Board, where necessary.



### 2.5.3 Follow-Up: Consultation with ICPPD Staff

ICPPD is cognisant of the value of tutor feedback and strives to implement appropriate mechanisms for 'closing the loop' to ensure that staff feedback is addressed by ICPPD, and that tutors is informed of the actions taken in response to her/his feedback.

- **Meetings with other College staff**

Meetings between College staff normally reach a conclusion and agree an appropriate plan of action of which all parties are aware, at the meeting itself. Subsequent communication in relation to matters presented at such meetings issue from the appropriate staff member/office within ICPPD.

- **Participation in Programme/Academic Boards**

Tutors, who are members of the Programme/Academic Boards, receive a copy of these minutes, which detail identified issues, corrective actions and relevant close-out dates. These minutes serve to inform staff of the relevant actions attached to the identified issues.

A summary report of all tutor feedback is prepared for consideration and discussion at the relevant Programme Board, which will action all relevant issues in the normal course of its duties. Outstanding issues are brought to Academic Board, where necessary.

## 2.6 Annual Academic Programme Report

ICPPD through the Academic Director compiles an Annual Academic Programme Report for each academic programme offered within the College.

### 2.6.1 Aims/Objectives: Annual Academic Programme Report

The aim of Annual Academic Programme report is to support the College's self-assessment process in relation to the College's academic programmes. The objectives of the report are to facilitate the analysis of the effectiveness and efficiency of each validated programme.

Included for consideration is the Admissions Report with details learner numbers, data relating to gender, age, nationality and programme retention rates which is prepared by the Registrar.

### 2.6.2 Process: Annual Academic Programme Report

The Annual Academic Programme reports contain a collation and overview of the programme's operation for the academic year. Typical data included in the report includes

- Admissions Report (See **Section C ,1.3.5**)
- Overview of learner/tutor feedback (including facilities reports)
- External examiner reports' comments
- Suggested actions, where/as appropriate

This data serves to support the College's staff, and programme and academic boards' reflection on the quality of the programmes, to ensure that they continue to be viable, that a reasonable balance of work is attached to each programme, and that assessments are appropriate and fair, and that academic support facilities are appropriate and effective. These reports also support Tutors' engagement with the programme.

Annual Academic Programme Reports are completed by the Academic Director in conjunction with the Registrar and following collation of feedback from the Programme Leaders, Tutors



and Administration Staff, using information generated by the College's academic activities from such sources as the admission data, assessment broadsheets, and external examiners' reports.

Completed reports are submitted by the Academic Director, after consultation with the Registrar, annually at the end of the academic year, for consideration by the Academic Board.

### 2.6.2 Follow-Up: Annual Academic Programme Report

The report is considered by Academic Board and the major issues arising from the discussions at the meeting are recorded for discussion and follow-up. The issues and associated actions are copied to the Academic Board which is responsible for ensuring that issues are closed out in a timely fashion.

Where there are resource implications to facilitate action in relation to particular issues, it may be necessary for Academic Board to advise and secure a commitment to funding from the Board of Directors.

## 2.7 Consultation with Employers/Professional Bodies

ICPPD's establishment in 2009 was intended to further develop this training and education service provision within the National Framework of Qualifications. The opinions of employers (and prospective employers) of ICPPD academic and training programme participants/graduates, and relevant professional bodies, are important to ICPPD, in the context of its obligations under [Qualifications and Quality Assurance \(Education and Training\) Act, 2012](#). Consultation with prospective employers forms a basic element of the College's programmes design and development process and supports the College's benchmarking activities.

A number of processes are used to engage current and prospective employers of ICPPD academic and training programme participants/graduates, and relevant professional bodies, including employer consultation boards and surveys, which are additionally utilised to strengthen the relationships between the College, businesses, and the wider community being served. Community/employer relationships are further strengthened by the College's engagement with Clinical Practice host agencies to support the relevant module on the Bachelor of Arts programmes.

### 2.7.1 Aims/Objectives: Employer/Professional Body Consultation

ICPPD's aim in obtaining employers' and professional bodies' evaluation/feedback of their perception of the quality of ICPPD programmes, and the services related to each programme, is to obtain appropriate information to facilitate the College to further improve and maintain the quality of the education and training which it provides, within the national framework of qualifications.

The objective of this employer/professional body evaluation/feedback process is both to meet the College's statutory requirements under the [Qualifications and Quality Assurance \(Education and Training\) Act, 2012](#) and to gain meaningful feedback on employers and professional bodies' perception of the current quality and standard of programme delivery and service provision, to support existing and prospective academic and training programme development and support service enhancement.

### 2.7.2 Process: Employer/Professional Body Consultation

The content and format of each of the consultations employed is dependent on the purpose of the engagement and the information requirement. Where the consultation process is being utilised for programme development purposes, the required reflection is identified by the Programme Board and/or the Academic Director.



The processes utilised for employer/professional body consultation is dependent on the mechanisms employed and the information output required. For example, ICPPD's engagement with caring organisations to facilitate and support Clinical Practice modules' requirements has resulted in significant feedback regarding the current practices, initiatives and developments within the sector.

Survey is considered one of the most efficient and immediate processes for the collection of feedback in relation to programme quality; however, normally in relation to employers and professional bodies, the College prefers to conduct face-to-face evaluations/meetings. These surveys/meetings are implemented by College management within a timeframe appropriate to the academic calendar, and the relevant planned course development/promotion activities.

When the consultation is completed, the statistics are analysed and a final report is prepared for consideration and discussion at the Programme Board, as relevant. Critical and pertinent issues are reported to Academic Board.

### 2.7.3 Follow-Up: Employer/Professional Body Consultation

Reports following consultation meetings are considered by Programme Board, and the major issues arising from the discussions at the meeting are recorded for discussion and follow-up by the Academic Director. The issues and associated actions are copied to the Academic Board which is responsible for ensuring that issues are closed out in a timely fashion.

In particular, the review and update of the Clinical Practice Handbook is conducted annually by the Clinical Practice Co-ordinator and the Academic Director to incorporate the requirements identified through consultation with, and feedback from, both clinical practice host organisations, and the relevant professional bodies.

Where there are resource implications to facilitate action in relation to particular issues, it may be necessary for Academic Board to advise and secure a commitment to funding from the Board of Directors.

## 2.8 Benchmarking Activities / Competitor Analysis

ICPPD utilises benchmarking and competitor analysis, which involves comparisons of processes and practices and, more particularly, specific similar programme offerings, in order to identify best practice and initiate further developments and enhancements.

ICPPD also conducts strategic competitor benchmarking across the wider higher educational landscape both within Ireland (and internationally where appropriate) which are offering similar programmes. This is done to support the development of innovative practices, particularly in the areas of programme content and development, with a view to enhancing the College's programme portfolio, reputation and geographical location support. Competitor analysis at programme development stage is actioned through the programme development team, and the College will continue to develop this practice in the future as we embed our current programmes and outreach provision.

### 2.8.1 Aims/Objectives: Benchmarking Activities/Competitor Analysis

ICPPD's aim in conducting benchmarking is to obtain information which will facilitate the College to maintain and further improve the quality of the education and training which it provides.

The primary objectives of this benchmarking process is both to meet the College's statutory requirements under the [Qualifications and Quality Assurance \(Education and Training\) Act, 2012](#), while gaining meaningful feedback on the current quality and standard of programme delivery and service provision to support existing and prospective academic and training programme development and support service enhancement.



## 2.8.2 Process: Benchmarking Activities/Competitor Analysis

In developing programmes, the College benchmarks against a number of criteria including:

- Programme Content/Structure: ICPPD is cognisant of the developments within third-level education, and monitors developments within similar education and training providers (subject-area and size of organisation) in the development of its strategic, academic and business development plans and activities. The College also prides itself on the holistic focus of its programmes and the necessary content to support this, and endeavours to maintain this focus by staying up to date with developments in the area and feeding this material into the programme.
- Entry Requirements are benchmarked and agreed at the programme development stage. While the programme entry requirements are nationally aligned in accordance with equivalent programmes, variables such as prior learning (experiential and accredited) and evidence of available supports are benchmarked against other providers. Alternative (non-standard) entry routes, for example, through the recognition of prior experiential learning are also benchmarked, reviewed, and measured, and may ultimately be systemised into the College's admissions and recognition of prior learning (RPL) policies.
- Flexibility of Provision: One of the key areas in attracting learners onto a programme at ICPPD is the programme provision arrangements. In this regard ICPPD endeavours to offer more flexible arrangements, through part-time - evenings and weekend - programme provision, in order to facilitate learners' integration of their learning with work and other commitments.
- Cost: While not an academic consideration, ICPPD is conscious of the economic climate within which it operates, and the impact that cost and fees have on current and potential learners. To support learner access and retention, ICPPD endeavours to ensure that its courses are economically competitive, while maintaining programme feasibility. All programme tuition fees and ancillary costs for ICPPD programmes (including personal therapy and supervision) are routinely quoted on the College website and in the programme literature.
- ICPPD Tutors are practitioners within the field of counselling/psychotherapy, and a number of those individuals are also accredited supervisors; this facilitates their utilising real-life work situations and problem-based learning as teaching and learning strategies within ICPPD. Additionally, the use of tutoring staff, who may also work for other education and training providers, means that ICPPD (and its learners) benefit from any development activities undertaken and experience gained within the other provider. In addition, the involvement of the Tutors in the College's academic development processes and programme boards facilitates a channel whereby this information may feed into ICPPD programme development.
- Individual staff members are encouraged to undertake (professional and personal) development activities which will enhance their current skills and competencies for implementation at the College. Staff (and learners) are actively encouraged to engage with relevant professional bodies, and attend conferences and seminars provided by these organisations to support their own personal and professional development.

When the consultation is completed, the statistics are analysed and a final report is prepared for consideration at the Programme Board, as relevant. Critical and pertinent issues are reported to Academic Board.



### 2.8.3 Follow-Up: Benchmarking Activities/Competitor Analysis

Reports are considered by the programme board and/or the programme development team (as appropriate) and the major issues arising from the discussions at these meeting are recorded for discussion and follow-up.

The issues and associated actions are reported to the Academic Board which is responsible for ensuring that issues are closed out in a timely fashion.

## 2.9 Audit/Review of On-Going Monitoring and Periodic Review of Programmes Policies and Procedures

ICPPD is committed to ensuring that these On-Going Monitoring and Periodic Review of Programmes Policies and procedures are adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the Academic Director, reporting to the Academic Board.

## Chapter 3 Cyclical External Quality Assurance

The *ESG* (section 1.1) states that *'Policies and processes are the main pillars of a coherent institutional quality assurance system that forms a cycle for continuous improvement and contributes to the accountability of the institution. It supports the development of quality culture in which all internal stakeholders assume responsibility for quality and engage in quality assurance at all levels of the institution. In order to facilitate this, the policy has a formal status and is publicly available.'*

Additionally, the [Qualifications and Quality Assurance \(Education and Training\) Act, 2012](#) defines the legislative requirements for quality assurance within ICPPD in the context of its programmes.

ICPPD continues to develop its procedures to implement systematic planning, evaluation, and enhancement of its academic provision, with particular reference to the quality of educational and training programmes, and regularly reviews the effectiveness of its activities. Documentary evidence is collated to demonstrate that the college has satisfactorily implemented and continues to develop these procedures.

### 3.1 Statutory Obligations and Provisions

ICPPD is committed to maintaining its statutory obligations as defined in the [Qualifications and Quality Assurance \(Education and Training\) Act 2012](#). In this regard the College has focused firmly on the establishment and ongoing development of an appropriate quality assurance system. The 2012 Act also sets out the functions and responsibilities for quality assurance and articulates a number of key principles underpinning policy formulation and practice, and is therefore enabling rather than prescriptive on institutional policy and practice. The legislation also affirms the College's right to develop and publish its own ethos and philosophical approach to education. The flexibility in the legislation allows for the on-going development of policies and practices by the institutions within a clear controlled framework.

The Academic Board have primary organisational responsibility for quality assurance. This responsibility for quality assurance is discharged primarily through the Registrar, whose job description includes responsibility for institutional quality assurance however the College affirms that quality is the responsibility of all members of ICPPD and, in particular, the responsibility of all members of the Executive Management Committee.



Conscious of its responsibility for academic quality, the Academic Board takes the necessary decisions and actions to ensure that ICPPD implements best practice and achieves the highest standards. The role of the Academic Board is described in **Section A, Chapter 2, 2.2.2**

### 3.2 External Quality Assurance Mechanisms

ICPPD is obliged regularly to determine the effectiveness of its planning and evaluation activities, and the results are used to revise and further enhance the College's work in accordance with its purpose and objectives. The College engages with all appropriate quality audit, assessment and action requirements of all relevant statutory, regulatory, and external agencies.

Audit, assessment, and approval systems are currently in use at ICPPD to satisfy the requirements of all relevant stakeholders. These include audit/assessment by agencies of the QQI, relevant professional bodies, government departments/agencies (e.g., Revenue; Department of Jobs, Enterprise and Innovation; Department of Social Protection, etc.) and EU agencies.

The audit, assessment, and approval system practices are described in the institutional review and programme monitoring sections of this document. ICPPD's financial and corporate reporting requirements are as required by the relevant statutory agencies.

ICPPD fully supports the exercise of their audit and best practice development functions. College staff actively engage with these organisation's activities in relation to peer review committees, conferences and other operations. This manual identifies the internal systems which have been developed within the College to facilitate QQI's audit/assessment activities within ICPPD.

### 3.3 Cyclical (Institutional) Review

QQI's [Policy for Cyclical Review of Higher Education Institutions \(2016\)](#) states that the Review

- evaluates the effectiveness of the institution-wide quality assurance procedures for the purposes of establishing, ascertaining, maintaining and improving the quality of education, training, research and related services the institution provides.
- measures institution accountability for compliance with European standards for quality assurance, regard to the expectations set out in the QQI quality assurance guidelines or their equivalent and adherence to other relevant QQI policies and procedures as established in the lifecycle of engagement between the institution and QQI.
- explores institution enhancement of quality in relation to impacts on teaching, learning and research, institutional achievements and innovations in quality assurance, alignment to the institution's mission and strategy and the quality-related performance of the institution relative to quality indicators and benchmarks identified by the institution.

To facilitate optimal gain and efficiencies from the cyclical (institutional) review process, ICPPD reviews the strategies and objectives for these review activities to ensure appropriate allocation of resources to these processes.

#### 3.3.1 Aims/Objectives: QQI Cyclical (Institutional) Review

Institutional review processes focus on the College as a whole, and considers ICPPD's mission, goals, progress since the previous review, strategic plans, staffing, resources, and general operating environment. The review process provides the College with a strategic planning instrument to support academic planning and development activities, and confirms the validity of programmes/awards developed, procured and offered by ICPPD.

QQI has five specific measurable purposes for its cyclical reviews which are:

- To encourage a QA culture and the enhancement of the learner learning environment and experience within institutions.





- To provide feedback to institutions about institution-wide quality and the impact of mission, strategy, governance and management on quality and the overall effectiveness of their quality assurance.
- To improve public confidence in the quality of institutions by promoting transparency and public awareness.
- To support systems-level improvement of the quality of higher education; and
- To facilitate quality enhancement by using evidence-based, objective methods and advice.

### 3.3.2 Process: QQI Cyclical (Institutional) Review

In accordance with QQI's [Policy for Cyclical Review of Higher Education Institutions \(2016\)](#), the institutional review consists of the following phases

The single model is based on the internationally accepted procedure for reviews

1. the publication of Terms of Reference (following consultation and agreement with QQI)
2. an institutional self-evaluation report (which is approved within academic quality processes)
3. an external assessment and site visit by a team of reviewers, appointed by QQI, and generation of a written report
4. the publication of a review report, including findings and recommendations, and
5. a follow-up procedure to review actions taken - agreed, published and followed-up.

The timeframe within which these phases are completed for and by the College is indicated in QQI's supplementary guidelines.

The College's self-evaluation report, the institutional review panel report, and the ICPPD's response to the institutional review are prepared in a format consistent with the criteria and processes laid down by QQI in its [Policy for Cyclical Review of Higher Education Institutions \(2016\)](#).

The institutional review is based on the requirements of the [ESG](#), and incorporates the features required by statute in respect of review of the effectiveness of the College's QQI-agreed quality assurance procedures. The ten elements of internal quality assurance of the [ESG](#) (part 1), namely,

- Policy for Quality Assurance
- Design and Approval of Programmes
- Learner-Centred Learning, Teaching and Assessment
- Learner Admission, Progression, Recognition and Certification
- Teaching Staff
- Learning Resources and Learner Support
- Information Management
- Public Information
- On-Going Monitoring and Periodic Review of Programmes
- Cyclical External Quality Assurance

These are addressed through the self-evaluation and review process.

Terms of reference for the QQI institutional review are composed to facilitate the examination of the College's

- coherence of ICPPD's mission, vision, and values



- implementation of the College's procedures for access, transfer, and progression
- research activity
- overall institutional strategic planning.

Other terms of reference may be added to meet specific needs of QQI or ICPPD at the time of review planning. QQI consults with the College before finalising and publishing the terms of reference for the institutional review process.

The self-evaluation/monitoring reports headings, content, and the detail to be included in the different sections are determined by the Terms of Reference of the Review as defined in consultation with QQI in advance of the review process. The headings will additionally reflect the College's policies, strategies, structures, organisation, and ethos at that particular stage of development.

For QQI prompted reviews, the output of the institutional review is compiled into the self-evaluation/monitoring report, which is prepared within ICPPD and submitted to QQI in accordance with the timelines and milestones defined at the consultation stage with QQI (as defined in the QQI [Policy for Cyclical Review of Higher Education Institutions \(2016\)](#)).

Quantitative data presented in the self-evaluation report has regard to internationally recognised performance indicators as appropriate, with particular reference to the QQI requirements as appropriate.

### 3.3.3 Follow-Up: QQI Cyclical (Institutional) Review

As a result of the institutional review process, the College is required to define corrective actions and may additionally be requested to provide appropriate progress reports to QQI in relation to specific action items referred to in the final report.

ICPPD institutional review follow-up reports are reviewed and approved by the College's Academic Board.

ICPPD is fully cognisant that the institutional review findings may be shared routinely across the constituent agencies of QQI, and any other relevant authorities, as the monitoring agency considers appropriate, and that this sharing of information extends to all findings that emerge during those processes.

## 3.4 Internal Quality Assurance Mechanisms

This Quality Assurance Manual is a fundamental part of the ICPPD Quality Assurance procedures. Internal quality assurance processes are implemented and monitored within the College

- through on-going (routine) monitoring and evaluation of programmes, which is conducted on a continuous basis
- by obtaining feedback from internal and external sources (including learners and staff) for the purpose of further improving and maintaining the quality of education and training which it provides, organises and/or procures; and
- more formally, through a quinquennial institutional review process which is facilitated through the relevant accreditation body.

College staff are required, and committed, to participate in these quality assurance measures, and to implement the relevant follow-up activities in a timely fashion.

## 3.5 Audit/Review of Cyclical External Quality Assurance Policies

ICPPD is committed to ensuring that Cyclical External Quality Assurance Policies are adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the Registrar, reporting to the Academic Board.





## SECTION C POLICIES RELATING TO LEARNERS AND ASSESSMENTS

### Chapter 1 Learner Admission, Progression, Recognition and Certification

ICPPD understands the terms: access, transfer, and progression, to be as defined in the relevant policies of QQI, and has developed procedures and applies them accordingly, to comply with *section 56* of the [Qualifications and Quality Assurance \(Education and Training\) Act 2012](#).

Each new academic and training programme developed within the College is required to have relevant access, transfer, and progression requirements considered during the development process, to support the concept of lifelong learning. As they are prepared, each new ICPPD programme document outlines the access, transfer, and progression requirements and options for that programme, as defined by national standards, and determined by the Programme Board. Academic programme documents also detail the ECTS ([European Credit Transfer and Accumulation System](#)) credit allocation for each individual module within the programme to facilitate the transfer process.

Through the deliberations at Programme Board and Academic Board, ICPPD monitors and reviews the College's access, transfer, and progression policies to support optimal learner access, transfer, and progression within the College, and to other further/higher education institutions. The College's documentation and programme promotional material informs ICPPD learners of the relevant access, transfer, and progression routes.

#### 1.1 Admissions/Access Policy

##### 1.1.1 Context

The procedures and guidelines outlined below guide all staff, applicants, learners and other stakeholders involved in the practices and principles of admission and enrolment onto ICPPD Programmes.

Applicants are selected via procedures identified for each programme on the [ICPPD website](#).

Programme admission requirements are defined at the time of the development of each academic and training programme, as outlined in **Section B, Chapter B, Section 1, 1.1**. The relevant entry requirements are thus approved as part of the programme approval/accreditation process and are aligned with national standards for equivalent programmes.

Procedures for processing applications for admission and progression have been prepared within the College to ensure consistency, fairness and transparency.

ICPPD encourages applications from individuals from all backgrounds and evaluates the potential of each applicant individually and on their own merits.

ICPPD is proud to be an inclusive learning community; committed to providing a fair admissions system and will provide an equal opportunity for all individuals, regardless of background, to a course subject to their meeting entry requirements and suitability for programmes.

An **Admissions Panel** has responsibility for monitoring, review and development of selection systems and has oversight of admissions procedures. Interview Panels for BA programmes are Academic Staff who are also members of the Admissions Panel. The Terms of Reference of the Admissions Panel are outlined in the **QA Manual, Section A, Chapter 2, 2.2.14**.



In addition, ICPPD staff, in particular the Academic Director and Administration Staff, engage in extensive communication with prospective learners regarding admission requirements for ICPPD academic and training programmes.

### 1.1.2 Accessibility Supports

ICPPD is cognisant of our responsibilities as an education provider under the current Equal Status Act 2000-2018 and will provide reasonable accommodations to facilitate participation on ICPPD programmes, as outlined in this QA Manual, **Section A, Chapter 4, 4.5 – Disability Policy and Supports for Staff and Learners with Additional Learning Needs**. This includes reasonable accommodations from application stage through to participation on programmes.

### 1.1.3 Admissions Process - Application

Entry onto ICPPD programmes is facilitated through direct entry application. Application Forms (Online and Printable) are available on the ICPPD website or may be requested from the Administration Office.

Applicants for all academic programmes must satisfy the minimum entry requirements as identified for each programme and also any additional requirements specified for their programme of choice to be considered eligible.

Administration Staff members are responsible for the administration of all stages of the ICPPD admission processes.

Applications are screened by the Administration Staff to determine whether the specified entry requirements have been met for the programme. Documentation required to provide evidence of meeting minimum entry requirements is authenticated and recorded. All applicants must produce the original copies of all supporting documentation, such as transcripts and academic parchment/certificates at the Admissions stage. Copies are retained on file, signed by a member of the Administration Staff.

#### English Language requirements

Applicants are required to have appropriate English language skills to facilitate their engagement with programmes. If English is not the learner's first language, and they have not completed a programme in English within the previous two years of application, they will need to provide evidence of English language proficiency as detailed below.

Applicants for BA programmes or ICPPD's professional programmes, must provide acceptable proof of English proficiency before any offer of a place may be made, therefore ICPPD requires the learner to provide English proficiency certificate and scores, along with their other documents on application, if/as appropriate. The English language proficiency tests and scores which are considered acceptable for ICPPD programmes is 6.5 IELTS, or equivalent.

Receipt of an application form is acknowledged by email and the next steps in the admissions process are explained to the applicant.

### 1.1.4 Admissions Process – Orientation and Interview

Where necessary, a telephone or face-to-face interview is scheduled, depending on the programme being applied for.

In the case of the BA (Hons) programme all applicants are required to attend an interview. An Interview Panel, core tutors at ICPPD, is appointed and interview dates are scheduled. Details of the applicant's interview date and time are communicated to them by email.



All applicants are required to attend a group orientation session with the Academic Director, scheduled on the same day as interviews and held prior to the individual interviews. The orientation session aims to identify the expectations and requirements of the learner to facilitate successful engagement with the programme.

Applicants must attend a selection interview to

- ensure that the learner meets the personal and professional admission requirements identified by the ICPPD
- determine whether they are cognisant of the academic workload and specific professional requirements of the programme
- explore the progression and fitness-to-practice considerations with the prospective learner

### 1.1.5 Exemptions and Award Stage Result

Where exemptions are granted, the exempted module is excluded when calculating the overall average mark (grade) for the programme. Therefore, exemptions are not granted against modules within the award stage of a course.

### 1.1.6 Admissions Process – Interview

On submission of application and all supporting documentation, candidates are scheduled for interview with a panel of two interviewers.

The Interview Panel makes a recommendation whether or not an applicant is a suitable candidate to be offered a place on the programme. The ultimate decision to offer or not offer a place on a programme is made by the chair of the Admissions Panel. A second interview may be scheduled if necessary.

The output of the interview is recorded on the **BA Admissions Interview Form** or **BA Admissions RPL Interview Form** as appropriate.

The outcome is communicated to the applicant by the designated Administration Staff member.

### 1.1.7 Admissions Process – Appeal of the Interview Decision

Unsuccessful applicants may appeal the decision to the Chair of the Admissions Panel, if they were not involved in the admissions decision, otherwise an external academic, independent member of the Academic Board will make the determination.

### 1.1.8 Admissions Process – Registration

A letter of acceptance, together with Registration form and information on Fees and Payment Plans, is forwarded to successful applicants.

On receipt of completed Registration Form and the next required fee payment, learners are enrolled on the relevant programme and forwarded information relating to Timetables, Induction and Moodle Enrolment.

### 1.1.9 Admissions Process – Deferral of a place on a programme

Learners may choose the option to defer their place on a programme in accordance with the process outlined in **QA Manual, Section C, Chapter 2, 2.4 – Deferral of a Programme/Module**.



## 1.2 Transfer

ICPPD facilitates, where possible, the transfer of learners across programmes within ICPPD, or from other Colleges whose programmes are sufficiently similar to those offered by ICPPD.

### 1.2.1 Application to Transfer

Applications in relation to possible learner transfer are required to be submitted to ICPPD by the current/potential learner. Applications are reviewed on an individual basis.

Where the programme is linked to professional registration, the impact on the learner's prospective registrations is also considered when considering the transfer request.

### 1.2.2 Application to Transfer – Mapping of Programmes

This activity involves mapping details of the learner's current programme to the relevant ICPPD programme by the Academic Director, a Programme Leader, and/or a senior academic in the appropriate subject area.

### 1.2.3 Monitoring of transferred learners progress / required supports

In the case of a learner who has transferred onto an ICPPD programme, the learner's progress is monitored during the programme to ensure that the admission decision was appropriate, and to determine if additional support is required for learner to successfully engage with and complete the programme/stage.

## 1.3 Recognition of Prior Learning (RPL)

Recognition of Prior Learning (RPL) is important for widening access to education and training, and supporting lifelong learning, and is reinforced through the [Qualifications and Quality Assurance \(Education and Training\) Act, 2012](#). To drive this process, QQI has issued a [Policy Restatement - Policy and Criteria for Access, Transfer and Progression in Relation to Learners for Providers of Further and Higher Education and Training \[NQAI 2003, Restated 2015\]](#). This document facilitates, supports and informs ICPPD's application of RPL and work-based learning policies.

The application of RPL within ICPPD allows potential learners to gain admission to a module/programme or to gain exemptions/credit from some parts of a programme (complete modules only), based on demonstrated learning achieved prior to their entry.

### 1.3.1 Application to Gain Admission/Exemption with RPL

Applications in relation to possible learner admission/exemptions with RPL are required to be submitted to ICPPD by the current/potential learner using the existing programme application form, supported by the required provide evidence of meeting minimum entry requirements. Applicants must produce the original copies of all supporting documentation, such as transcripts and academic parchment/certificates.

To apply for an exemption at ICPPD an applicant must provide the College administration of-fice with written evidence of

- the level of previous study (with a copy of their marks/transcript)
- learning outcomes of the modules/programme(s) previously completed
- the specific content covered including samples of work



- details of the type and scale of the assessment involved in the completed programmes for consideration
- their academic ability.

Applications are reviewed on an individual basis.

### 1.3.2 Evaluation of RPL

ICPPD utilises RPL to evaluate application information provided by a prospective learner in relation to both their prior certified learning and their prior experiential learning.

The application and supporting documentation are referred to the Academic Director, relevant Programme Leader and/or nominated senior academic staff for review, and to obtain a recommendation regarding the learner's possible exemptions (for complete modules) prior to their entry onto the programme.

RPL admissions/evaluation is conducted by of no less than two of the Admissions Panel on a case-by-case basis, on request by an applicant for consideration under the College's RPL processes.

- ICPPD uses the applicant-provided information [programme certification, proof of study of comparable accredited material, etc.] to review the applicants request for access to an ICPPD programme at an advanced level, or to avail of exemptions in relation to particular programme material, while maintaining the standard of entry requirement for the programme as approved by the relevant accreditation and/or professional bodies.
- ICPPD offers exemptions on a per-module basis (only), if relevant, and consideration takes place in advance of the candidate's admission to the College programme.
- In addition, it may be necessary for the Academic Director to meet with the applicant (in addition to admission interview) to explore particular nuances for the previously completed learning to determine if it appropriately matches the module for which the exemption is being considered.
- Only when the College is completely satisfied that the applicant has met the appropriate learning outcomes, and meets the module-relevant criteria, is an exemption granted.

### 1.3.3 Communicating outcome of the RPL consideration

The learner is communicated with in writing indicating the decision taken in relation to

- their application for RPL consideration
- the stage of the programme into which they are granted admission
- the modules which they will have to complete, those for which an exemption has been granted (no partial exemptions are awarded – full modules only are permitted) and those modules which they must audit but are not required to take assessments for.
- 

In many instances, while a learner is exempted from undertaking the module, they may be required to audit the module i.e., attend the delivery of the module (for andragogical, group dynamics and learning-support purposes) but will not have to complete the assessment requirements.



### 1.3.4 Monitoring of RPL learners progress / required supports

The learner's progress is monitored during the programme stage to ensure that the RPL decision was appropriate, and to determine if additional support is required for the learner to successfully engage with and complete the programme/stage.

### 1.3.5 Admissions Report - Admission, Transfer and RPL data reporting

An Admission Report is presented by the Registrar to the Academic Board annually, usually at the first Academic Board meeting of the academic year. The report includes data on:

- Admissions to each programme and cohort – overall numbers and data on gender, nationality/ethnicity/age/prior education level
- Number of Transfer and RPL entrants
- Progression, Retention of learners
- Completion rates – percentage of learners who enrolled in Year 1 in a particular year of intake and completed the programme.
- Final Awards data and classifications

The Admissions Report is also updated and included in the end of year Annual Academic Programme Report) to facilitate the monitoring of programmes.

## 1.4 Admission – Garda National Vetting Procedure

- ICPPD requires applicants on the academic programmes requiring clinical practice (client work), which may bring them into contact with vulnerable individuals or groups in society, to undertake Garda vetting documentation on commencement of their programme.
- Each learner is responsible for providing information to the College/their clinical practice organisation to facilitate assessment of their suitability for commencement of clinical practice, including the documentation required to facilitate vetting processes. ICPPD utilises the services of Westmeath Volunteer Centre – a registered organisation - to undertake liaison with the National Vetting Bureau (NVB) on behalf of its learners, in accordance with the procedures identified on the [National Vetting Bureau](#).
- The designated Administration Staff member (a member of the Garda Vetting Committee) completes the verification of learner identity (a legal requirement) with the learner in advance of commencing the Garda Vetting process.
- The designated Administration Staff then liaises with the authorised liaison person within the Westmeath Volunteer Centre to send the necessary **Inviter Form [NVB1]** to the learner to facilitate their online application to the NVB.
- The resulting Vetting disclosure (issued by NVB) is copied to the Administration Staff member - possible outcomes are that the disclosure will either indicate that the vetting subject has convictions (and the nature of these) or the vetting subject has no convictions.
- Failure on behalf of a learner to disclose previous convictions is a criminal offence and may result in the learner being dismissed from the programme.
- Previous convictions, depending on their nature, may result in the learner being unable to complete certain academic requirements and, therefore, may result in the learner's dismissal from the programme. All decisions in respect of the suitability of learners for engagement with their relevant clinical practice host organisation are the sole responsibility of the specific clinical practice host organisation.



It should also be noted that, Garda Vetting is non-transferrable, therefore, each individual clinical practice host organisation may require the Learner to complete additional Vetting within the host organisation.

Learners on the BA in Holistic Counselling and Psychotherapy programmes, L7 and L8, are advised/remembered that completion of the programme is subject to the successful completion of the clinical practice module. The requirement for applicants/learners to meet national vetting criteria for particular programmes is included in the relevant programme promotional material and on the [ICPPD website](#).

### 1.5 Intra-Programme Progression

To progress to subsequent stages of the programme, learners must meet both academic requirements and professional criteria. Individual modules and academic assessments address personal and professional development components of the programme, as gate-keepers of the profession, ICPPD is also focused on the ethical obligations of the college in the learners' development as trainee counsellors and psychotherapists. The integration of learners' personal and professional development is intrinsic to their professional development as counsellors/psychotherapists.

ICPPD's policy and processes to evaluate the integration of learners' personal and professional development and to guide learners in their self-understanding, are underpinned by the [IACP Course Accreditation criteria](#) and QQI's [Awards Standards - Counselling and Psychotherapy – QQI, 2014](#).

ICPPD reserves all rights in relation to a learner's participation and progression on a programme.

#### Scope

This policy applies to all learners at ICPPD College enrolled on the BA (Hons) in Holistic Counselling and Psychotherapy, Level 8 programme.

#### 1.5.1 Learner Support and Progression Meetings

##### Purpose

Learner Support Meetings and Progression Meetings are academic, professional and welfare supports held with learners on the BA (Hons) in Holistic Counselling and Psychotherapy programme.

Learner Support Meetings and Progression Meetings are in place for faculty and learners to review and discuss how the learners are developing as trainee counsellors/psychotherapists during the programme. These discussions include a review of the integration of personal, professional and academic development.

**Learner attendance at these meetings is mandatory.**

**Note:** As Learners are notified of scheduled meetings on their timetable there is a fee if this meeting needs to be rescheduled to cover costs involved in rescheduling.

##### Process

Learner Support Meetings are scheduled at the end of Semester 1, Progression Meeting take place at the end of the Semester 2. Fitness to Practice Meetings take the place of the Progression Meetings at the end of Year 2. The dates are advised on the timetables at the commencement of the academic year.



The panels are comprised of the Programme Leader and Core tutor and/or Clinical Practice Co-Ordinator.

Areas for discussion and recommendations of the panel are defined and recorded including:

- Learner's meeting of Academic Requirements, including attendance; continuous assessment/essays/assignments completed to date (and grades achieved); missed time learning tasks completed, if appropriate.
- Learner's Personal and Professional Development, including review of learner's Personal Therapy Log (I04) – the minimum required sessions completed and sign-off/letter of confirmation by accredited therapist on file.
- Discussion/Feedback on Clinical Practice (if/as appropriate): Issues covered include attendance requirements; In-house supervision; clinical practice meetings; learner update of client work; contract with clinical practice organisation; maintenance of case notes for client work completed; external supervisors log and report; completion and return of appropriate clinical practice forms.
- Other Administration/Academic Issue(s) e.g., financial (fees); library resources/material returned
- Recommendations of the panel

The written record is forwarded to the learner after each meeting with an opportunity for the learner for the learner request further discussion.

#### **Outcomes of Progression Meetings:**

The Overall Progression outcome focuses on learner's personal/professional development and integration. The recommendations may include:

- Progression to the next stage
- Progression with suggestions for further development
- Progression with requirements to attend to particular areas of development
- Non-progression with recommendations e.g., pause training for a period of time to focus on personal development or life circumstances i.e., Deferral of programme (see Section 6.6.6. re Deferrals Policy)
- Non-progression - Unsuitability for programme or profession leading to withdrawal from the programme.

Any ICPPD learner who is not in agreement with the outcome of their Progression meeting can avail of the Appeals Process as outlined in the ICPPD Learner Handbook and Quality Assurance Manual, **Section C, Chapter 6, 6.2**. Both are available on Moodle.

A learners' willingness to engage with advice or recommendations of the panel may be considered through the lens of future professional capacity to engage with advice or recommendations from professional relationships, in particular the professional supervisory relationship.



## 1.5.2 Fitness to Practice (Access/Progression)

Learners are required to attend a Fitness to Practice meeting at the end of Year Two, the purpose of which is to determine collaboratively whether a learner is ready to move on to the next stage in their training, i.e., to move into clinical practice.

During the first two years of training, the learner has experienced and received training that provides a knowledge base for a range of skills, responsibilities, functions and activities; and will have attended Learner Support Meetings and Progression Meetings to review and discuss collaboratively how they are progressing ***personally, professionally and academically and the integration of these.***

Fitness to Practice at ICPPD College over the years has demonstrated that preparedness is on a continuum, with learners reaching readiness perhaps at different points of time. This policy exists to support and assist learners as part of a decision-making process in determining when they are ready to move into clinical practice, and ready to take on responsibility in their role as trainee therapist with clients.

Where a learner is considered not yet ready to move into clinical practice, the usual conclusion is that they will work towards readiness, within the limits and confines of this procedure.

### 1.5.2.1 Purpose

The Purpose of this policy is to:

- Provide a framework for the evaluation of a learner's preparedness for progression to clinical practice.
- Ensure that as a trainee therapist, they are informed, competent, and confident and have considered to have sufficient ethical awareness to work with clients.
- Ensure the well-being of clients and any members of the public who may engage the services provided by ICPPD College learners over the course of their clinical placement(s).
- Ensure learners on all ICPPD BA Programmes have a clear understanding of the standards of professional behaviour required of them throughout their training, and that learners are supported in their continuous achievement and completion of these standards.

### 1.5.2.2 Considerations under this Policy:

Progression to clinical placement is not automatic. A Fitness to Practice assessment takes place before progression to engagement with clients can ensue.

Learners are required to undertake a "Readiness to Practice" procedure prior to seeing clients. Learners are involved in their own assessment in this regard, and their self-assessment feeds into the evaluative process.

Learners need to be aware that a placement is an agreement between ICPPD College, the learner and the agency. This agreement cannot be arranged until ICPPD College has approved



the placement provider. Therefore, learners are required to inform the Clinical Practice Coordinator (CPCO) of any placement they are considering.

The Standards used in this procedure are stated in IACP Code of Ethics and Practice (IACP, 2018), available at <https://iacp.ie/iacp-code-of-ethics>. There is a *Clinical Competencies form (CPO2)* which is discussed with a learner at their Fitness to Practice meeting.

ICPPD College learners are bound to the IACP Code of Ethics over the duration of their training. A learner may be governed by other policies, procedure or Codes of Ethics arising from another aspect of their life. Observance to these is not the responsibility of ICPPD.

#### 1.5.2.3 Responsibilities of a Learner under Code of Conduct

It follows that anything that impairs the capacity or health of a learner or arises from conduct and behaviour that might cause harm to others or the reputation of the profession, may have implications regarding Fitness to Practice. A learner is obliged to inform the College of anything which might impact on their ability to work with clients.

Learners are required to disclose at the time of their Fitness to Practice Meetings any criminal convictions incurred prior to or during their programme of study. In addition, they are required to disclose any situation where they have been the subject of disciplinary hearings or have ever been suspended in the course of their work-based activities (including voluntary work and work experience). This requirement to disclose remains in place for the duration of the training programme.

Should a learner's health status change or a disability emerge during the programme that causes concern as to the capacity for safe and effective practice, then the learner is required to inform the College through their Programme Leader or Academic Director. ICPPD College policies and procedures ensure that reasonable accommodations needed to support learners with an illness and/or a disability are considered and provided, where it is reasonable to do so.

A learner who has been subject to a judgment of a competent authority that might reflect on their ability to move into clinical practice, either out of consideration to illness, disability or specific learning difficulty will be supported appropriately. It is likely that in such instances, there will have been previous discussions with relevant tutors in year one and year two prior to the Fitness to Practice meeting. Any decisions, requirements or recommendations under review and any follow-up dates are noted on the learners file, the documentation having been signed by all parties present.

#### 1.5.2.4 Requirements to commence Clinical Practice (all relevant programmes):

Prior to commencing Clinical Practice, a learner must:

- Achieve the required academic standard in examinations and assessments.
- Agree to abide by the IACP Code of Ethics and Practice for Counsellors and Psychotherapists.



- Have the capacity to perform within their role as trainee therapist.

Capacity relates to the knowledge, skills and competence to carry out the role as a trainee therapist. ICPPD College's programme-specific *Clinical Competencies form (CP02)* sets out the standards required from a learner before they begin to see clients.

Capacity also relates to the ethical, psychological, physical and behavioural readiness to undertake the responsibilities of the role as a trainee therapist, as set out in the IACP Code of Ethics.

It is a requirement of ICPPD College that a clinical practice placement cannot be finalised prior to a decision that a learner is ready to move into client work.

Evidence required to be submitted to the College prior to progression to clinical practice is as follows:

- Clinical Competencies form (CP02)
- Garda Vetting
- Engagement with an accredited supervisor is required to be in place in advance of commencing placement
- Personal Therapy Log, a minimum of 40 sessions of personal therapy signed off by the therapist and the learner
- Agreement with CPCO for preferred placement
- TUSLA e-Learning Programme Certificate

A learner is required to complete this online e-learning programme before applying for a clinical placement. It can be accessed at:

<https://www.tusla.ie/children-first/children-first-e-learning-programme/>

#### 1.5.2.5 Fitness to Practice Procedure

Prior to the scheduled Fitness to Practice meetings the Programme Leader will have engaged with all relevant tutors and staff around each learner. This is allowing the experience of all those involved in a learner's development to speak to their recommendation for each learner. Confidentiality is held at organizational level and learners are aware of this under GDPR.

The Fitness to Practice meetings are held individually with learners and the rationale for decisions, and requirements for next step, are amongst the topics that may be discussed with learners.

**Stage 1:** The learner completes the **Learners Clinical Competencies - Self-Evaluation form (CP02b)** and submits for discussion at the Fitness-to-Practice meeting.



- Stage 2:** The learner presents at the scheduled Fitness to Practice meeting having submitted all relevant required documents, including evidence of personal therapy undertaken.
- Stage 3:** A learner reflects on their readiness to move into clinical practice and completes the **Learners Clinical Competency form (CP02a)** in collaboration with their Programme Leader and Core Tutor.
- Stage 4:** Both learner and Programme Leader discuss and sign the record of the Fitness to Practice meeting.
- Stage 5:** The Fitness to Practice panel considers evidence (including Clinical Competency form, requirements for progression to practice, assessment results and learner file). The Fitness to Practice panel decides whether the learner is ready to practice or not yet ready to practice. The feedback from tutors and staff, where relevant, is included.
- Stage 6:** The learner is notified of the decision and assisted with the next step.

Once a decision to proceed has been made, learners must prepare and submit the relevant supporting documentation required to the CPCO.

It should be noted that this is not an academic assessment process. Assessment results and achievement of Learning Outcomes are taken into consideration only in terms of a learner's competency to move into clinical practice.

Where a decision is not made at the time of the Fitness to Practice meeting, the panel will inform the learner of the reason and of any alternative arrangements that will have to be made. This information will be recorded on the learner's file.

#### 1.5.2.6 Possible Outcomes of Fitness to Practice Meeting

- **A decision to progress to Client Work**  
In this case the learner has been deemed ready to progress to client work and undertakes preparation for clinical practice placement, and the next steps, supported by the CPCO.
- **A decision of “not yet ready” to progress to client work**  
The options here are discussed and agreed at the meeting, if possible. These may include:
  - Any competency that needs to be addressed
  - A realistic timeframe, where possible
  - Any additional supports, if required

The learner cannot progress to client work until deemed ready to practice. In this case learners may defer the Clinical Practice module until a later time; and a review meeting date is agreed with panel. In this case learners are supported by panel/faculty and given



clear direction as to what may be the skills, learning and competencies that need further development as set out in the panel's recommendations.

- **A decision not allowing progression**

In this case further requirements are discussed e.g., taking a year out to resolve some personal challenge etc. Learners can exit with a Level 6 Higher Certificate award. The decision for not progressing a learner through to client work occurs when there are safety and/or welfare concerns. The Learner can decide to exit the programme with Level 6 Exit Award.

An ICPPD learner who is not in agreement with the outcome of their Fitness to Practice meeting can avail of the Appeals Process as outlined in the ICPPD Learner Handbook and Quality Assurance Manual, **Section C, Chapter 6, 6.2**. Both are available on Moodle.

ICPPD College has a designated Clinical Practice Coordinator (CPCO), who is in regular contact with the Programme Leader, Core Tutor and Academic Director. When the Fitness to Practice meetings are complete, the Programme Leader notifies the administration office as to which learners have been approved to commence clinical practice and which learners have been postponed with dates for review sessions indicated.

Administration staff notify the CPCO and Academic Director; and also notify/remind all learners as per timetable of the Clinical Practice induction session that is scheduled to introduce the CPCO and the required 'paperwork' associated with the Clinical Practice module.

There are several documents associated with the Clinical Practice module, implemented by the CPCO. All relevant documents and forms are available on Moodle.

#### 1.5.2.7 Starting Clinical Practice

**Next Steps following approval from Fitness to Practice panel:**

- Once the learner has been cleared by Programme Leader/Fitness to Practice Panel to start client work, the learner needs to identify an accredited supervisor - the required accreditation is outlined in the Clinical Practice Handbook.
- The learner then needs to have an initial session with an accredited supervisor (this is not included in the minimum of 24 supervision sessions - so it is a minimum of 24+1). The supervisor should complete the External Supervisor's Verification (CP07) which the learner then posts/emails CPCO.
- This allows the learner to complete the Trainee Counsellor's/Psychotherapist's Preparation for Clinical Work Contract (CP1) which also needs to be posted/emailed to CPCO once it has been fully completed together with the CP02. The CPCO cannot progress the learner's placement until this form has been received. The learner also needs to attach a copy of the certificate for completing the Children First e-learning programme as identified on the CP01.
- If the learner has been offered a placement, the details of this and contact details of the co-ordinator at the placement needs to be emailed to CPCO: email/phone-mobile/address.
- CPCO will then contact the co-ordinator/manager of the Host Placement and ask ICPPD Administration staff to send out the Information Pack and email the learner confirming



that they can start working with clients. Once the placement organisation has received this the learner is good to go.

- To summarise, the 3 documents the learner needs to give to CPCO to enable the placement to be processed are **CP01 (plus Children First certificate), CP02 and CP07**.

#### 1.5.2.8 Learner Supports during Clinical Placement

During the Clinical Practice module, ICPPD College provides the following supports for Learners –

##### **Designated Clinical Practice Coordinator (CPCO)**

**Induction Session** – a 1.5-hour induction session is provided in both locations, Athlone and Galway and is delivered by the CPCO. This induction session is timetabled at the beginning of the academic year and is provided following Fitness to Practice Meetings for learners to ease anxiety and to inform learners of the process required. Learners will be provided with hard copies of all the forms required and introduced to the ‘paperwork’ and documents required for their clinical practice and the Clinical Practice module.

**Letter of Approval** – letter from ICPPD College for a learner to use when approaching a Clinical Placement(s). It introduces the College and programme and stipulates to the fact that the learner has been approved to commence client work; identifies the CPCO; validates insurance cover, in-house supervision and external supervision; that personal therapy is in place and that Garda Vetting is complete.

**In-house Supervision** – these are usually 2-hour sessions provided monthly, in groups of 3-6 learners (in accordance with IACP requirements). The initial sessions are scheduled over the summer months to support learners in preparation for their clinical practice placement.

**External Supervision** – as required by programme criteria and in line with IACP requirements as outlined in both the Clinical Practice Handbook and the Learner Handbook.

**Personal Therapy** – as required by programme criteria – as outlined in the Clinical Practice Handbook and the Learner Handbook.

**Professional Indemnity Insurance** - ICPPD covers this for the duration of clinical practice as a trainee therapist.

**Garda Vetting** – processed by ICPPD College administration staff, at no cost to learner.

**Clinical Practice Peer Support Session** – 2-hour session timetabled at beginning of academic year and delivered in ICPPD Athlone. This session is scheduled to take place in the second half of the academic year as the purpose of this support session is to provide learners with the experience of meeting learners from year 3 and graduates of the programme from previous years to ease anxiety and hear their experience of the Clinical Practice module and Clinical Placements.

**Letter of Appreciation** – to Clinical Placements, introducing ICPPD College and training programme and specifying the need for clinical practice hours for trainees/learners commencing client work.

**Information Pack** – for Clinical Placement Manager, authorised by CPCO and implemented by ICPPD College administration staff. This pack contains a cover letter of introduction and appreciation, ICPPD’s Clinical Practice Handbook and copy of professional indemnity insurance.

**Site Evaluation** – the CPCO will contact placements and complete placement evaluation form to ensure they meet QA guidelines and IACP requirements



**Clinical Practice Module on Moodle** – documentation, guidelines, videos and articles to support the Learner on the Clinical Practice module. Learner engagement via Moodle is also available for review.

**External Supervisor’s Forum** – an annual gathering of external supervisors and an opportunity to network, introduce ICPPD College and an invitation to share information and expectations.

**Clinical Placement Manager’s Forum** - an annual gathering of Managers of Clinical Practice Placements who consider taking on trainee therapists and provide client hours for learners. This meeting is an opportunity for us in ICPPD to hear feedback on our trainees and to support each other as organisations in supporting trainees in the field of counselling and psychotherapy.

#### **Thank you card**

**FEEDBACK** - at the end of each academic year, Clinical Placement Managers fill in an evaluation and feedback form on their experience of ICPPD as a college and the training we provide to trainees (as experienced first-hand by them in relation to our trainees). All feedback is important to us as it informs us of what we are doing well and what might need improvement. Feedback is fed back to the various Boards at ICPPD College.

### 1.6 Post-Programme Progression

It is ICPPD policy to encourage and facilitate the progression of eligible learners, who wish to continue with further studies in a similar or related field, to a higher award level within the National Qualifications Framework.

The requirements for learners to progress from one stage to another within an ICPPD programme (if applicable), and to successfully complete that programme, are specified in the Approved Programme Schedule for each programme at the time of the development of the programme, as outlined in **Section B, 4.1: Programme Design/Development: Internal Process**. Progression requirements are advised to learners in their Learner Handbook distributed at the start of each academic year and are also published on the ICPPD website.

Post-programme progression options and pathways are considered at the time of the development of each academic and training programme, as outlined **Section B, 4.1: Programme Design/Development: Internal Process**. The relevant entry requirements of other programmes are considered as part of the programme approval/accreditation process.

Potential progression options are identified for prospective learners on the [ICPPD website](#) and in the relevant programme promotional material. In addition, ICPPD staff, in particular the Academic Director/Programme Leader, also engage in extensive communications with prospective learners regarding possible progression opportunities post-ICPPD academic and training programmes.

With the alignment of ICPPD Programmes to the [National Qualifications Framework \(NFQ\)](#) specific progression opportunities currently provided with ICPPD are

- Successful completion of ICPPD’s Personal Development programme allows a candidate to apply for entry (with exemptions) to/progress within to the ICPPD Certificate *in Introduction to Holistic Counselling and Psychotherapy* programme, or equivalent programmes at other third-level providers.
- Successful completion of ICPPD’s Introduction to Holistic Counselling and Psychotherapy allows a candidate to apply for entry to the BA (Hons) in Holistic Counselling and Psychotherapy – Level 8 programme at ICPPD, or equivalent programmes at other third-level providers.
- Successful completion of ICPPD’s BA in Holistic Counselling and Psychotherapy programme (Level 7) may allow a candidate to apply for entry to BA Level 8 add-on cognate programme at ICPPD or



other third-level providers (subject to the admission requirements of that programme/third-party institution). In some instances, ICPPD Learners have been accepted onto L9 at other HE providers within the State.

- In addition, the BA (Hons) in Holistic Counselling and Psychotherapy programme, Level 8, meets the academic requirements for trainee counsellors'/psychotherapists' registration with (as a minimum) the IACP/NAPCP professional bodies, to support Learner's progression within the Counselling and Psychotherapy profession.
- Successful graduates of ICPPD's BA programmes may also enhance their professional qualifications by applying for on ICPPD certified programmes – Diploma in Advanced Supervision (IACP accredited/SAI recognised) (participants must have attained IACP accreditation) or Diploma in Expressive Arts Therapy.

The Administration Staff are responsible for the administration of all stages of the ICPPD admission processes which facilitates Learner progression. The alignment of ICPPD programmes within the NFQ should facilitate progression of ICPPD Learners, while also supporting progression of non-ICPPD graduates accessing progression options within ICPPD.

### 1.7 Audit/Review of Access, Transfer and Progression Policies and Procedures

ICPPD is committed to ensuring that these Access, Transfer and Progression Policies and procedures are adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the Registrar, reporting to the Academic Board.



## Chapter 2 Learning Environment, Resources and Supports Policy

### 2.1 Statement of Confidentiality at ICPPD

#### 2.1.1 Introduction

Confidentiality and trust are fundamental to creating and maintaining an effective learning environment on ICPPD programmes. Confidentiality is essential to the trust required for groups to form and work well together. Groups that achieve a high level of trust will usually demonstrate enhanced learning outcomes.

#### 2.1.2 Scope

This statement applies to information shared by or about learners with ICPPD staff or tutors. It applies to learners across all programmes. All staff, tutors, and learners are expected to respect confidentiality within the College.

#### 2.1.3 Confidentiality at Organisational Level

Confidentiality is understood to be between the learner and ICPPD and not with any individual employee/tutor.

This means that employees/tutors have both the right and the duty, as necessary, to convey relevant information regarding learner issues to relevant ICPPD College personnel.

#### 2.1.4 Confidentiality within the classroom

All tutors and learners agree to a learning contract within the classroom setting including virtual classrooms. Each learning contract will stipulate limitations to the confidentiality of their contract.

### 2.2 Administration

ICPPD objectives in relation to the provision of administration services are to:

- support the effective and efficient operation of all College activities
- support ICPPD in its ethos, values and principles in relation to all stakeholders particularly the learners
- provide administrative back-up to staff to facilitate the provision of a quality service to Learners
- maintain secure filing and database systems, which provide up to date, accurate and easily retrievable information on learners and College activities
- ensure the effective operation of College systems
- ensure that lectures, workshops and class events are properly organised, run and administered, including the booking of venues and presenters, communication with learners, and the provision of required materials
- provide a speedy response to learners in relation to queries and requests
- implement and manage effective systems in relation to assessment broadsheets
- take an active role in the management of customer care standards in the College
- assist the planning and implementation of the course calendar for each course within the College
- support College marketing and recruitment processes
- partake in quality evaluations and review procedures as requested



- partake in professional development activities as requested.

## 2.3 Registration

Registration at ICPPD is necessary before potential learners are admitted to lectures, and it is valid up to a maximum of one year at a time. To be registered, a potential learner must complete the appropriate **Application Form** (for year of entry) and **Registration Form [A3]** (for year of entry/progression) and must be in good financial standing with the College (refer to **Section C, Chapter 3, Fees Policy**).

At the time of Registration, learners are advised to familiarise themselves with the Learner Handbook and the QA Manual. The Learner Handbook presents a guide to the various facilities and services, both academic and non-academic, at ICPPD, and also includes the ICPPD's policies, rules and regulations. A learner's signature on the ICPPD registration form **[A3, Registration Form]** indicates their acceptance of and commitment to abiding by these regulations.

An ICPPD learner ID card is issued to all registered learners on programmes by the Administration Staff and may be used by learners to access ICPPD facilities and, more particularly, for identification and for access to classes at outreach-site locations.

## 2.4 Deferral of a Programme Stage/Module

ICPPD is conscious that there may be occurrences during a learner's academic engagement which necessitate them to take some time out of their academic programme. All learners undertaking an academic programme at ICPPD have the right to request a deferral of a stage of the programme or a module.

In considering a learner's ability to progress from one stage of a programme to another, learners may be advised or required by the relevant Progression panels to defer a stage of their programme to focus on their personal development and the integration of personal and professional development.

### Deferral Request Process

Before seeking a deferral of programme or individual modules at ICPPD, Learners are required to discuss the decision with the Programme Leader and/or Academic Director in advance of assessment submission dates to discuss all options available and to consider the financial implications for the learner.

- Learner's request for a deferral, via the **Deferral Request Form [AS07]**, should be submitted to the Administration Office and must be supported by authenticated, independent, documentary evidence, if/as appropriate. All communication must be in writing but may include email correspondence. A confidential written record of the request is maintained on the learner's file within ICPPD.
- In the instance where assessment submission dates fall between the submission of the **Deferral Request Form [AS07]** and a decision being made in relation to that request, the learner is expected to submit the assessment. Failure to do this may constitute the learner forfeiting their first assessment attempt and may be subjected to the implications this carries, subject to extenuating circumstances.
- The relevant **Programme Leader** considers each application for deferral, on a case-by-case basis, and assesses it, in consultation with Academic Director/other relevant staff member, on the grounds of the learner's reasonable ability to continue with the programme, the evidence presented in relation to the timing and impact of the circumstances, and the stage of the learner's progression within the programme.



- Deferrals are not automatic and will only be granted where there is evidence of mitigating circumstances.
- Where a deferral application is rejected, the learner has the option to withdraw or continue with the programme and may also appeal a deferral decision to the Academic Board, subject to its Chair's confirmation of there being valid grounds for the appeal.
- In the case of a learner who, for personal or family reasons, needs to defer their course of study for a period of time they may request deferral of assessments only. This is assessed on an individual basis and may depend on the nature of the module or mode of assessment.
- **Fees:** The implications in relation to a learner's fee payment are outlined in **Section C, Chapter 3, Fees Policy**.

### Returning to studies

- Learners should be aware that availing of a place on the programme in the subsequent academic year is not automatic and may be dependent on a place being available either in the preferred cohort and academic year and/or venue of choice.
- Learners should be aware that during their deferral period, changes may have been made to the programme as a result of standard internal and external cyclical reviews. This may include changes to fees. The reviewed fee structure will apply on resumption of the programme.
- Prior to returning to the programme, learners are required to attend a **Re-Engagement Interview** with the Academic Director to discuss and assess and ascertain the learner's readiness and ability to resume the programme.
- On their return to a new class cohort, for their integration with the group and for personal and professional development, learners must attend all modules with the new cohort, regardless of whether exemptions have been awarded. Where exemptions have been awarded in modules, assessments do not need to be repeated.
- Where a learner chooses to defer the Clinical Practice Module(s), there are additional professional requirements to be undertaken when commencing and completing the module which may include additional Personal Therapy hours, appropriate CPD course and there may be additional fee implications. These should be discussed with the Academic Director in advance.

**Professional Body Requirements:** Learners are also cautioned that relevant professional bodies may have other requirements which may be impacted by decisions to defer, seek exemptions, etc., for example, a minimum of 2 consecutive years of study. It is the learner's responsibility to determine and consider the implications of any such regulations on their progression through the programme, and subsequent professional accreditation.

## 2.5 Learner Withdrawal

ICPPD is conscious that there may be learners who decide not to complete their programme for a number of reasons.

A learner who is contemplating leaving their ICPPD programme is requested to discuss the decision with College Personnel e.g., the Academic Director, Programme Leader or Tutor, to make sure they have explored all of their options, including that they may also consider seeking a deferral.



If the learner's decision to withdraw is definitive, they are required to complete a **Learner Withdrawal Form [AS08]** to facilitate the update of the learner information system. The learner is not considered to have withdrawn until a signed **Learner Withdrawal Form [AS08]** has been received by the College Administration Staff. Copies of the Learner Withdrawal Forms form are available from the administration office, and on Moodle.

The implications in relation to a learner's fee payment are outlined in **Section C, Chapter 3, Fees Policy**.

## 2.5 Library and I.T. Supports

ICPPD objectives in relation to the provision of Library and IT services are to

- provide a library of reference materials to learners which is comprehensive and up to date
- continually build on the number and range of titles available in the library
- facilitate learners with requests to access College library and resources
- provide learners with access to web-based information
- provide a comprehensive and up-to-date range of relevant journals
- provide information to learners regarding access to other libraries particularly those in their localities.

Current library resources are in the following formats: books; journals; electronic resources (e.g., CDs, DVDs); and internet/web resources. In accessing the library service, based in the Athlone building, registered learners on academic programmes may

- use available material to support their learning
- borrow books and other materials for a two-week loan period (however, some material is for on-site reference/access only and is clearly marked as such)
- renew items on loan, provided there are no outstanding requests for their return/access, and request items out on loan
- seek advice in relation to access to resource material
- have access to study space (by appointment)
- seek to photocopy relevant library material, subject to the regulations of the [Copyright and Related Acts, 2000](#)
- communicate with and request material from the library via telephone or electronic mail
- expect courteous service and to be treated with respect at all times.

The Library catalogue is searchable on-line via Moodle/Academic Resources.

**E-Library:** ICPPD provides access to an appropriate eLibrary and eJournal via EBSCO (Psych and Behavioural Sciences collection). These resources are accessed through the VLE, Moodle. Learners are instructed on gaining access to these system at their induction session and in the additional workshops provided for all learners.

The use of the eLibrary by learners is monitored and a priority is the provision of the most in-demand key texts as identified in the Ebsco Usage Reports.

**Physical Library:** A selection of physical library books is made available via secure storage at each of the delivery sites. The selection of books is refreshed during the year – or as requested by learners.



In addition, learners can request a copy of any library book listed in the ICPPD Library Catalogue (available on Moodle).

At the start of the Academic Year, the Research Project Tutor makes available to learners a selection of Research Projects from previous academic years – during class time.

Learners may, during office hours, visit the ICPPD Library at the administrative headquarters in Athlone.

**Guest Access:** Advice is given to Learners via the **Learner Handbook** on how to avail of books from the national library service (via BorrowBox) or to attain guest access of libraries at regional academic institutions.

In planning the ongoing development of the College library, ICPPD's Board of Directors and Academic Board have identified the development of online resources as a strategic priority. This is considered to be the most appropriate and efficient use of resources, given the current model of ICPPD programme delivery.

**Computer Access:** ICPPD does not currently host computer facilities for learners and staff but owing to the nature of the programme delivery model, and the assessment process it is a condition of entry that Learners ensure they have access to a computing resource and internet access.

## 2.6 Virtual Learning Environment (VLE) – Moodle

Moodle is the Virtual Learning Environment (VLE) and is accessed through ICPPD's website on the home page, [www.icppd.com](http://www.icppd.com). Prior to commencement on an ICPPD programme, learners are enrolled on Moodle and login details and instructions are communicated by email.

Moodle is used in ICPPD to

- disseminate class material (presentations, papers, videos, etc.)
- share course-related resources
- news and discussion fora
- details of assignments and performance of assessment.
- facilitate secure uploading by learners and marking of assignments by tutors are undertaken through the Moodle platform. This procedure is detailed for Learners in the Learner Handbook.

Learner supports and documentation available via Moodle are

- Quality Assurance Manual
- Learner Handbook
- College Handbooks – Clinical Practice, Research
- Timetables (including date of submission of assessments and feedback date due from tutors)
- Module descriptors
- Academic support documentation / forms
- Academic Resources - including access eBooks via EBSCO, e-Journals through EBSCO's Psych and Behavioural Sciences collection) and links other resources including journals of professional bodies
- Professional Bodies Codes' of Ethics

Tutor supports and documentation available via Moodle are as above for learners and include additional resources including





- Moodle/Turnitin Support
- QA Manual
- Tutor Handbook
- Tutor Training Presentations and Materials
- Research Project Supervisor Supports

Tutors are requested to identify anything that they think might be of benefit to their peers.

ICPPD provides support and advice for learners and tutors in the use of online resources, including our Moodle VLE, during Learner induction, at the commencement of each academic year, and Tutor Induction. Additional support is available on request from Administration Staff.

## 2.7 Computer Software Licence Policy

ICPPD is compliant with all applicable legislation regarding the installation and use of computer software. College policy and procedures ensure that all IT facility users are aware that all proprietary computer software is protected by copyright laws.

The proper licencing of software is both a legal requirement and an ethical imperative, therefore, all software installed or run on ICPPD IT facilities is licenced with a proof of purchase available for audit verification. The College Manager is responsible for assuring that the software running on all ICPPD computers operated by College employees/Learners is appropriately licenced. ICPPD aims to prevent the installation of illegal or unlicensed copies of software on any ICPPD owned or operated computer system.

ICPPD employees/Learners must not install, upload, download, or use any unlicensed software on ICPPD IT facilities. Properly obtained and licenced demo and personal software may be installed by an employee/Learner at the discretion of the College Manager with the understanding that ICPPD will only support standard College academic and administrative software. Any ICPPD employee/Learner, who makes, acquires, or uses unlicensed copies of computer software, may be subject to disciplinary action up to and including suspension or dismissal.

## 2.9 Premises and Facilities

ICPPD has a dedicated campus/facility – its headquarters in Athlone - and in addition, the College utilises external training venues in the delivery of its programmes on an outreach basis. ICPPD believes that a module/programme can only be properly implemented if appropriate outreach facilities are secured. It is the responsibility of the Academic Director to ensure that due diligence is performed and that the physical resources procured are sufficient for the successful delivery of the module/programme to Learners.

ICPPD has strict quality criteria which any potential venue must meet. These are assessed and documented via the ***Evaluation of External Training Facility Form***.

The objectives are to:

- use training facilities and examination venues appropriate to the ethos and values of the education of mature learners and ICPPD
- facilitate learners through provision of an accessible location and facility
- meet health and safety requirements
- provide venues that are comfortable, clean, safe, well-serviced, and conducive to education and training provision, and personal development
- ensure learners have reasonable access to food and beverages



- listen to, and act on, feedback provided by learners and tutoring staff on education and training venues previously used. This feedback is communicated via weekly correspondence between Administration Staff and tutors, **Post-Delivery Evaluation of ICPPD Centre** form and via Learner Representatives.

### 2.9.1 Outreach Training Venues

ICPPD utilises external training venues for the delivery of programmes. These venues may be either other educational or training institutions; counselling centres' meeting rooms; or hotel conference facilities. In choosing such an outreach venue the College applies strict quality criteria which the venue must meet. A quality assurance checklist for external venues is used within ICPPD to evaluate potential new premises for outreach purposes via the **Evaluation of External Facility Form**.

In the procurement of outreach training venues, ICPPD's objectives are to:

- use training facilities and venues suitable to the ethos and values of adult education, in general, and ICPPD, in particular
- facilitate learners with a location that is accessible to them
- ensure access to quality reasonably priced refreshments
- procure venues that are comfortable, quiet, clean, safe, secure and well serviced, and which maintain the confidentiality of the class interactive process
- listen to and act on feedback provided by learners and tutors on the training venues used
- use venues which can provide training equipment if needed such as Interactive Screens/TV and video/Projector etc.
- secure value for money.

Ongoing review of all delivery sites is conducted throughout the academic year through the use of the **Post-Delivery Evaluation of ICPPD Centre Form**, which is submitted by each Tutor to the College Administrator on completion of their relevant module/programme delivery. Any site found to be unsatisfactory, i.e., not to meet college, tutor or learners' expectations, is removed from the College portfolio of outreach centres, as soon as is physically and feasibly possible. Remedial action will be taken to offset any difficulties experienced in using the venue in any necessary interim period, except in the instance of a health and safety risk to learners or tutors, when immediate action is taken to vacate the site.

The Learner Handbook provides details of all outreach centres, including their delivery location, with link to a map of the location, access instructions and car parking arrangements, and availability of catering/canteen facilities.

The Academic Director delivers an annual report to the Board of Directors regarding the facilities utilised during the year, any feedback received from learners in relation to these venues, and any plans for the forthcoming academic year.

### 2.10 Virtual Classroom Technology

The Zoom Platform is used for online synchronous classroom delivery. The technology facilitates online engagement in class through a virtual classroom environment. Features such as breakout rooms, screen-share, whiteboard, chat functions help to create an interactive, flipped classroom environment.



The platform is also used effectively to facilitate meetings scheduled throughout the year with management, faculty, learners and support staff.

Zoom Webinar functions are used to support marketing activities such as college Information Evenings.

## 2.11 Learner Complaints Policy

ICPPD is committed to excellence in education and seeks to ensure that learners receive appropriate, high-quality administrative and learner support services. It is recognised, however, that there may be occasions when learners may feel that they have cause for complaint.

ICPPD aims to provide a fair, consistent and expeditious mechanism to process complaints made by any learner in a manner which affords all concerned parties their rights in accordance with the principles of Natural Justice.

ICPPD ensures that each registered learner is aware of the Learner Complaints Process. It is spoken to during learner induction and a copy of the policy and procedure is in the Learner Handbook.

A summary of the Learner Complaints Process is outlined in **Figure 2.9** below.

This policy outlines a series of steps required to resolve complaints or issues to the mutual satisfaction of the complainant and the individual, service or department against which the complaint is being made. ICPPD commits to timely, transparent and fair resolution of issues to the mutual satisfaction of all parties, within a supportive framework.

### 2.11.1 Introduction

The Learner Complaints Procedure outlined in this section applies to all learners registered on programmes at ICPPD College.

Scope - A complaint may be made in respect of any service they receive from the College. The scope of this complaints policy includes, but is not limited to, complaints in the following categories:

- Issues regarding learning activities
- Issues regarding academic or support services
- Issues regarding facilities
- Difficulties with a member of staff
- Difficulties with an enrolled learner

Academic appeals are not included in the scope of this policy. The procedures and processes for academic appeals are outlined in **Section C Chapter 6: Assessment Review and Appeals' Policy**.

### 2.11.2 General Principles

- No learner will be disadvantaged through availing of the learner complaints procedure. However, the College expects that in raising possible issues of complaint, learners themselves will have observed their own obligations and responsibilities. It is expected that learners will be compliant with the requirements of the **Learners' Code of Conduct QA Manual, Section C, Chapter 7, 7.1**.



- Due to the seriousness with which the College handles complaints and impact on available resources to manage complaints, we expect that learners will not engage in frivolous, malicious or vexatious complaints. Frivolous, malicious or vexatious complaints may result in disciplinary action being taken against the complainant.
- The intention is that most problems will be dealt with informally, in a spirit of conciliation. Thus, the formal complaints procedure should be a last resort in the search for a solution. However, a learner has a right to request that a complaint is moved to a Formal Stage at any point in time.
- The procedure is intended to produce a speedy and efficient resolution. The aim is to prevent unnecessary delay, whilst ensuring a full and fair assessment of the circumstances of any individual complaint.
- Complaints provide an important source of feedback on the performance of the College's services. As such, the College will monitor the registration of complaints and the progress made towards resolution, in order to further improve the process.
- To enable prompt resolution complaints should be raised as soon as possible after issues arise. Complaints should normally be made within 15 working days of the alleged incident, matter or concern occurring.
- Minutes will be taken of all formal meetings and records are maintained in accordance with the College's policy on Records Retention.
- Anonymous complaints will not be accepted.
- Complaints will be dealt with in a confidential manner.
- There may be particular circumstances, including a conflict of interest, requiring another post-holder to undertake the functions assigned to the post-holder referred to in this process.

### 2.11.3 Learner Complaint Process - Stage 1 - Informal Process

Complaints and concerns should, where possible, be resolved at any early stage through dialogue and by those who are directly involved in the situation. Learners are encouraged to approach the person directly and respectfully with whom they are experiencing difficulty. Resolution through dialogue and discussion is often possible and issues can be resolved quickly. Generally, a complaint or concern should be raised within 15 working days of the alleged incident.

Where an issue cannot be resolved after initial dialogue, a learner who feels that they have been treated unfairly or inequitably has the right to make a complaint. Learners are advised to raise concerns as soon as possible with their Programme Leader or Academic Director, who will mediate informally between both parties.

**Stage 1** is generally an oral process and a written record is not made. Informal action can be initiated in writing but will not be considered a formal written complaint at this stage and no formal record will be retained. However, faculty members involved are encouraged to share their experience of the process to the benefit of the College.

If the learner's complaint is not resolved satisfactorily through informal discussions at this level, then **Stage 2** of the procedure, outlined below, should be followed. The learner's Programme Leader will explain to the learner the operation of the remaining stages of the Learner Complaints Procedure.



#### 2.11.4 Learner Complaint Process - Stage 2 - Formal Stage - Investigation

ICPPD appreciates that there may be occasions where Stage 1 is inappropriate or the outcome may be unsatisfactory to the parties involved and/or that a more formal approach is necessary.

At this point the learner should make their complaint in writing within 15 working days of the completion of Stage 1 or, where Stage 1 is not being followed, within 15 days of the date of the alleged incident, matter or concern and forward it to the College Manager. The complaint should be specific and comprehensively documented. The complaint must detail the learner's name and contact details, any relevant supporting documentation, and dates, locations and witnesses as appropriate. Any previous efforts to resolve the matter should also be documented.

The Registrar acknowledges receipt of the complaint within 10 working days.

The Registrar will review the Complaint to ensure that it complies with the complaints procedure and whether it ought to be dealt with under different of policies and procedures (e.g., Academic Appeals).

The College Manager contacts the person who is the subject of the complaint and provides that person with details of the complaint.

##### **Investigation of the complaint:**

The College Manager, acting as investigating officer reviews the learner complaint and any information supplied by any relevant person.

- The investigation may include individual face-to-face discussions with the complainant and the person who is the subject of the complaint.
- Once the investigation has been completed, both parties will receive a written response detailing what actions, if any, are to be taken.
- The complainant and the subject of the complaint may be accompanied by a support person who is not of legal standing to any meeting regarding the complaint.

##### **Resolution of the complaint:**

The College Manager endeavours to mediate an agreement and resolution to the matter. Where mediation is not successful the Registrar notifies both parties in writing of the outcome of this stage of the process and the next stage.

The outcome of the investigation should normally take be communicated within 15 working days of receipt of the written complaint. Where there are any delays, this should be communicated to both parties.

If the Learner is unsatisfied, then Stage 3 of the procedure, outlined below, should be followed. The College Manager will explain to the learner the operation of the next stage of the Learner Complaints Procedure.

#### 2.11.5 Learner Complaint Process - Stage 3 – Formal Stage – Complaints Committee

If the complaint remains unresolved at Stage 2, the learner may appeal in writing the outcome of the Stage 2 to the Chair of the Board of Directors, outlining how the complaint resolution



process has progressed in their view and requesting the Academic Board to proceed to Stage 3. The learner must make the appeal within 10 working days of the completion of Stage 2.

The Chair of the Board of Directors receives all the evidence considered under Stage 2, and a written account/report from the College Manager/Investigating Officer involved in Stage 2.

Considering the substance of the complaint and the previous attempts at resolution, the Chair of the Board of Directors will convene a Complaints Committee under the Terms of Reference set out in the **QA Manual, Section A, Chapter 2, 2.2.9.**

The Complaints Committee examines the material and may seek further information from the learner and the subject of the complaint to clarify matters concerning the complaint. The Complaints Committee may decide if, in their opinion, the evidence justifies it, to uphold (or not to do so) a complaint without proceeding further with the complaint process.

The Complaints Committee considers how the complaint has been handled at previous stages of the procedure and/or to reconsider the appropriateness of the result of the previous stage of the procedure.

The Complaints Committee may interview separately, the learner and the subject of their complaint and any appropriate witnesses. The complainant and the subject of the complaint may be accompanied by a support person who is not of legal standing.

The College aims to complete this stage of the complaints procedure within 15 working days of the receipt of written communication from the learner. The parties involved in the complaint will be informed if delays are expected.

The chair of the Complaints Committee notifies both parties in writing of the decision reached concerning this stage of the procedure and the reasons for it, together with any recommended consequent action.

The chair of the Complaints Committee notifies the Registrar without undue delay the outcome and any policy or procedural changes recommended or required because of the complaint.

The decision of the Complaints Committee will be final as far as the College's Learner Complaints Procedures are concerned.

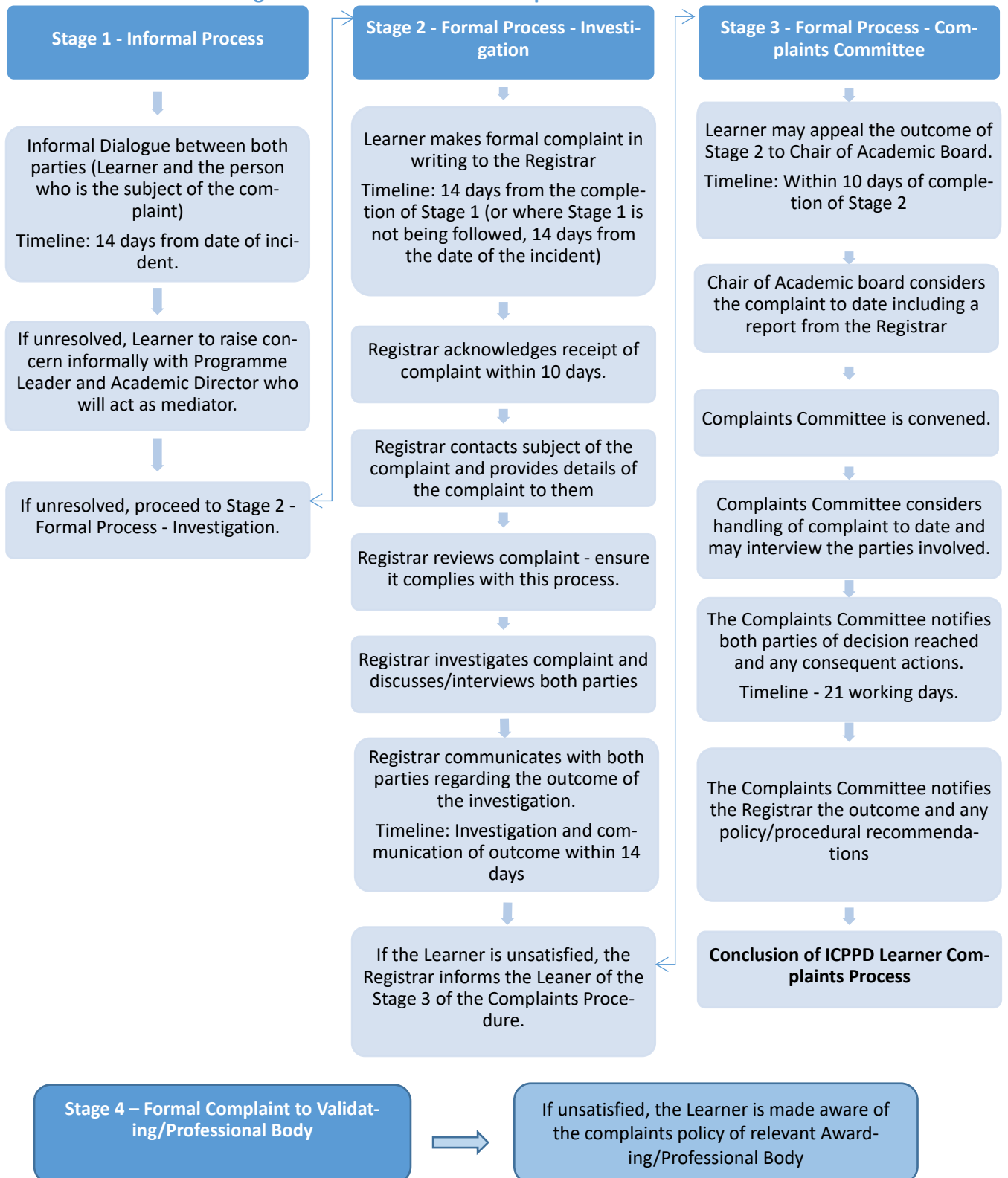
#### 2.11.6 Learner Complaint Process - Stage 4 – Formal Complaint to the Relevant Awarding/Professional Body

ICPPD ensures that each registered learner is aware of the existence of the relevant awarding body's Learner Complaints' Procedure and/or the relevant Professional Body's Complaints' Procedure, if any/as appropriate, and will provide any learner with a copy of the most current version of that Procedure, on request.

#### 2.11.7 Audit/Review of Learner Complaints Policies and Procedures

ICPPD is committed to ensuring that Learner Complaints Policies and procedures are adhered to and used in a proper and adequate manner. The policy will be reviewed on an annual basis to ensure that best practice is always applied. This review will be conducted by the QA and Enhancement, reporting to the Academic Board.

**Figure 2.11 – ICPPD Learner Complaints Process**





## Chapter 3 Fees Policy and the Learner's Contract with ICPPD

ICPPD provides learners with accurate information on the fees attached to programmes and workshops running at the College, prior to their application, to facilitate learners to make advance payment of fees (in compliance with College regulations), and to budget with reasonable accuracy for the total cost of their tuition, workshop, personal therapy, supervision, and maintenance costs, if/as appropriate, over the period of the programme for which they are applying.

### 3.1 Registration and Payment of Fees

- An applicant wishing to secure a place on a programme at ICPPD is required to submit an application form to the College, accompanied by a (non-refundable) application deposit or interview fee indicated on the relevant application form, within the relevant programme promotional material, and in the programme details on the [College website](#).

An applicant is entitled to a full refund of this fee if they decide to cancel within seven days of receipt of application. Should an application be unsuccessful or cancelled by the applicant following the seven-day period, the fee is non-refundable.

- Learner fee payments (deposits/full fees) are accepted by ICPPD in cash, by cheque, money order, direct debit or EFT. Fees may be paid by an employer, sponsor or other third-party, and ICPPD provides a facility whereby this party may be invoiced for the course fee (all or a proportion) on the request of the learner.
- Applicants who are offered a place on a programme are required to confirm acceptance in writing i.e., to complete and sign a registration form which becomes their '*contract*' with the College, prior to commencement of their studies, and to return the registration form to ICPPD accompanied by the identified registration fee. This form/contract specifies the learner's personal details, the modules and/or programme to be studied, and the appropriate fees payable. In signing this contract, which is a legal and binding document, the learner commits to their academic engagement with the programme, to abide by the College's rules, regulations and code of conduct, and to meet all financial obligations of the programme. A photograph for generation of the learner identity card must also be submitted by the learner. The College strongly advises that the prospective learner reads the contract (and associated regulations) carefully prior to their signing, to ensure that they fully understand the attached conditions. Once an applicant has accepted a place on an ICPPD programme, the registration fee is fully non-refundable, in all circumstances.
- On receipt of a fee payment (registration/full fees), the Finance Officer issues the prospective learner with a receipt for the payment, records the payment on the learner and financial management information systems.
- A learner is not eligible or permitted to attend classes, receive course material, submit assessments, and/or receive marks or awards if their fee payments are not current i.e., if they are not in good financial standing with the College (unless at the discretion of the College Manager).
- Prospective learners may be eligible for financial assistance, granted extensions of time to pay tuition fees, or facilitated through the agreement of a payment plan/schedule. A learner wishing to avail of alternative payment arrangements are required to contact the Finance Officer at ICPPD to discuss this matter, in advance of the commencement of their programme. In the case of an agreed payment schedule (Payment Plan), this must be approved by the Finance Officer, in consultation with the College Manager, the time period is clearly indicated on the learner's contract.

### 3.2 Learner Deferral and Payment of Fees

Prior to requesting a deferral of programme or individual modules at ICPPD, Learners are required to discuss the decision with the Programme Leader and/or Academic Director (**Section 2, Chapter 2, Section 2.4 Deferral of a Programme Stage/Module/Assessment**)





Full programme fees must be paid or a payment plan put in place prior to the learner's engagement with their programme. In this context, where a learner applies to defer a module or stage of their academic programme mid-stage/academic year, there are fee implications to be considered.

#### **Deferral of a Stage/Academic Year – prior to commencement of Academic Year:**

When a learner requests a deferral of a programme stage (and is approved) prior to the commencement of the academic year, course fees are frozen. Any fees paid at that point in time, will be credited to their course fees on resumption of the programme. This will also apply where the deferral is requested and approved within 2 weeks after the start of the academic year.

#### **Deferral of a Stage/Academic Year – during the Academic Year:**

When a learner requests a deferral of a programme stage (and is approved) during the academic year (more than 2 weeks after commencement), the learner is liable for full course fees for that academic year. On resumption of the programme, in order to integrate with the new group and for personal and professional development, all modules are to be attended/audited (with exemptions from assessment on previously successfully completed modules). A re-Registration fee will be due for the new academic year – this should be discussed with the Finance Officer in advance of making a final deferral decision.

Deferral of a Module / Assessment: Information about any fees for module/assessment deferral should be sought from the Finance Officer in advance of making a deferral decision.

### **3.3 Learner Withdrawal and Payment of Fees**

Full learner fees must be paid or a payment plan put in place prior to the learner's engagement with their programme. In this context, where a learner wishes to withdraw from their academic programme prior to the programme's completion, ICPPD does not refund any element of these fees to the withdrawing learner.

A notice of withdrawal (due to exceptional circumstances) may be accepted as grounds for a full/partial refund of fees, subject to the provision of acceptable documentary evidence in support of the refund application. Examples of exceptional circumstances include

- recent certified serious illness or disability
- death of the learner or a close family member (parent, sibling, spouse or child; this does not normally include a grandparent)
- collapse of promised financial support or sponsorship of the learner notified before commencement of the course.

However, where a learner's withdrawal is as a result of less exceptional circumstances, there may be a facility for the learner to have a proportion of their fee held in credit within the College for their future purposes. The option to facilitate financial credit allocation is determined by the College Manager, in consultation with appropriate College personnel. The financial credit amount is not refunded to the learner, but rather held on account, and may be used towards another academic or training programme or workshop at ICPPD before the end of the subsequent academic year.

The following criterion have been defined by ICPPD as conditions which would determine a zero refund i.e., ICPPD reserve the right to retain the full fee

- a learner, who withdraws from a course for whatever reason after six teaching weeks (i.e., having attend the first module) will not be eligible for a refund
- where an offer of a course place was made on the basis of documents which subsequently prove to be fraudulent or misleading



- a learner whose entitlement to attend a programme is terminated due to academic misconduct or antisocial behaviour within ICPPD, will not be eligible for a refund
- a learner, who is unable to continue or complete their studies because of having been convicted of a criminal offence in the jurisdiction of the Republic of Ireland, will not be eligible for a refund.

If a learner on a payment schedule decides to withdraw without having fully discharged their fee liability for the academic year, this may impact on their opportunity to gain credits for work already undertaken, or to be offered a place within an ICPPD programme, or a payment schedule in future.

### 3.4 College Termination of a Learner's Contract

Ideally full fees must be paid by the learner prior to their engagement with the programme. In this context, in the event that ICPPD decides to discontinue a learner's participation in an academic programme, part-way through any module/academic programme, as a result of a proven case of academic impropriety or infringement of the Code of Conduct, the learner will lose all fees paid to date.

### 3.5 Protection for Enrolled Learners and Cessation of an Academic Programme

As stated above, full learner fees must be paid prior to the learner's commencement of their programme.

As a private educational provider, ICPPD has made specific arrangements to protect enrolled learners in the unlikely event that ICPPD would cease to provide a particular programme, as per the requirements under *section 65* of the [Qualifications and Quality Assurance \(Education and Training\) Act 2012](#).

Academic bonding is the preferred option for ICPPD to engage on behalf of its learners, sectoral structures and institutional arrangements in relation to 'similar programmes' within the Counselling and Psychotherapy field. In the event of ICPPD's QQI validated programmes of study unexpectedly ceasing, where the option of transfer is not acceptable or feasible to the learner, ICPPD has ensured that financial (recompense) arrangements are in place to make provision for learners' most recently paid fees. To facilitate that financial restitution, arrangements (i.e. an insurance product) have been established for learners through the Arachas Enrolled Learner Protection Programme to ensure that ICPPD meets its obligation under the 2012 Act.

Learners are additionally advised that the information provided on their application will be retained for use in connection with their course of study and, where relevant, may also be used in respect of the College's Protection of Enrolled Learners Policy (PEL), and shared with the awarding body Quality and Qualifications Ireland (QQI) and PEL insurance providers Arachas for PEL purposes.

### 3.6 Audit/Review of Fees Policies and Procedures

ICPPD is committed to ensuring that Fees Policies and procedures are adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the Registrar.



## Chapter 4 Learner-centred Teaching, Learning and Assessment Policies

### 4.1 Teaching and Learning Strategy at ICPPD

#### 4.1.1 Context

ICPPD's holistic ethos and the understanding of the development of the whole person, and the inter-relatedness of body, mind, and spirit along with humanistic principles, guide and formulate the design of programmes and the policies and procedures that support these processes.

Robertson (2002:112) advocates that when teaching is construed as “facilitating learning” rather than “imparting knowledge” it becomes an “educational helping relationship”. Experiential teaching and learning are provided at ICPPD, and the module descriptors give examples of the modes of delivery and assessment methods to evaluate learning outcomes.

The terms ‘tutor’ and ‘adult learner’ have been continually discussed for appropriateness and are deemed by Programme Boards to be more in alignment with ICPPD's holistic and humanistic approach to learning than the alternatives discussed i.e., ‘lecturer’ and ‘student’.

Teaching is designed to create a relevant and meaningful context for learners to make practical connections for the knowledge and skills they are acquiring. Teaching styles and contexts are flexible and aim to motivate and engage learners.

#### 4.1.2 Guiding Principles:

Teaching and Learning at ICPPD ensures as far as it is practicable ensures

- that teaching strategies are aligned with defined humanistic and holistic ethos and, that teaching strategies invite learner engagement and empowerment.
- deep understanding and comprehension including a critical, inquiring orientation is evident
- independence and autonomous modes of learning including opportunity for self-evaluation are incorporated in teaching and learning
- experiential insights with emphasis on the translation of theory to practice supported by internal (for example workshops) and external (for example clinical placements) practice-based learning will be an essential component of the learning experience
- inclusivity with emphasis on tolerance, cultural diversity, learning diversity, and appropriate accommodation will feature within the ethos of programmes
- appropriate reflexive strategies are provided
- a commitment to continuous personal, educational, and professional development where learning is viewed as a lifelong activity
- skill sets that support employment transferability including critical thinking, personal planning, organizing, analyzing, solving problems, teamwork, interpersonal skills, oral and written communication, initiative and creativity, information and communication technology are addressed within the curricula
- program learning outcomes are aligned with the competency-based model teaching and learning practices are anchored in an evidence base
- teaching is dynamic flexible and innovative with a broad range of strategies such as lecture, workshop, tutorial, mentorship, seminars, podcast, practice placement, field trips, or retreat, and other instructional practices including inquiry based learning, personal development, case based learn-



ing, project based learning, observational learning, simulating learning, collaborative and cooperative learning through group work and assessment, independent and discovery learning and problem based and problem solving learning.

- the provision of an academic, pastoral, and technological support infrastructure and environment are included in experiences
- all modules will have an associated set of learning outcomes, which will describe by accessible means what the learner will have learned on completion of module and extensionally of programmatic content
- Academic staff are constructively afforded the opportunity to enhance and develop their expertise in teaching and learning through continuous academic professional development and accredited programs in teaching and learning
- innovative use of technology to further enhance the teaching and learning environment

#### 4.1.3 Approaches to Teaching and Learning

ICPPD promotes a pedagogical, andragogical and paragogical approaches to adult learning which supports the emphasis on the holistic and humanistic ethos and the integration of the following pillars of learning:

1. Personal and professional awareness and development
2. Schools of theory of counselling and psychotherapy
3. Research skills and methodologies
4. Clinical practice and skills.

ICPPD's holistic ethos underpinning college programmes is embedded within a Humanistic theoretical framework and is also informed by the transpersonal approach of Psychosynthesis and the developmental insights of a Psychodynamic orientation. The core epistemological and philosophical issues underlying humanistic, transpersonal, and psychodynamic approaches to human psychology are explored.

A holistic synthesis permeates pillars and aspects of ICPPD programmes including the experiential learning and training, academic teaching and research, clinical practice, supervision.

Importance is placed on lifelong learning, and self-development is at the heart of the professional training at ICPPD. Tutors are encouraged to deliver in their own style and creativity is supported. Schon (1987: 343) confirms the importance of engaging tutors as agents of change and agrees that it is possible for faculty members to become committed to collective enquiry into teaching and learning and that *"Faculty members can find it exciting, even liberating, to make their own teaching into a subject for mutual exploration. And when they do so, their substantive research interests are engaged"*.

Integration between theory and practice by a variety of means relevant to the qualification and mode of delivery is implemented. This provides opportunities for learners to reflect on knowledge, experience, and practice, particularly through a process of peer interaction, formative activities, and practice sessions. Reflective opportunities support learners being able to modify and develop their own and others' practices.

Modelling ethical behaviour, authentic conversation, and congruency in interaction with learners is seen as central to the development of trainees on courses at ICPPD. Brookfield advocates the need for tutors to be enquiring learners themselves when engaging in critical analysis of adult educational literature, and he says that "... critical teaching (Shor, 1987), critical thinking (Brookfield, 1987, 1991), critical pedagogy (Smith, 1988) and critical reflection (Mezirow et al) emphasize the overwhelming importance to learners of their seeing the process of critical analysis modelled by educators..." (Brookfield, 1993, vol. 25.2).

Palmer (2017:212) says modelling demands that we create academic programmes that are open to learner critique, challenge, and change, and that an educational programme that emerges from a



“continuing collaboration of administrators, faculty, and students is much more likely to produce new professionals than one that leaves students disempowered.”

Learners are encouraged to develop a reflective awareness of their own life experience and formulate their own congruent approach to working with clients. To support this activity learners, utilize several models of reflective practice including that of action research (McNiff and Whitehead, 2006) and reflective learning (Moon, 2004).

ICPPD's Teaching and Learning Strategy facilitates the achievement of the intended programme/module learning outcomes, through the following list of activities which support the quote - “Learning is a process as well as an outcome” (Zuber-Skerritt 1992:103).

#### 4.1.4 Teaching and Learning Strategies

- Lecture/tutorial/workshop
- Demonstration of theoretical approaches
- Class Presentations – individual and peer group
- Journaling, Dream Journal, and creative exercises
- Group sharing and discussion groups
- Meditation, Mindfulness, Visualization and Creative processes as appropriate to individual and group development
- In-class Debates
- In class Role-play and practice sessions with peers and others
- Modelling by the tutor is also seen as a source of valuable learning
- Skills practices and exercises in pairs, small and large groups (dyads, triads)
- Experiential and experimental exercises to enhance learning and the integration of theory into practice and further reflexivity
- Work based integration of Clinical Practice
  - modular knowledge,
  - counselling and psychotherapy skills and competencies
  - working with clients
  - engaging with clinical placement venues
  - attending external supervision
  - personal therapy engagement
- In-house supervision in small groups
- Clinical Practice Reflections on processes of counselling sessions
- On-going Group Process in small groups
- Further reading research and independent study (including use of the programme material and online resources section on Moodle)
- Self-discovery through participation
- Self-directed and independent learning
- Study groups
- Holistic Reflections
- Literature reviews
- Research, Report and Thesis Writing

#### 4.1.5 Responsibility for Teaching and Learning

While teaching and learning relies on a wide spectrum of resources ICPPD acknowledges that the primary resource lies on effective interpersonal relationships defined by professionalism, supportiveness, fairness, and consistency bound by good practice, respect, and ethical awareness



**Learner:** Learners will take responsibility for and participate in their own learning and engage in a broad range of learning opportunities.

**Tutor:** The tutor is responsible for delivery of modular content and teaching excellence

**Academic Director:** The Academic Director implements, monitors, oversees and co-ordinates the teaching and learning strategies across the programme and the college.

**Registrar:** The Registrar advises on academic procedures, monitoring of QAE activities associated with teaching and learning

**College Administrator:** The college administrator ensures that learner and tutor queries are responded to, manages learner attendance records, administration of extension requests, deferral of assessment requests.

### **Oversight of Teaching and Learning Strategies**

The programme team discuss and review teaching, learning and assessment strategies through the structures of Programme Boards and reporting onwards to Academic Board.

#### 4.1.5 Programme Delivery Modes

##### **Face-to-Face/In-class Delivery**

ICPPD is committed to delivering programme in a face-to-face classroom environment believing that this is the optimum mode of delivery of experientially focused programmes.

##### **Virtual Synchronous Online Delivery:**

It is recognised that some online delivery can be a support to learners and that some programmes/modules are well suited to virtual synchronous delivery. Any online delivery of programmes will be conducted with reference to accrediting (e.g. IACP) or validating body (QQI) requirements.

ICPPD is committed to ensuring the best possible learning and teaching experience for learners and faculty. The approach of ICPPD to online teaching and learning is based on the integration of classroom face to face learning experiences with online learning experiences referenced in the [QQI Blended Learning Guidelines 2018](#).

##### **Remote Learning Charter:**

ICPPD's virtual learning environment provides opportunities to personally interact with tutors and fellow learners. For example, a tutor, may assign an online video for learners to watch, then spend the class discussing the content demonstrating different methods and allowing learners to practice techniques with immediate, instructive feedback.

ICPPD has identified a range of learning and teaching tools that can be utilized in the delivery of programmes with the aim of ensuring a rich learning experience for learners.

Zoom video conferencing software and the VLE - Moodle software is used in order that learners are afforded live e-tutorials with peers and module tutor, document sharing and whiteboard facility. The use of 'break out' rooms provide an essential opportunity for discussion, Q&A, and other small group activities. Participants are advised that webcams and headsets enhance the experience. Tutors employ flipped and online classroom techniques, video lectures, discussion forums, slide presentations, online activities, and state-of-the-art digital technology, to provide a quality learning experience.

ICPPD recognises that it may be necessary for the approach to online teaching and learning to evolve, and innovative approaches to learning and teaching will be examined on an ongoing basis. Teaching staff will also be supported to develop their digital literacy skills capacities.

Robust quality assurance checks are in place to monitor the integrity and consistency of the learner experience. At all times virtual teaching and learning will be used to support and enhance the learning experience for learners and staff.



To support tutors, “**Guidelines for teaching online**” are provided in the ICPPD Tutor Handbook. Learners are supported by providing “**Guidelines for online class attendance and remote learning**” in the Learner Handbook.

#### 4.1.6 Learner Attendance

Learners are required to maintain a **minimum of 85% attendance** for each programme / module to ensure they have adequate time to assimilate and demonstrate the required course skills, knowledge and competencies, and participate effectively in interactive and group learning. Tutors maintain attendance sheets for all modules, which are considered during learner progression meetings. In practice, this means that a learner cannot miss more than 4.5 hours of a 30-hour (class contact time) module or 2.25 hours in a 15-hour (class contact time) module.

During induction, and throughout the academic year, learners are made fully aware that attendance and punctuality form an integral part of programme assessments. Trainee Counsellors/Psychotherapists are required/expected to attend all programme elements, subject only to **exceptional circumstances**. Learners are asked not to book holidays or any other avoidable appointments that will conflict with class times/days. Learners unable to attend a particular class session should advise Administration Staff in advance, in so far as is possible, of their absence and the pertinent reason. All relevant communication in relation to learner attendance is recorded and maintained on the learners’ files for possible discussion during Learner Support and Progression meetings.

A learner who has missed class time during a module/the academic year (and, in particular, where they fear that they will not meet the minimum attendance requirement of 85%) is required to discuss the issue with their Tutor and Programme Leader. Where possible, the Tutor/Programme Leader will agree a course of corrective action with the Learner to appropriately supplement their learning (this may include completion of a piece of research, written work or workshop attendance). The ‘make-up’ activity will be undertaken at the Learner’s own expense, if available/relevant.

Where it is not possible for the learner to make up their missed time the Academic Director will discuss the progression implications with the learner and advise him/her of their possible options including module deferral, re-attendance, and progression (with credit deficit).

Attendance at the first class for each module (which includes an induction and information session) is mandatory. If the learner is absent from this first class, they must provide appropriate justification and must attend a separate induction briefing (arrangements to be made with the Tutor/Programme Leader) to ensure they are aware of their obligations and rights as a learner at ICPPD.

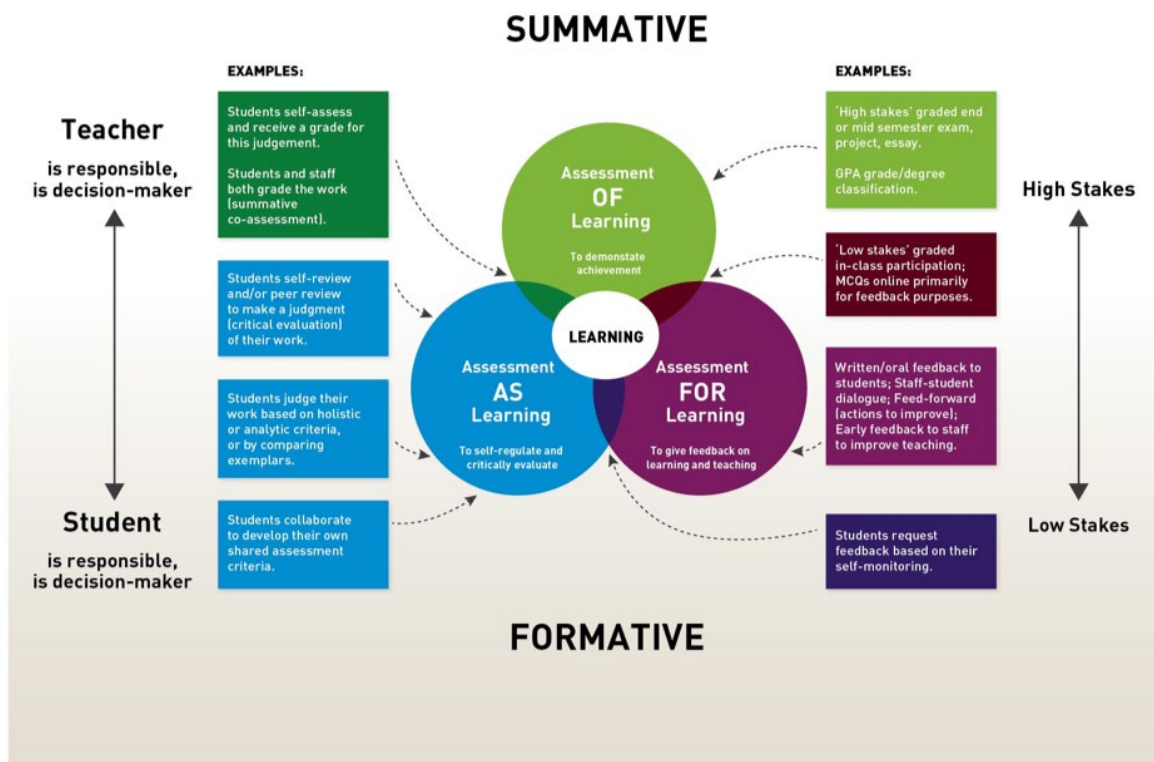
## 4.2 Assessment of Learners Policy

### 4.2.1. Context for the Assessment of Learners within ICPPD

ICPPD’s assessment policies and procedures have been developed to meet the requirements of the QCI (2013) *Assessment and Standards, Revised 2013*, the QCI (2016) *Core Statutory Quality Assurance Guidelines, Section 2.6.1, ‘Assessment of Learning Achieved’* and the ESG Standard 1.3:

*“Student-centred Learning, Teaching and Assessment Standard: Institutions should ensure that the programmes are delivered in a way that encourages students to take an active role in creating the learning process, and that the assessment of students reflects this approach.”*

The Assessment of Learners policy at ICPPD is guided by [National Forum for the Enhancement of Teaching and Learning in Higher Education](#) as outlined in the National Understanding of Assessment OF/FOR/AS Learning:



Assessments are recognised as learning opportunities, and are designed to match the level of study, validate achievement of the Programme Learning Outcomes (MIPOs) and Module Learning Outcomes (MIMLOs), and to prepare learners for progression in a career and / or further study. Assessment is not viewed narrowly as outcome but rather as a means of supporting and promoting effective teaching and learning.

#### 4.2.2 Scope of Assessment of Learners Policy

ICPPD's Assessment Policy applies to all assessment conducted within, and on behalf of, ICPPD including continual assessment, recognition of prior learning, and assessment of work-based learning elements of programmes.

This policy is designed to cover the administration and regulation of the assessment and examination of learners on all ICPPD academic and training programmes.

#### 4.2.3 General Principles

The following general principles underpin the policy on assessment:

1. Assessments are designed to be fair and consistently applied.
2. Assessments are carried out in accordance with the stated procedures.
3. Assessments are transparent, valid, reliable and free from bias.
4. Assessments are subject to regular review, both within the college structures and externally
5. Assessments are constructively aligned with the minimum intended learning outcomes of each programme/module.
6. Clear and consistent assessment criteria are shared with the learner in good time and in a user-friendly manner. The criteria are published in module descriptors. Assessment deadlines/dates are provided on the relevant timetables which are supplied in advance of the commencement of the academic year.





7. The assessment framework facilitates learning and informs and supports learner progression, particularly in the early stages of programmes.
8. Learners are provided with timely feedback on assessment which promotes learning and facilitates reflection and improvement.
9. The quality of feedback to learners on assessment is consistent across programmes, cohorts and delivery centres.
10. Learners participate in assessment as learning which helps them to self-regulate i.e. empowers them to critically evaluate their own work and be able to monitor themselves.
11. The management of assessments is efficient, especially regarding the amount and timing of assessments, staff and learner workloads; and allows time for learner reflection.
12. A culture of upholding academic integrity is promoted.

#### 4.2.4 Assessment of/for/as Learning

**Assessment of Learning** assists tutors in using evidence of learning to assess their achievement of learning outcomes - also understood at ICPPD as 'Summative Assessment'. Assessment of Learning (Summative Assessment) usually occurs at defined key points during a programme or module – typically at the end of a module/programme but certain modules/programmes may have staggered assessments during delivery. Assessment of Learning typically is used to assign grades to learners' assessments. The effectiveness of assessment of learning for grading purposes depends on the validity, reliability, and weighting placed on any one assessment instrument.

Assessment of Learning is an opportunity for learning which depends on the nature and quality of the feedback provided by the tutor. ICPPD's Summative Assessment Strategy is described below in greater detail in **Section 4.2.6 – Summative Assessment of Learning**.

**Assessment for Learning** involves tutors using evidence about students' knowledge, understanding, and skills to inform their teaching and benefits teaching staff by helping them to focus on learning. Assessment for Learning is understood at ICPPD as 'formative assessment'. Assessment for Learning/Formative Assessment usually occurs throughout the teaching and learning process to clarify student learning and understanding. ICPPD's Formative Assessment strategy is described in greater detail below in **Section 4.2.7 – Formative Assessment of Learning**.

**Assessment as Learning** occurs when learners are their own assessors. Learners monitor their own learning, ask questions and use a range of strategies to critically evaluate their own work. This allows learners to make changes and consider their approach to their study and ultimately empowers learners to self-regulate and be able to monitor themselves.

ICPPD values and fosters a culture of Assessment *as* Learning. The college encourages adult learners to develop their own ability to review and critically self-evaluate their own learning. Assessment as Learning encourages an attitude of independent learning by the learning body. Assessment as Learning encourages an attitude of independent learning by learners. Taking agency and responsibility regarding their own learning sees learners adopt an attitude of curiosity towards their original learning style and prompts learners to consider how they might in the future learn differently.

Other strategies of learning reflection include peer assessment in the class setting and the practical feedback that follows each assignment. ICPPD encourages learners to reflect not on marks awarded but on the feedback which accompanies the marks. Such feedback offers constructive signposts for future learning and supports both academic and reflective processes.

This dynamic attitude is practically encouraged by the tutoring staff through various exercises and mechanisms:

- Allowing opportunities in class for self- and peer-review through dyads/triads

- Facilitating in-class or online activities, such as quizzes and discussions, where learners can judge how well they are doing.
- Providing opportunities for learners to compare their work with other exemplars, to be able to critically evaluate their work.
- Supporting multiple opportunities to peer review other learners' work, giving them the opportunity to build skills in judging standards.
- Developing open dialogue between tutors, learners and their peers based on their actionable and timely feedback.

The following assessment OF/FOR/AS learning table is a compilation of from a wide variety of resources that goes a bit further than simple definitions (Chappuis et al., 2012; Fenwick & Parsons, 2009; McNamee & Chen, 2005; Rowe, 2012; Schraw, 2001; Sparks, 1999):

Assessment	Of Learning	For Learning	As Learning
Type	Summative	Formative	Formative
What	Tutors determine the progress or application of knowledge or skills against a standard.	Tutors and peers check progress and learning to help learners to determine how to improve.	Learner takes responsibility for their own learning and asks questions about their learning and the learning process and explores how to improve.
Who	Tutor	Tutor & Peers	Learner & Peers
How	Formal summative assessments used to collect evidence of learner progress and may be used for achievement grading on grades.	Involves formal and informal assessment activities as part of learning and to inform the planning of future learning.	Learners use formal and informal feedback and self-assessment to help understand the next steps in learning.
When	Periodic report	Ongoing feedback	Continual reflection
Why	Ranking and reporting	Improve learning	Deeper learning and learning how to learn
Emphasis	Scoring, grades, and competition	Feedback, support, and collaboration	Collaboration, reflection, and self-evaluation

#### 4.2.5 Assessment Strategies

ICPPD utilises Assessment Strategies that are both formative and summative and are constructively aligned with and appropriate to the relevant programme/module learning outcomes. Programme/Module Descriptors identify valid and reliable formative and summative assessment strategies which are utilised in programmes/modules for the assessment of/as/for learning.

When a programme or module is designed and/or reviewed, the programme development team proposes assessment mechanisms which are most appropriate to support the measurement of learning outcome achievement.



#### 4.2.6 Summative Assessment of Learning

Summative assessment (also referred to 'Assessment of Learning') is usually provided at the end of the learning process i.e. at the end of a module/programme and serves to provide learners with an overall assessment of their learning and achievement of learning outcomes. Summative assessments are required to provide a measurable and comparable indicator of a learner's knowledge and skills. With a summative assessment, learner's performance is evaluated with respect to prescribed learning outcomes and results in allocation of a grade for the module or programme.

The Summative Assessment strategies on ICPPD programmes are described as continuous assessment. Over the duration of a programme, learners are assessed via multiple and varied assessment instruments to demonstrate their knowledge, skills, competency and attainment of Programme/Module Learning Outcomes. ICPPD is diligent in ensuring that assignments are relevant and useful to the learners. Detailed marking criteria and assignment guidelines are prepared by tutors.

In keeping with the focus on therapeutic work, and the nature of the professional field, ICPPD requires learners to maintain personal journals and to submit reflections to demonstrate their growth, personal development, and ability to engage in reflective practice, as appropriate to the assessment criteria of individual modules.

As summative assessment is directly linked to Module/Programme learning Outcomes, it can be used as programme feedback, providing faculty with feedback about the effectiveness of the programme/module design. The defined learning outcomes and marking schemes which accompany each module or programme (described in individual module/programme descriptors) give learners a clear indication of benchmarking standards and are obvious signposts.

Assessments for awards (QQI awards and ICPPD certified awards) are criterion-referenced i.e. learners are assessed and the assessment judgment is made based on whether the learner has attained the relevant Programme and/or Module Learning Outcomes.

##### **Summative Assessment Methodologies**

ICPPD summative assessment methodologies include a combination of applied, practical, and end-of-module assessments. Various approaches are employed within the College including:

- academic essays
- reflective assignments
- live skills assessments
- research papers
- presentations
- portfolio development (clinical practice modules)
- case studies
- journaling
- creative portfolios

All assessment material is checked for correct citation and non-plagiarism. ICPPD uses text matching software (Ouriginal). All work submitted by learners for assessment purposes is accepted on the understanding that it is their own work and written in their own words except, where explicitly referenced using the accepted norms and formats - ICPPD requires the use of Harvard-style referencing in assessment submissions.

##### **Formative Feedback on Summative Assessment:**



ICPPD encourages learners to reflect not only on marks awarded but on the feedback which accompanies the marks. Such feedback offers constructive signposts for future learning and supports both academic and reflective processes.

Tutors (and second markers where relevant) are required to provide learners with evaluative comments and constructive, developmental feedback that corresponds constructively to learning outcomes, the Grade Descriptor and that reflects the grade given.

Since assessment criteria constitute what learners are required to achieve, formative feedback on summative assessments should explain the extent to which learners achieve their grade, identify knowledge gaps and address specific errors and preconceptions.

Quality formative feedback should be effectively communicated to learners in order to aid motivation and ensure that students engage with the content of the feedback.

Summative Feedback should be:

- directly related to assessment criteria/learning outcomes.
- actionable and constructive for further development of the learner's academic performance
- empowering in order to aid student motivation and encouragement.
- enable a learner to build on success.
- individual/personal to address each learners' unique strengths and weaknesses.
- fit for purpose and of value to the learner in planning their progression through the relevant programme of study.
- outline the strengths and weaknesses of the assessment.
- offer guidance for improvement.
- timely to allow learners to apply it to future learning and assessments.
- provided within the published timeframe so that learners can still recall how they addressed each assessed task.
- provided within the timeframe indicated on academic timetables.

The process for providing feedback is outlined in **Section 4.2.7** below.

#### 4.2.7 Formative Assessment of/as Learning

Formative assessment of learning is designed to have benefits for both learning and teaching. It is a crucial part of the learning process. Formative assessment as learning offers learners an opportunity to receive feedback on their knowledge, comprehension and integration of module material.

Formative Assessments are typically ungraded and do not contribute to an overall grade.

Formative Assessment of and as Learning are critical teaching and learning methodologies on highly experiential programme such as counselling and psychotherapy training programmes delivered at ICPPD.

##### **Formative Assessment to support teaching:**

Formative Assessment benefits teaching staff by helping them to focus on learning. On-going formative assessment will help determine what learners have learned and also what is unclear, so that tutors can focus the class more effectively to meet the learning needs of a particular cohort. Faculty can recognise where learners are struggling and address problems effectively.

An essential aspect of formative assessment is to help learners identify what they can do to close the gap between where they are now with their learning and where they need to be.

##### **Formative Assessment should:**

- be linked to learning outcomes.



- take place during the module delivery before they complete the final summative assessments for the module/programme, particularly in the early stages of a programme.
- be incremental – it should assess discrete elements of modules/programmes.
- provide actionable, constructive and motivational feedback to learners.
- provide opportunities to close the gap between current and desired performance.
- facilitate the development of self-assessment/self-evaluation.
- deliver high quality information to learners about their learning.
- provide information to tutors that can be used to help shape the teaching.

#### **Feedback on Formative Assessment:**

Formative assessments are intended to measure learning in order to provide feedback. This kind of formative feedback is given throughout the duration of the module/programme and is given in a spirit of growth and improvement.

Formative feedback benefits learning by helping learners to:

- see how well they are understanding and communicating course concepts.
- critically evaluate material.
- recognise areas for improvement.
- identify additional resources they may need.
- identify learning strategies required to meet learning outcomes.
- see where they can improve and deepen their learning.

#### **Examples of formative feedback in ICPPD programmes:**

- on-going in-class tutor feedback.
- skills practice and observation.
- dialogue between tutor and learners.
- verbal feedback on impromptu questions during class discussion or lecture.
- self-evaluation (for example self-evaluation of competencies in advance of Fitness to Practice meetings in Year 2 of the BA (Hons) in Holistic Counselling and Psychotherapy).
- in-class presentations.
- literature/book reviews.
- posters/concept maps.
- quizzes.
- facilitation of in-class and online discussions.
- group work – triads/dyads
- class check-in/check-out

#### **Learner Support and Progression Meetings:**

In addition to module-based formative assessment and feedback, learners on the BA (Hons) in Holistic Counselling and Psychotherapy participate in mandatory Learners Support and Progression meetings to collaboratively discuss with faculty and receive feedback on their on-going development as trainee counsellors/psychotherapists. Learners are evaluated via these forums to explore the integration of Personal, Professional, and Academic components of the programmes.



## 4.2.8 Responsibility for Assessment

### Responsibilities of the Learner

Learners are responsible for ensuring they understand and remain informed of assessment due dates and related penalties for late submission of assessment material. Learners are expected to strive for academic integrity with the support of the College and to undertake assessment tasks honestly and truthfully, shunning plagiarism and other forms of academic misconduct.

### Role and Responsibilities of the Internal Examiner

Tutors for each module are responsible for assessing learners is deemed to be an Internal Examiner. The role of an Internal Examiner is to:

- Prepare and co-ordinate assessments in accordance with the approved module descriptor.
- Ensure that they are familiar with all assessment policies and procedures
- Submit examination papers and model answers to the Registrar where they are reviewed by the Academic Director and forwarded to the External Examiner
- Consider any suggestions or recommendations proposed by the External Examiner and implement as appropriate in consultation with the Academic Director
- Grade the assessments and submit provisional grades and feedback to the learners within the relevant timescale
- Receive feedback from the External Examiner and, in conjunction with the Academic Director/Moderator if necessary, agree the marks proposed to be awarded to each candidate (prior to the meeting of the Board of Examiners).
- Attend the Examination Board, to verify marks and contribute to the deliberations concerning grades and awards
- To attend faculty days to discuss learners integrated development
- To maintain contact with Programme Leader to support integrated development.

### Role and Responsibilities of the Second Marker

A Second Marker cross-marks a sample of each batch of continuous assessment assignments and written assignments (usually 10%, but this is dependent on the class size). The Second Marker is normally another tutor with similar expertise, unless the Programme Leader is the original marking tutor then by a Tutor/Programme Leader of another related course.

A Second marking is required for the BA (Hons) in Holistic Counselling and Psychotherapy (4<sup>th</sup> Year/1 Year Add-on) Research Project Module. For this module, the Internal Examiner is the Module Tutor. The Research Project Supervisors perform the first-marking function. The Module Tutor performs the second-marking role. Where there is a discrepancy of greater than 10%, the Moderator will decide on the final grade.

### Role and Responsibilities of the Moderator

The role of the Moderator is to work closely with the Internal Examiner (tutor) in a monitoring and advisory role. The moderator is involved in determining the final grade of an assessment where there is a notable discrepancy between first and second markers.



### **Role and Responsibilities of the Academic Director**

The Academic Director is responsible for ensuring that the assessment strategy for each module is implemented by the tutors. The Academic Director monitors and approves the assessments and the assessment marking matrices.

### **Role and Responsibilities of the Registrar**

The Registrar has overall responsibility for the implementation of the Assessment Policies and Procedures, including safeguarding and promoting the academic integrity and academic standards in assessment processes. The Registrar is responsible for overseeing the Administration Office in the recording and management of assessment data and maintenance of Examinations Broadsheets. Examination Broadsheets are reviewed and approved for accuracy by the Registrar prior to presentation to the Examination Boards. The Registrar has responsibility for timely transmission of the recommendations of meetings of the Examination Board to Academic Board, and ultimately to the relevant accreditation agency, if/as required.

### **Role and Responsibilities of the Administration Staff**

The Administration Staff are responsible for:

- managing and recording of assessment records and for exporting of the assessment data to the Examination Broadsheets
- ensuring all learners are registered on Moodle (through programme registration), in order to facilitate online continuous assessments and that learners are registered for examinations including repeat examinations
- appropriate assessment/examination arrangements are made for each learner (including those with particular needs)
- security of all assessments and data and the storage of assessment material

The Administration Staff take receipt of hard-copy assessment material (written examination papers, portfolios and journals), update records and forward material to the relevant tutors. The Administration Staff are responsible for forwarding of feedback and grades by electronic means for assessments which have not been graded via Moodle.

### **Role of the External Examiner**

The role of the External Examiner is to provide independent, external quality assurance for the assessment process and the marking of learner work.

The External Examiner assists in assuring standards appropriate to the award level are maintained. Their function is also to ensure that appropriate standards with regard to Overall Results are applied and that comparability of standards between institutions is achieved and maintained as far as is possible.

The role of the External Examiner is detailed further in **Section 5.1 below**.

### **Role of the Programme Boards**

The relevant Programme Board is charged with determining a general assessment policy, detailing the assessment mode and the allocation of marks to each piece of continuous assessment work across the programme, to ensure a level of consistency across programmes, modules, stages and centres.



Any special assessment requirements are identified and specified, as is the rationale for implementing any such special arrangement. Opportunities for re-assessment are also defined for each programme/module.

### **Role of the Examination Board**

The Examination Board ratifies all results at Examination Board Meetings held twice yearly.

The Examination Board has the final decision relating to moderated assessment results and determines whether learners have been appropriately graded and classified. The Examination Board may consider and make decisions on any borderline cases (*See Section 5.2.1 below*).

### **Role of the Academic Board**

The Academic Board has overall responsibility for the approval of Assessment Policies and Processes and for their oversight and execution. The Academic Board approves the nomination of External Examiners.

The Registrar forwards a report to the Academic Board informing them of the proceedings of the Examination Board meetings, including the minutes of the meeting and External Examiners Report. The Academic Board reviews examination results data, External Examiners' reports and verifies that they comply with assessment policy and procedures.

### **Role of Learner Support, Progression and Fitness to Practice Panels**

Learners' integrated Personal and Professional Development together with Academic progress is discussed at Learner Support Meetings, Progression Meetings and Fitness to Practice Meetings. The outcome and recommendations will determine learners' progression from one stage to another of the programme.

#### **4.2.9 Assessment Schedule**

A schedule of assessment, a summary of the assessment requirements for the programme, is specified in the Approved Programme Schedule for each programme, and in the Module Descriptor document for each individual module, at the time of the development of the programme, as outlined in **Section B, Chapter 4, 4.1: Programme Design/Development: Internal Process**. The Assessment Schedule states the module title, the modes of assessment applied for that module, the percentage of marks allocated to each piece of work, the submission deadlines, and the date by which the learner can expect written feedback from the tutor on the marked assessment material, as per timetable.

Where a Programme Board deems that re-assessment (repeat assessment) may be conducted for the module, this is also reflected in the schedule of assessment, and the associated dates identified.

#### **4.3 Continuous Assessment**

Continuous assessment methods utilised are dependent on the programme of study and the individual modules making up a programme. Continuous assessment facilitates learning by

- assessing the learners' practical application of module material as well as their theoretical knowledge in each module (reinforcing the programme's holistic ethos)
- assessing the learners' ability to conduct research as well as their reporting and presentation skills
- providing feedback to learners and tutors
- enhancing the learners' overall chances of success within the programme
- requiring and motivating learners to work throughout the programme
- reinforcing and expanding learning
- encouraging and supporting creativity and diversity in learning styles amongst learners.





ICPPD endeavours to use a variety of continuous assessment mechanisms to optimise learner opportunity to provide feedback on their learning outcome achievement.

The programme team identify and set out the rationale for the relevant assessment activities employed to determine achievement of the learning outcomes, at the time of programme design/development. This is defined in more detail within **Section B, Chapter 4: Design and Approval of Programmes** which describes ICPPD's procedures for the design and approval of new programmes.

#### 4.3.1 Preparation of, and for, Continuous Assessment

Tutors prepare assessment material, identify topics, solutions and marking schemes and normally act as Internal Examiners for these assessments. The scope and standard of all assessment is required to be appropriate to the level for which it is intended.

Assessment material is reviewed by the External Examiners in accordance with their role as identified in **Section 5 below**.

Dates for submission of assessment material to the College are determined annually by the Academic Director, in consultation with the Registrar, at the time of generation of the programme timetable, and these deadlines are published in the Academic Timetables on Moodle.

#### 4.3.2 Communication re: Continuous Assessment Regulations and Results to Learners

At the commencement of the module/programme/stage/year, as appropriate, each learner has access to the following material via Moodle

- an overview of the allocation of the assessment marks for each piece of assessment, for each module, as set out in the programme schedule
- an indication of those elements of the continuous assessment that are recoverable and those which are non-recoverable (reference **Section 4.2.4 below**).
- the title of each continuous assessment assignment, project or exercise to be completed
- the date on which the work is to be submitted, and the procedure and documentation required for submission of this work (including use of the assignment cover sheet for hard-copy assignments)
- any additional guidelines that the Tutor feels are necessary to support the completion of the work.

Each Tutor checks the relevant programme schedule and verifies how their particular module is to be assessed. The Tutor reminds their relevant learners of the deadline by which the assessment/coursework must be completed, with an explanation of how the continuous assessment marks are compiled for the module.

**Journaling** forms an integral part of most modules' continuous assessment within the counselling/psychotherapy programmes at ICPPD. ICPPD believes that the use of journaling, to engender self-reflection as a support to personal and professional development, is critical. Additionally, the importance of reflection, and the resulting journaling, is supported through the use of allocated continuous assessment marks for the effort and/or on a successful/unsuccessful basis.

Continuous assessment results are communicated to each registered learner, in a timely fashion, in accordance with ICPPD's Learner Feedback policy (**reference Section 4.2.7 below**).



### 4.3.3 Maintenance of Continuous Assessment Records at ICPPD

The responsibility for managing the receipt of a piece of assessment at ICPPD rests with Administration Staff.

For the majority of modules, Learners are required to upload their assessments on Moodle by the specified date and time. Where a creative portfolio is to be submitted as an assessment piece or to accompany an assessment piece, Learners are to send these in hard copy to the Administration Office. Learners are advised to retain a copy of their submitted work, where possible.

The Administration Staff maintain accurate and verifiable records of all assessment submissions, and of the allocated marks for each continuous assessment component, for each learner. Such records are available for review by the external examiner(s).

Every reasonable effort is made to ensure that all marking of continuous assessment material/work is completed and feedback returned to the learners within four weeks of the assessment submission (in accordance with **Section 4.2.7 below**).

On completion of assessment marking, the Tutor returns all hard-copy examination material to ICPPD's administration office for retention in accordance with the College's record management policy (**reference Section C, Chapter 10 Record Management and Data Retention, below**).

### 4.3.4 Learner Absence from Assessment/Late Submission of Assessment Material

Owing to the continuous assessment nature of ICPPD programmes, assessment conducted within a formal location, on a particular day/date, is rare within the College. In this situation it is critical that each learner appreciates the importance of their attendance on that day/at that session.

- If a learner is absent from a continuous assessment session for which they consider there to be extenuating circumstances, which they wish to be taken into consideration by the College to facilitate an alternative/re-assessment (where possible) without penalty, it is imperative that they inform the Administration Staff via the *Consideration of Extenuating Circumstances* form (accompanied by supporting information) as defined in **Section C, Chapter 4, 4.1.4** above. All relevant documentation is retained in the learner's file for access by the Examination Board.
- All medical information provided by the learner to support their absence will be treated in strictest confidence and such information will be limited to the personnel directly involved in administering and evaluating the circumstances. In the instance where ICPPD considers it necessary to verify the documentation provided it will attempt to do so in a way that does not compromise the learner's privacy.
- Late submission of request for consideration of Extenuating Circumstances will only be considered in the event of valid reasons for this absence. The Programme Leader will review the application, decide on the matter and inform the learner of the outcome of their application.
- Where it is accepted that an absence/non-submission of an assessment is legitimate, and depending on the circumstances, the nature of the module, and the assessment in question, the Programme Leader, in consultation with the Module Tutor, and with reference to the Chair of the Programme Board, has discretion to decide what course of action to take.
- In the case of a recoverable assessment, where it is possible to set another assessment to replace the element missed by the learner, e.g., a written assignment with an alternative assessment title could be given to learners to assess the learning outcomes. Available options for consideration include
  - repeating the assessment that was missed (as a first attempt)
  - assignment of an alternative assessment opportunity in lieu of the missed exercise

- the deadline for submission of the assessment may be extended
- the other assessment components may be re-weighted for that learner to take account of the missing assessment mark
- **A non-recoverable assessment** is one where, due to the nature of the assessment and/or where the Programme Board decides, it is not possible to provide learners with an opportunity to repeat a particular assessment to facilitate the learner to demonstrate achievement of learning outcomes. Non-recoverable assessments may occur where the assessment
  - is by way of a group (dynamics) exercise
  - requires participation/attendance in class
  - requires external resources/specialist equipment e.g., clinical practice
  - is just not feasible/appropriate to repeat.

In this instance the result of the assessment for that element of the module/programme is deferred for consideration by Examination Board until the learner can complete all the assessment material for the module (it may be necessary for the learner to defer the module, as per **Section C, Chapter 2, 2.4**, or seek to progress with credit deficit, reference **Section C, Chapter 4, 4.6 below**).

- The Tutor reports all absences to the designated Administration Staff and liaises with the Programme Leader in regard to the learner's assessment impact/result.

The overall impact of any such absences on the learner's final mark in a module/programme is a matter for consideration by the appropriate Examination Board.

The Examination Board may propose one (or a combination) of the following options:

- adjust the learner's assessment results, as it deems appropriate in light of the recorded legitimate absences
- facilitate the learner's re-assessment/re-attendance as a first attempt
- propose the learner's progression with a credit deficit (*reference Section C, Chapter 4, 4.6 below*).
- encourage the learner's deferral of the module/stage/programme.

#### 4.3.5 Request for an Extension of an Assessment Date/Submission Deadline

As outlined in **Section C, Chapter 4, 4.2.2 above**, learners are advised of assessment submission dates on commencement of their course and are expected to adhere to these dates. Only in exceptional personal or family circumstances, as defined in **Section C, Chapter 4, 4.1.4 above**, may learners apply for a formal extension on their assessment submission date.

- A request for extension must be submitted to Administration Office, on the *Assignment/Assessment Extension Request* form, prior to the published submission date.
- The Administration Staff liaise with the Programme Leader who has the authority to grant/not grant the extension request.



- The Administration Staff communicate the Programme Leaders decision to the Learner and relevant Module Tutor, giving details (if any) of the new agreed submission date. (This date to be recorded on Moodle and Learners file)
- A maximum of two requests for extension are allowed from any learner in any academic year, without a formal discussion between the learner, the Programme Leader, and/or the Academic Director. Third and subsequent 'late' submissions will not be accepted for marking for that academic year/programme. The learner may submit these assignments in a subsequent offering of the module/programme, or in a subsequent year, as a repeat attempt. There may be a fee for this facility

#### 4.3.6 Marking of Continuous Assessments

The assessment requirement for each module, within each course provided by ICPPD, varies, and details are included on the module/programme schedule. Assignment due dates are provided to learners on commencement of their course/module/programme of study. The assessment dates are clearly indicated on the academic timetable and normally coincide with scheduled classes to facilitate learners' submission.

##### **Assignments are marked as follows:**

- When submitted by the learner, the Tutor, using the relevant marking scheme, marks assignments/reviews in the first instance.
- A sample of each batch of assignments may be cross-marked by a second marker.
- In exceptional circumstances, for example, where a significant discrepancy exists between a first and second marking, a third marking may be carried out. The Programme Leader will normally undertake this process, provided they were not involved in the original double-marking. Alternatively, this is done by another Programme Leader, as determined by the Academic Director.
- The Tutor returns the examination material to the administration office for retention in accordance with the College's record management policy (reference **Section C, Chapter 10: Record Management and Data Retention**).
- Following the cross-marking process, learners are provided with a provisional mark and feedback on their assignment. ICPPD aims to ensure that the learner receives their provisional mark and written feedback in a timely manner, usually not more than four weeks after the continuous assessment submission deadline.

#### 4.3.7 Tutor Feedback to Learner on Summative Assessment

Following to the marking process, *provisional* assessment results are communicated to each registered learner within a reasonable period, and normally not more than four weeks from the date for submission. Feedback is communicated via Moodle. This is to allow the learner to manage their performance and implemented in a manner that respects the confidentiality of the marks for each learner or, in the case of group work, the confidentiality of the group mark.

Learners have the opportunity to discuss their cumulative (provisional) continuous assessment marks for the programme on an on-going basis with the Programme Leader and their Tutors (by appointment). They may also request from Administration Staff/Registrar an explanation of how their overall continuous assessment mark is calculated.



#### 4.3.8 Late Submission of Continuous Assessment Material

As outlined above in **Section C, Chapter 4, 4.2.2 above**, learners are advised of assessment submission dates on commencement of their course and are expected to adhere to these dates.

In exceptional personal or family circumstances, learners may apply for a formal extension prior to the submission date (as per **Section C, Chapter 4, 4.2.5** above).

Where the above procedure is not adhered to, the following **penalties** are imposed on work submitted:

Up to 1 week/7days late	A penalty of 5 marks will be deducted
Up to 2 weeks/14 days late	A penalty of 10 marks will be deducted
Up to 3 weeks/21 days late	A penalty of 15 marks will be deducted
Up to 4 weeks/28 days late	A penalty of 20 marks will be deducted
After 4 weeks/28 days	A fail grade will be awarded

**After 4 weeks (28 days) no submissions are accepted.**

The Programme Board, Examination Board and External Examiners are informed of instances where penalties have been applied.

ICPPD does not accept more than two late submissions (where penalties are imposed), from any learner without a formal discussion between the learner, the Tutor, Programme Leader and the Academic Director. Third and subsequent late submissions may not be accepted for marking for that course/academic year. The learner may submit these assignments in a subsequent offering of the module/course, or in a subsequent year, as a repeat attempt. There may be a fee for this facility.

The Programme Board, Examination Board and External Examiners are informed of instances where penalties have been applied.

#### 4.2.9 Opportunity for Repeat/Re-Assessment

Programme Boards decide for each module those components of continuous assessment that can be repeated without re-attendance of the module, and those which cannot.

Permission for a Learner to repeat or re-submit certain continuous assessment components without re-attending the module, due to extenuating circumstances, is granted by the Programme Leader, as defined by the Programme Board.

As it is mandatory for a learner to pass each individual element of the module, where a learner has been awarded less than 40% for any assessment elements, the learner may re-submit/repeat those continuous assessment components for consideration at the Examination Board, as a second attempt.

A learner may only achieve a Pass mark in the repeat/re-assessment elements (subject to consideration of extenuating circumstances (reference **Section C, Chapter 4, 4.1.4**). The overall module mark is calculated taking into consideration the re-marked components (as a second attempt).

In the of case non-recoverable continuous assessment elements (reference **Section C, Chapter 4, 4.2.4** above), these can only be repeated by means of re-submission of assessment material, re-engagement



with the assessment and, in some cases, re-attendance of the module. These repeats/re-assessments are treated as a second attempt (subject to extenuating circumstance considerations).

A candidate who does not achieve an overall Pass in all modules at a particular stage of a programme is exempted from further assessment in those modules which they have passed. Any candidate who has been granted exemptions for passed modules may waive the exemptions in order to present all modules' assessment as a repeat sitting. This waiver of exemptions cancels the original result(s) which cannot be restored for the purpose of further attempts at the examination.

Learners taking repeat assessments without re-enrolling for the modules carry forward their continuous assessment marks from the non-failed elements.

Learners may be liable to additional fees for repeating, re-attending and re-assessment.

At ICPPD candidates are normally allowed a total of three repeat examinations sittings, i.e., the autumn of the same year, and summer and autumn of the subsequent year.

#### 4.4 Grading Schemes

At ICPPD grading is only applied to an overall award, and not to individual modules or stages i.e., only at *final year/award stage/on completion of the programme*.

ICPPD uses the grading scheme, as outlined in **Table 4.4.1**, for the BA in Holistic Counselling and Psychotherapy (Level 7 and Level 8) and the college's self-certified academic programmes.

NOTE: 60 ECTS credits will be used, with the associated module grades, to classify the relevant award.

\* 40% is the pass mark in all subjects. A pass mark must be achieved in all assessments of each module, and in all modules of the programme.

Table 4.4.1: Grading Schemes and Major Award Classifications

	<b>Classification: ICPPD Awards, BA (Level 7) programmes</b>	<b>Classification: BA (Level 8) Programmes</b>	<b>Descriptor</b>
70%, or more	Distinction	1 <sup>st</sup> Class Honours	Candidate's achievement includes that required for a Pass and in <u>most</u> respects is significantly and consistently beyond this
60%-69%	Merit, Grade 1	2 <sup>nd</sup> Class Honours, Grade 1	Candidate's achievement includes that required for a Pass and in <u>many</u> respects is significantly beyond this
50%-59%	Merit, Grade 2	Second Class Honours, Grade 2	Candidate's achievement includes that required for a Pass and in <u>some</u> respects is significantly beyond this
40%-49%	Pass*	Pass*	Candidate has attained all of the minimum intended programme learning outcomes
0%-39%	Fail	Fail	Candidate has not attained all of the minimum intended programme learning outcomes



Grade Descriptors are additionally used to provide further explanation to learners on their achievement in assessments – see Table 4.4.2

Table 4.4.2: Sample Grade Descriptor

GRADE Marks Range %	GRADE DESCRIPTORS
90-100	<p><b>Supreme performance</b> engaging profoundly, systematically and comprehensively with question set, brilliantly demonstrating</p> <ul style="list-style-type: none"> <li>• a superlative mastery of the subject matter, richly supported by evidence and citation, reflecting deep and broad knowledge and understanding as well as extensive reading</li> <li>• an outstanding ability to organise, analyse and express ideas and arguments in an original, sophisticated and discriminating manner</li> <li>• an optimal capacity for critical analysis</li> <li>• the display of rare penetrative insight, originality and creativity</li> <li>• profound reflexivity on personal development and synthesis of related topic</li> </ul>
80-89	<p><b>Exceptional performance</b> engaging deeply and systematically with the question set, with consistently impressive demonstration of</p> <ul style="list-style-type: none"> <li>• a comprehensive mastery of the subject matter; amply supported by evidence and citation</li> <li>• reflecting deep and broad knowledge and critical insight as well as extensive reading</li> <li>• an exceptional ability to organise, analyse and present arguments fluently and lucidly with a high level of critical analysis</li> <li>• a highly-developed capacity for original, creative and logical thinking</li> <li>• exceptional demonstration of personal awareness and development of the self</li> </ul>
70 -79	<p><b>Excellent performance</b> engaging closely and systematically with the question set, with consistently strong evidence of</p> <ul style="list-style-type: none"> <li>• a comprehensive mastery of the subject matter, ably supported by evidence and relevant citation</li> <li>• excellent ability to organise, analyse and express arguments fluently and lucidly with a high level of critical analysis</li> <li>• a highly-developed capacity for original, creative and logical thinking</li> <li>• excellent illustration of how the content impacts self-awareness and personal development</li> </ul>
60-69	<p><b>Very Good performance</b> engaging substantially with the question set, demonstrating strong grasp of the subject matter, well supported by evidence and relevant citation</p> <ul style="list-style-type: none"> <li>• well-developed capacity to analyse issues, organise material, present arguments clearly and cogently</li> <li>• some original insights and capacity for creative and logical thinking</li> <li>• Very good engagement and reflection on personal process related to content</li> </ul>
50-59	<p><b>Good performance</b> - intellectually competent answer (i.e. factually sound) with evidence of a reasonable familiarity with the relevant literature and techniques</p> <ul style="list-style-type: none"> <li>• acceptable grasp of the subject material</li> <li>• ideas stated rather than developed and insufficiently supported by evidence and relevant citation</li> <li>• writing of sufficient quality to convey meaning but some lack of fluency and command of suitable vocabulary</li> </ul>

GRADE Marks Range %	GRADE DESCRIPTORS
	<ul style="list-style-type: none"> <li>• omission of parts of the subject in question or the appearance of several minor errors</li> <li>• average critical awareness and analytical qualities</li> <li>• limited evidence of capacity for original and logical thinking</li> <li>• Good awareness of the impact of the content as it applies to personal development</li> </ul>
45-49	<p><b>Satisfactory performance</b> – intellectually adequate answer with evidence of some familiarity with the relevant literature and techniques</p> <ul style="list-style-type: none"> <li>• basic grasp of subject matter, but somewhat lacking in focus and structure</li> <li>• main points covered in answer, but lacking detail</li> <li>• some effort to engage, but only a basic understanding of the topic portrayed</li> <li>• some development of argument</li> <li>• only some critical awareness displayed</li> <li>• no evidence or relevant citation supplied</li> <li>• appearance of several minor errors or one major error</li> <li>• lacking evidence of capacity for original and logical thinking</li> <li>• Satisfactory demonstration of content linked to self awareness and personal development</li> </ul>
40-44	<p><b>Acceptable performance</b> – intellectually adequate answer with limited familiarity with the relevant literature and techniques</p> <ul style="list-style-type: none"> <li>• basic grasp of subject matter but limited focus on question asked</li> <li>• unclear presentation of argument, random layout, with some omissions or inaccuracies in answer</li> <li>• argument insufficiently developed</li> <li>• no evidence or relevant citation supplied</li> <li>• appearance of one major error and minor errors</li> <li>• inclusion of unsubstantiated statements and/or irrelevant material</li> <li>• descriptive rather than argumentative or analytical answer presented</li> <li>• an attempt to solve moderately difficult problems related to the subject material and an attempt to examine the material in a critical and analytical manner only partially successful</li> <li>• adequate connection between theory and self-awareness demonstrated</li> </ul>
35-39	<p><b>Unacceptable performance</b>, with either - insufficient understanding of the question displayed - failure to address the question resulting in a largely irrelevant answer - a display of some knowledge of material relative to the question posed, but with very serious omissions / errors and/or major inaccuracies included in answer - or answer left somewhat incomplete for lack of time</p> <p>Also:</p> <ul style="list-style-type: none"> <li>• limited understanding of question displayed</li> <li>• a random layout / underdeveloped structure - not planned sufficiently</li> <li>• poor analytical skills, with an absence of argument</li> <li>• random and undisciplined development - limited structure</li> <li>• lack of clarity, poor spelling</li> <li>• material of marginal relevance predominating</li> <li>• Insufficient self-awareness and personal development demonstrated</li> </ul>
<35	<p><b>Wholly unacceptable performance</b>, with</p> <ul style="list-style-type: none"> <li>- deficient understanding of the question displayed</li> <li>- complete failure to address the question resulting in an irrelevant answer</li> <li>- inadequate knowledge displayed relative to the question posed</li> <li>- or answer left incomplete for lack of time</li> <li>- No demonstration of personal development</li> </ul> <p>Also:</p> <ul style="list-style-type: none"> <li>• very poor analytical skills, with an absence of argument</li> <li>• random and undisciplined development –poorly structured answer</li> <li>• confused expression, poor spelling</li> <li>• irrelevant material predominating</li> </ul>





#### 4.5 Deferral of Candidates Results (at Examination Board recommendation)

The Examination Board may, in exceptional circumstances, as defined in **Section C, Chapter 4, 4.1.4**, recommend that a final decision on a candidate's result be deferred (to the next scheduled sitting of the Examination Board) to enable the candidate to complete specific outstanding requirements of the course. Any deferral of a decision in relation to a candidate's result shall be for a limited period, not exceeding one academic year.

#### 4.6 Progression with Credit Deficit (Carrying a Module to the Next Stage)

Before progressing to the next stage of a programme at ICPPD, Learners are required to pass all modules identified as essential prerequisites for progression, in accordance with the programme assessment strategy and approved programme schedule.

In exceptional circumstances, and on a case-by-case basis only, ICPPD may allow learners to carry modules, to a maximum of 10 credits, while progressing to the next stage within the programme, provided the module is not a prerequisite for any module in this stage and provided this is consistent with the requirements of the relevant programme assessment strategy. Learners are required to pass a carried module in the stage into which it is carried.

The carried module must be considered by the Examination Board, and passed by the learner, before the subsequent stage modules may be considered by the Board.

Learners requesting to defer a module into a subsequent Academic Year are advised to consider this request in the context of the maximum trailing credit allowed.

#### 4.7 Extenuating Circumstances

Extenuating circumstances at ICPPD are defined as the occurrence of unforeseen events which may have

- prevented a learner from attending a substantial volume of class-time
- affected their ability to study or complete assessments
- resulted in assessment deadline(s) being missed
- adversely affected the learner's performance in any assessment undertaken.

Extenuating circumstances must be extraordinary in nature and, more specifically, they must

- be unexpected
- be beyond the learner's control
- have a significant impact on attendance/assessment performance.

Such extenuating circumstances include serious personal or emotional circumstances. The following examples would be likely to be considered as valid if the timing were such as to have a significant impact on the learner's attendance/assessment

- death, or sudden serious illness, of a close relative or friend
- a serious or incapacitating injury, illness, or medical condition (or a sudden, marked deterioration in an on-going or longer-term condition) or an emergency operation
- serious unexpected disruption of personal life



- premature childbirth (self or partner), or related post-natal care.

ICPPD considers requests for consideration of extenuating circumstances on an individual case-by-case basis however, normally, the following would be unlikely to be considered valid extenuating circumstances within ICPPD

- On-going or longer-term conditions or circumstances: these are likely to give rise to valid claims only if they first come to light or are diagnosed, or become unexpectedly and markedly worse, during the academic year/at assessment time
- Claims without appropriate, independent supporting documentary evidence
- Minor illnesses or ailments
- Personal/domestic events which could have been anticipated and/or planned otherwise (e.g. moving house; marrying; routine childcare)
- Choices and preferences in personal life (e.g. attending a wedding; holidays; attending social events, sporting fixtures)
- Poor time management (including oversleeping, missing public transport, miscalculating commuting time) or misunderstanding deadlines/dates
- Assessment nerves or self-diagnosed stress
- Failure of computer or other equipment being used to produce work to be assessed, including work not backed-up, or not making appropriate arrangements for the receipt of assessment material to the college
- Individual transport/travel problems (unless due to strikes or disruptions which could not be foreseen or worked around)
- Relative cost of travel arrangements
- Financial difficulties - if very serious, deferral or suspension of study might be appropriate
- Demands of paid or unpaid employment, and job interviews (unless exceptional circumstances prevail in work that is undertaken as a condition or necessary counterpart of the programme of study)
- Failure of others to submit group assignments
- Multiple examinations within a short period
- Language of assessment not being the learner's main language
- Late applications for consideration of extenuating circumstances (unless good evidence of the unavoidability of the delay is also provided)
- Long-term illness or disability where earlier disclosure would have allowed appropriate adjustments/arrangements to be made, or special arrangements have already been made to accommodate known conditions
- Claims which fail to make clear how learner performance was significantly affected by the issues for consideration

To seek consideration for extenuating circumstances, the learner is required to complete a **Consideration of Extenuating Circumstances form (AS10)**, which includes a formal statement of the circumstances which have affected them, an outline of the impact of these circumstances and supporting appropriate written verification. This verification must comprise a medical certificate, bereavement notice or a statement from an appropriate professional, or other recognised person, verifying the circumstances described in the application and indicating their impact on the learner's



ability to meet the demands of the programme. The documentation must be submitted to the Administration Office for authorisation by the relevant Programme Leader or the Academic Director, in advance of or not more than 7 days after the assessment submission deadline or the scheduled class attendance.

Extenuating circumstances do not cover events which are foreseen, e.g., social, sporting or cultural commitments. Where appropriate, those learners should follow procedures for the ***Request for an Extension of an Assessment date*** /submission deadline (**Section 4.3.5**).

All information provided in relation to extenuating circumstances will be treated in strictest confidence and such information will be limited to the personnel directly involved in administering and evaluating the circumstances. In the instance where ICPPD considers it necessary to verify the documentation provided it will attempt to do so in a way that does not compromise the learner's privacy.

As a result of the extenuating circumstance a learner may also request a deferral of a module (as per **Section C, Chapter2, 2.4**).

## 4.8 Academic Integrity and Academic Impropriety in Assessments

The integrity of ICPPD's awards is dependent upon the integrity of the assessment processes. Therefore, all learners are expected to be honest in all of their academic endeavours, relationships and dealings with the College.

ICPPD will take all necessary steps/precautions to prevent any undermining of the academic assessment process, and the associated standards and credibility attached.

### 4.8.1 Context

ICPPD is committed to establishing an ethical academic integrity culture informed by the guidance provided by the [National Academic Integrity Network \(NAIN\)](#) specifically -

[NAIN Academic Integrity Guidelines](#)

[NAIN - Academic Integrity National Principles and Lexicon of Common Terms](#)

This includes raising awareness of academic integrity college-wide and encouraging behaviours and attitudes which enable a culture of trust, openness and integrity in relation assessment. This applies in all situations involving academic work and learner assessment including all continuous assessment, written examinations, in-class presentations.

The importance of academic integrity will be woven into all teaching, learning and assessment approaches, including programme development, assessment strategies, pedagogical approaches, tutor-learner relationships and provision of supports for learners (e.g., Academic Writing Workshops).

ICPPD will take all necessary steps and precautions to prevent any undermining of the academic assessment process, and the associated standards and credibility attached.

### 4.8.2 Responsibility for Academic Integrity

Responsibility for academic integrity extends college-wide across governance structures, academic staff, learners and administrative staff. The College approaches academic integrity with a focus on best practice and support for learners rather than misconduct. This approach is supported by procedures for identifying and prohibiting behaviours that run counter to the principles of integrity.

### 4.8.3 Communication of Academic Integrity Policy

An ethical Academic Integrity culture is fostered through communication to learners via Learner handbook, Induction Sessions, Academic Writing Workshops.

An awareness of academic integrity is communicated to faculty and support staff through programme board meetings, academic board meetings and college handbooks.



#### 4.8.4 Academic Misconduct

The following actions within the assessment process are described by NAIN as academic offences and will be dealt with in accordance with ICPPD's Academic Misconduct procedures:

- Plagiarism is presenting work / ideas taken from other sources without proper acknowledgement including:
  - submitting work as your own for assessment, which has, in fact, been done in whole or in part by someone else
  - submitting work which has been created artificially, e.g., by a machine or through artificial intelligence. Submitting work completed for a learner by a peer, family member or friend or which has been produced, commercially or otherwise, by a third party for a pre-agreed fee (contracted)
  - it may be work in which the learner has included unreferenced material taken from another source(s) it may be use of a ghost writer to carry out assessed work which is then submitted as the learner's own work
  - it may be using a previous assignment as submitted by a peer claiming it to be your work;
  - it may be that references have been falsified to give credibility to the assignment and to show evidence of research
  - it may be a claim for authorship which is false
  - Self-Plagiarism i.e. the use of one's own previous work in another context without appropriate citation.
  - Cheating in exams (e.g., crib notes, copying, using disallowed tools, impersonation)
  - Cheating in projects (e.g., collusion; using 'essay mills' to carry out the allocated part of the project)
  - Selling or simply providing previously completed assignments to other learners
  - Misrepresenting research (e.g., data fabrication, data falsification, misinterpretation)
  - Bribery, i.e., the offering, promising, giving, accepting or soliciting of an advantage as an inducement for an action
  - Falsification of documents
  - Improper use of technology, laboratories, or other equipment
  - Helping a peer to do their assignment which develops into the helper doing some or all of the assignment
  - Sharing or selling staff or institutional intellectual property (IP) with third parties without permission.

Where assignments are uploaded to Moodle by learners, learners are required to declare that the work submitted by them for assessment purposes is their own.

Text-matching software is utilised by ICPPD as an aid to tutors to help monitor, prevent and detect plagiarism. The software is also utilised as a support to learners to ensure that citations and referencing in their assessments have been documented in accordance with ICPPD's academic writing requirements.



#### 4.8.5 Provisions for dealing with Academic Impropriety

- A Tutor who, in the course of grading a learner’s continuous assessment work, or through any other means, has reasonable grounds to believe that a learner has engaged in academic impropriety is required to discuss the issue with the Academic Director/Programme Leader and, if satisfied that an incident has most likely occurred, the tutor completes an incident report for submission to the Registrar. The Tutor may not impose a sanction on the learner as the alleged infringement is considered serious misconduct, as defined in the Code of Conduct (**Section C, Chapter 7, 7.1**).
- In the interim, until there is a final outcome of any proceedings, the Tutor will complete the marking process, and enter a “pending” notation on the assessment feedback.
- Upon receipt of an Incident Report, the Registrar notifies the learner that an allegation has been made and indicates that the incident is being referred to the relevant Programme Leader. The Registrar includes relevant sections of the Quality Assurance Manual with the letter.
- Once the matter has been referred to the Programme Leader, a meeting between the Learner, a Learner Advocate (if desired), Programme Leader and relevant tutor is arranged - normally within ten days.
- When the offence involves more than one learner, normal practice is that each learner is afforded an individual hearing, however, either the learner(s) or the Registrar may request a joint hearing. The consent of each of the learners involved is obtained prior to proceeding with a joint hearing. Within a joint hearing, the Programme Leader and Tutor have discretion to uphold or dismiss the charge against each learner and to apply an appropriate individual sanction.
- Where a learner fails to attend the panel proceedings, without the provision of a valid extenuating explanation, the Programme Leader and Tutor may progress in their absence, or the start of the hearing may be postponed. If the hearing proceeds in the learner’s absence, all rights contingent on the learner’s presence, with the exception of the right to have an advocate present to plead for postponement, are forfeited. In such a case, a learner’s right of appeal is limited to a consideration of the reasonableness of his or her reason for not appearing. If the Registrar finds that the explanation is reasonable, a new meeting may be arranged. The decision of the new hearing with the learner present is appealable as if it were a first hearing.
- If the case against the learner is upheld, the Programme Leader/Tutor determines an appropriate sanction which may be one (or a combination) of the following
  - formally reprimand the Learner
  - direct that a piece of work be resubmitted (subject/format to be agreed with the tutor)
  - enter a grade of ‘Zero’ for the piece of work, the module, or the programme
  - cap the grade at 40%, for the piece of work, the module, or the programme
  - enter a grade reduction for the piece of work, the module, or the programme
  - enter a failing grade for the piece of work, the module, or the programme
  - enter a failing grade and ineligibility for a supplemental examination, or any other evaluative exercise, for the piece of work, the module or the programme.



- impose a suspension for a period of academic terms. Suspensions shall entail the withdrawal of all College privileges, including the right to enter and be upon College premises
  - expulsion from the College. Expulsion entails the permanent termination of all College privileges, including the right to enter and be upon College premises.
- 
- The Registrar and Administration Staff member (responsible for recording of assessments) is informed of the outcome of the process.
  - The Registrar informs the learner of the outcome of the process, in writing, within five days of the hearing, and informs the learner of the option of appeal available to him/her, and the grounds on which the appeal may be based.
  - This is documented on the learner's permanent academic file within ICPPD.
  - A sanction of suspension or expulsion is subject to confirmation and ratification by the Academic Board.
  - The learner may appeal the decision of these proceedings, as identified in **Section C, Chapter 6, 6.3.– Appeals Procedure**. Notification of a request for an appeal must be made, indicating the grounds on which the appeal is based, within ten days after the date of transmission of the panel's decision.

#### 4.9 Audit/Review of Learner Centred Teaching, Learning and Assessment Policies

ICPPD is committed to ensuring that Learner Centred Teaching, Learning and Assessment Policies and procedures are adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the QA and Enhancement Committee.



## Chapter 5 External Examiner, Examination Board, Progression and Certification

### 5.1 External Examiner System

ICPPD operates an external examination process for academic programmes in accordance with QQI's Effective Practice Guidelines for External Examining (Revised February 2015). ICPPD, through the Academic Board, appoints external examiners who are considered appropriately qualified and experienced, and independent from the assessment process, in accordance with the criteria identified in **Section C, Chapter 5, 5.1.2 (below)**.

#### 5.1.1 Role of the External Examiner

The function of the external examination process is to

- Review the appropriateness of the minimum intended programme learning outcomes (i.e. the programme's basic educational goal), and other programme objectives.
- Determine whether or not the applied procedures for assessment are valid, reliable, fair and consistent.
- Review the actual attainment of learners using information supplied by ICPPD i.e., a representative sample of assessment material presented by learners including borderline cases, usually between 10%-15% of a course/programme, dependant on class size.
- Ensure the validity and reliability of assessment procedures, the equity of marking procedures and standards is uniform and in line with national and international standards
- Review the appropriateness of the programme assessment strategy and the assessment procedures and, flowing from this, consider subsidiary module assessment strategies.
- Ensure appropriate standards with regard to Overall Results are applied and that comparability of standards between institutions is achieved and maintained as far as is possible
- Review of the programme/module descriptors and relevant matrices/marking schemes to ensure that assessment is appropriate.

#### 5.1.2 Criteria for Selection of External Examiner(s)

Academic Board have approved the following criteria for consideration during the appointment of proposed external examiners, and all appointments must be approved within this forum.

- The External Examiner's academic/professional qualifications should be appropriate and cognate to the level and the module(s)/programme(s) being examined.
- The External Examiner's standing, expertise and experience should be sufficient to enable fulfilment of their responsibility in the maintenance of the academic standards of the module/programme(s), in the context of higher education both nationally and internationally.



- External Examiners are drawn from academia and, where appropriate and possible, from business, industry and professional practice. Standing, expertise and breadth of experience may be evidenced through the present (or last, if retired) post and place of work; the range and scope of experience across higher education/professions; the current and recent active involvement in research/scholarly/professional activities in a relevant field of study.
- Where possible, the External Examiner should have had significant recent examining experience as an internal examiner, or comparable related experience to indicate competence in assessing learners in the subject area. If the proposed examiner has no previous external examiner experience at the appropriate level, their nomination can be supported by either other external examining experience with extensive internal examining experience at this level, or other relevant and recent professional or academic experience likely to support the external examiner role.
- Consideration is given in those exceptional situations where the pool of potential External Examiners is especially limited. This is most likely where provision of the subject is particularly limited within the sector. Every effort is made to mentor proposed External Examiners who do not have prior experience.
- For any one programme, External Examiners should not be appointed consecutively from the same organisations - ICPPD seeks to draw nominations from a variety of institutions, and should avoid multiple nominations within a single discipline, from the same institution.
- External Examiners should not be over-extended by their external examining duties. As a norm, an External Examiner should not hold more than two concurrent external examining appointments for taught programmes. This policy can only be waived in exceptional circumstances, with the approval of Academic Board, and with cognisance of the awarding body's policies in this regard.
- Former members of College staff are not eligible to be invited to become an External Examiner before a lapse of at least three academic years.
- Those registered for an award of the relevant awarding body, or on a training programme within the College are ineligible for appointment as External Examiners in any part of the College.
- It is the responsibility of the External Examiner to declare an interest if placed in a position of making a judgement about any learner with whom there has been direct contact. e.g.
  - as a sponsor, relative or friend
  - as a close professional colleague
  - having been involved with the supervision of the learner on clinical practice or professional training





- The College takes due cognisance of the desirability of gender balance when nominating External Examiners.
- The Registrar is responsible for resolving conflicts of interest in the appointment of an External Examiner.

### 5.1.3 Communication with External Examiner

All communication between the College and External Examiners in relation to assessment of learners is conducted through registered post, or other secure and traceable means of delivery, and is coordinated by Administration Staff. ICPPD requires external examiner(s) to acknowledge receipt of assessment and assessment material through email.

### 5.1.4 External Examiner's Attendance at ICPPD

All completed and marked assessment material is made available for review by the External Examiner(s) during their annual visit to the College, which takes place annually on completion of the programme/course/academic year.

All course work undertaken by learners, in addition to all course materials, marking schemes, assessment material and assessment feedback are reviewed by the External Examiner(s), and ICPPD staff are available for the duration of the visit for discussion, as/where necessary, and to answer any queries or questions.

The External Examiner(s) also takes the opportunity to review any appeal requests at this stage, if any have been received in relation to the assessment process to date.

In visiting the College, the duties of external examiner(s) also include to

- review borderline cases and, if necessary, interview Learners
- review the work of learners who have requested a review through the appeals procedure and make a recommendation to the Examination Board on the mark to be awarded in relation to the appeal
- agree with the respective internal examiner(s) the proposed final marks/grades for consideration by the appropriate Examination Board
- attend meeting(s) of the appropriate Examination Board as required
- provide feedback to the College through the Academic Director on the overall standard of marking and learner academic achievement and make suggestions for improvements.

## 5.2 Examination Board

An Examination Board is established by ICPPD for each programme for which the College conducts assessment of learners, on a bi-yearly basis. Dates for Examination Board meetings are published at the start of the academic year. The composition of the Examination Board is determined by and in accordance ICPPD procedures which have been based on current regulations and requirements of the relevant validating body, where appropriate. The number and composition of individual Examination Board varies depending on the nature of the academic programme. The Examination Board considers and ratifies the results of assessments conducted for the relevant programmes.



Matters to be discussed by the Examination Board includes:

- Determining if learners have been appropriately graded and classified.
- Consideration of borderline cases
- Determination of eligibility for progression

The terms of reference for the Examination Board are detailed further in **Section A, Chapter 2, 2.2.6**.

Reminder notification of the dates, time and venue of each Examination Board meeting is provided in writing to all Board members, a minimum of one week in advance, by the College Administration Staff.

The quorum for an Examination Board normally consists of the Chair, the Academic Director, the Programme Leader(s), the Secretary (administrative staff member), at least one External Examiner and enough Internal Examiners/Tutors to competently deliberate on the assessment and ensure proper discharge of the Board's responsibilities.

### 5.2.1 Proceedings and Deliberations

ICPPD Examination results/grades are generated by the designated Administrator Staff member using Excel to generate Broadsheet of the results, and relevant statistics. The Examination Board reviews all marks for all courses/modules for all learners on academic programmes within the College, and determines and overall grade for each learner, following discussion by the Examination Board.

Learners' results are recorded on the Results Broad Sheet either as the appropriate number (percentage) or in accordance with **Table 5.2.1**, below.

**Table 5.2.1: Module Examination Results' Classifications**

Module Result/ Outcome	Result Code	Definition	Effect on Sitting Number
Deferred	I	Result deferred due to exceptional circumstance (on learner request)	Is not counted as an attempt
Exempt	X	Candidate is exempt based on certified prior learning (as a result of admission process)	Is counted as an attempt
Not Present	NP	Candidate did not submit any module assessment material	Is counted as an attempt
Failed	FE	A 'must pass' assessment component has been failed.	Is counted as an attempt
Withdrew	W	Candidate withdrew before end of module, and formally notified the College	Is not counted as an attempt
Withheld	WH	Candidate's result(s) withheld, pending further results, deliberation, additional clarification, etc.	Institute to decide

The proceedings and deliberations of meetings of each Examination Board are strictly confidential and are minuted for record purposes.



In discharging its responsibility, the Examination Board may exercise discretion in marginal cases by minor modification of marks. Learners who are ‘borderline’, as defined in **Table 7.6.2**, are discussed and a decision made based on the cumulative evidence presented by College staff in consideration of the views of the Extern Examiner(s). Such modification is normally be limited to an increase of one percentage point in any one module in situations such as the following:

- The recognition that, taking all modules into account, the candidate’s result is borderline in terms of pass/progression or class of honours
- Certification of extenuating circumstances.

**Table 5.2.2: Borderline Grade for discussion within Examination Boards**

<b>Relevant Percentage Point Average (PPA) Boundary Values</b>	<b>Current Award Classification</b> BA-Ord, Diploma and other ICPPD programmes	<b>Current Award Classification*</b> BA (Hons)	<b>Borderline Percentage Point Averages for which Reconsideration is Permitted</b>
70%	Distinction	First Class Honours	≥69%
60%	Merit, Grade 1	Second Class Honours, Grade 1	≥59%
50%	Merit, Grade 2	Second Class Honours, Grade 2	≥49%
40%	Pass	Pass	≥39%
35%	Pass by Compensation (if eligible)	Pass by Compensation (if eligible)	N/a

Where a learner’s overall average mark, for the purpose of the calculation of an award classification, is at a borderline, the rule of preponderance is used. The preponderance rule means that a learner will not automatically be uplifted unless more than half of the modules giving credit for the award stage have higher marks than the award classification requires. The resulting uplift will impact the award classification only (and not the individual modules).

Individual learner marks are not normally changed without consulting the examiner(s) who awarded the original mark. It follows that the Board does not normally change a mark without the relevant internal examiner being present. However, if a relevant internal examiner's other obligations prevent him/her from attending the Board, they may be consulted in advance about the potential for moderating the mark or the Academic Director in consultation with the external examiner may agree changes.

Changes to marks by an Examination Board otherwise are only permitted if

- a clerical or administrative error in transmission of marks has occurred
- a late change to a mark is recommended by an External Examiner.

On completion of/in conjunction with the external examiners’ annual visit, the Examination Board also discusses the feedback obtained from the external examiner(s) on the module/programme.

The Examination Board attempts to reach a consensus decision regarding learner results. In the event of disagreement between board members, all members of the examination board present at the



meeting may vote. In the event of a tie the Chair will have a casting vote. A board member who continues to dissent at the meeting, may choose to have a dissenting opinion recorded on the Broadsheet of Results.

Any dissenting opinion by an External Examiner is recorded on the Broadsheet of Results and is brought to the attention of the Academic Board. The decision of the Academic Board in relation thereto is final.

At the Examination Board meeting, the Broadsheet of Results shall be agreed which records the result achieved by each candidate in the stage as shown in *Table 5.2.3*, below.

**Table 5.2.3: Final/Overall Broadsheet Results for Programme Award/Stage as agreed within the Examination Board\***

Module Result/ Outcome	Result Code	Definition	Effect on Sitting Number
Deferred	I	Result deferred due to exceptional circumstance (on learner request)	Is not counted as an attempt
Exempt	X	Candidate is exempt based on certified prior learning (as a result of admission process)	Is counted as an attempt
Not Present	NP	Candidate did not submit any module assessment material	Is counted as an attempt
Failed	FE	A 'must pass' assessment component has been failed.	Is counted as an attempt
Withdrew	W	Candidate withdrew before end of module, and formally notified the College	Is not counted as an attempt
Withheld	WH	Candidate's result(s) withheld, pending further results, deliberation, additional clarification, etc.	Exam Board to decide

The Examination Board finalises its recommendation to Academic Board in relation to Learner performance and appeals. On conclusion of each Examination Board meeting, the Chair and Secretary of the meeting, and all the Examiners (Internal and External) present at the meeting must sign the presented Broadsheet of Results, which is generated by the Administration Staff.

The Registrar forwards the examination results to the Academic Board for review.

### 5.3 Accumulation of Credits and Exemptions

All ICPPD programmes operate completely on a modular basis. This supports a system of accumulation of credits and facilitates the College to operate an exemption process. Through the Accumulation of Credits and Certification of Subjects (ACCS) scheme learners may undertake a series of modules from a College academic programme and be awarded credits on successful completion of each module passed. These credits, which are typically allocated in increments of 60 ECTS ([European Credit Transfer and Accumulation System](#)) credits per programme stage, may be accumulated to gain an award; for example, typically, a total of 120 credits are required to obtain a Higher Certificate (or level 6 equivalent), 180 credits to obtain an ordinary Bachelor's degree (or level 7 equivalent), and 240 credits for an honours Bachelor's degree award (or level 8 equivalent). Since they are not aligned to the National Framework of Qualifications, ICPPD's professional programmes do not attract ECTS credits



The ACCS scheme is designed specially to facilitate learners, wishing to follow courses on a part-time basis, and to gain full benefit for all education and training, and all experiential activities, undertaken.

### 5.3.1 Exemptions and Recognition of Prior Learning

As previously stated in **Section C, Chapter 1, 1.1**, Recognition of Prior Learning (RPL) is important for widening access to education and supporting lifelong learning, and is reinforced through the [Qualifications and Quality Assurance \(Education and Training\) Act, 2012](#). The RPL process at ICPPD allows learners to gain admission to a module/programme or to gain exemptions/credit from some parts of a programme, based on demonstrated learning achieved prior to admission. Further details on the application of RPL at ICPPD, and the processes involved, are provided in **Section C, Chapter 1, 1.1**. RPL removes the requirement for the learner to complete the assessment within the exempted modules.

Where exemptions are granted, the exempted module is excluded when calculating the overall average mark (grade) for the programme. Therefore, exemptions are not granted against the award stage of a programme at ICPPD.

### 5.3.2 Accumulation of Credits

Each module of an academic programme within ICPPD, which is aligned with the National Framework of Qualifications, carries a credit weighting as per the ECTS ([European Credit Transfer and Accumulation System](#)) process to facilitate accumulation and the transfer process.

These credits are attached to the modules/programmes on design/development as outlined in **Section B, Chapter 4, 4.1: Programme Design/Development: Internal Process**.

In the case of a learner who, for personal or family reasons, needs to defer their course of study for a period they may request deferral of the submission of their assessment, using the **Learner Deferral of Assessment or Academic Year Form [AS07]**. Deferral will require the learner to re-sit the outstanding assessment elements in the future, and the learner must complete all the modules in a stage before they can progress to the following stage. Owing to the linear nature of ICPPD programmes, deferral mid-module is not permitted. For further information relating to deferrals see **Section C, Chapter 2, 2.4**.

When seeking a deferral, learners are also cautioned that relevant professional bodies may have other requirements which may be impacted by decisions to defer, seek exemptions, etc., for example, a minimum of 2 consecutive years of study. It is the learner's responsibility to determine and consider the implications of any such regulations on their progression through the programme, and subsequent professional accreditation.

### 5.3.3 Requirements for Progression

A learner may not be admitted to a second or subsequent assessment stage without having passed all (required) modules at the previous assessment stage.

Learners must also be approved by Progression Panels at the end of the academic year. (Reference Section C, Chapter 1, 1.5)

## 5.4 Management of Corrected Works and Broadsheets

All marks achieved by learners are inputted by the designated Administration Staff member and maintained in secure Excel files, which are routinely updated on completion of the marking process for each assignment and examination.

The completed spreadsheets are made available to the relevant internal and external examiners and the Examination Board meeting for each module/course. Where appropriate, and prior to the meeting of the Examination Board, the agreed marks are transferred to the official external broadsheets by the designated Administration Staff member.



The spreadsheet/broadsheet documents are finalised and signed on conclusion of the Examination Board meeting.

All examination spreadsheets/broadsheets (College and external) are securely maintained in accordance with the College's record management policy (**reference Section C, Chapter 10, Record Management and Data Retention**) and Data Protection legislation.

For the duration of their studies with ICPPD, each learner is assigned a 'Learner file' which is maintained by the Administration Staff and in which the following is kept

- any completed hard-copy assignments
- completed marking schemes for each assignment
- copy of written feedback given to learner on each assignment(s)
- all progression relevant forms, including personal therapy forms, supervision confirmation and clinical practice material.

On conclusion of the assessment processes the Tutor returns the hard-copy assessment material to the Administration office for retention in archive in accordance with the College's Record Management policy (**reference Section C, Chapter 10, Record Management and Data Retention**). ICPPD has moved to using Moodle for the submission of Continuous Assessment assignments and for grading of same for the majority modules and assessments.

#### 5.4.1 Awards / Certification

Awards are determined at meetings of an Examination Board. At ICPPD grading is only applied to an overall award, and not to individual modules or stages i.e., only at *final year/award stage/on completion of the programme*.

Awards on the results of the final year assessment/award stage only in accordance with the grading intervals outlined in **Section C, Chapter 4, 4.4, Grading Schemes**. 60 ECTS credits are used, with the module grades, to classify the award at that level. [Policy and Criteria for Making Awards.pdf \(qqi.ie\)](#) .

Following ratification of results at examination board, final results are submitted to the awarding body, QQI in the case of QQI-validated programmes, submitted through the QBS system.

Parchments are prepared by the awarding body (ICPPD / QQI where appropriate) and issued at a conferring ceremony arranged and managed by the Registrar.

#### 5.5 Audit/Review of External Examiner, Examination Board, Progression and Certification Policies

ICPPD is committed to ensuring that External Examiner, Examination Board, Progression and Certification Policies and procedures are adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the QA and Enhancement Committee reporting to Academic Board.

## Chapter 6 Assessment Review and Appeals Policy

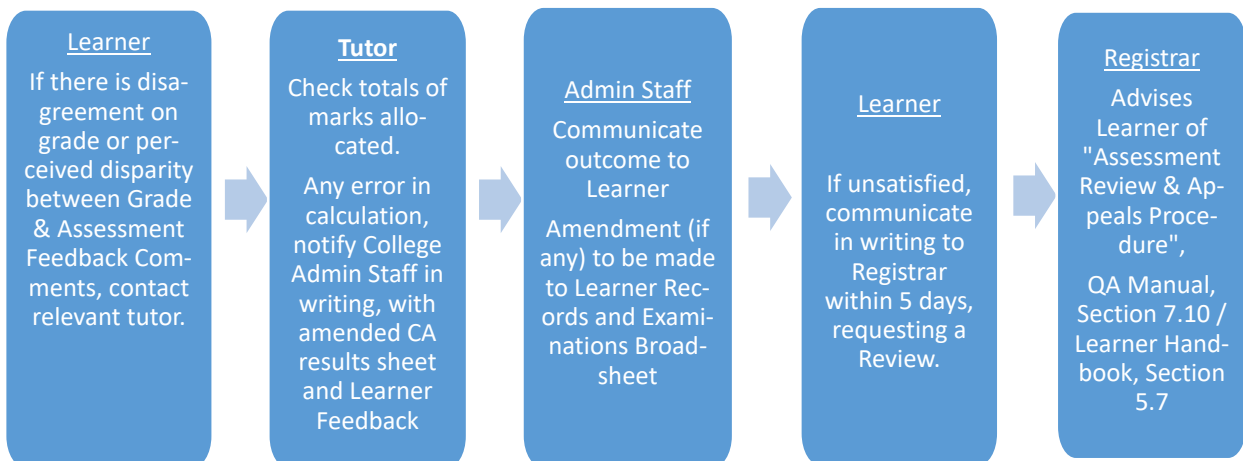
### 6.1 Appealing Continuous Assessment Marks

All continuous assessment marks provided to the Learner, with their feedback, during the academic year, are provisional and subject to ratification by the Examination and Academic Boards.

ICPPD offers all learners undertaking programmes at ICPPD the opportunity to request verification of an assessment result and the accurate recording of all component marks and the overall mark.

- i) **Tutor Review** - when a learner does not agree with the continuous assessment marks awarded, they are required to discuss the mark with the Tutor of the module, particularly if there is a perceived disparity between the mark and the Tutor feedback comments. This contact must be initiated by the learner no later than **10 working days** of receiving the provisional grade.
- ii) Subject to this discussion the Tutor may check that the marks allocated were totted correctly. If the Tutor finds an error in the grading or calculation of assessment marks, they inform Administration Staff in writing of this fact and the learners' marks on Moodle and the feedback comments (if necessary) are amended accordingly (prior to their communication to the Examination Board).
- iii) The Administration Staff communicates the outcome to the learner and makes any relevant changes to learner records and examination broadsheets.
- iv) Following discussion with the Tutor, if the learner remains unsatisfied with the mark awarded they should write to the Registrar, within 5 days of having their discussion with the Tutor, requesting a review of the (continuous assessment) mark. The learner is advised that an appeal of the CA result will only be permitted in accordance with the rules laid out **in Section 6.2 below – Assessment Review Procedure**. The learner is advised that any further deliberation on CA results is conducted as part of the end-of-stage assessment material review/appeal process prior to the Examination Board meeting.
- v) Requests for review of individual continuous assessment marks will not be allowed after the final mark in a module has been allocated by Examination Board and ratified by the Academic Board.

Figure 6.1 Appealing Individual Continuous Assessment Marks



## 6.2 Assessment Review Procedure

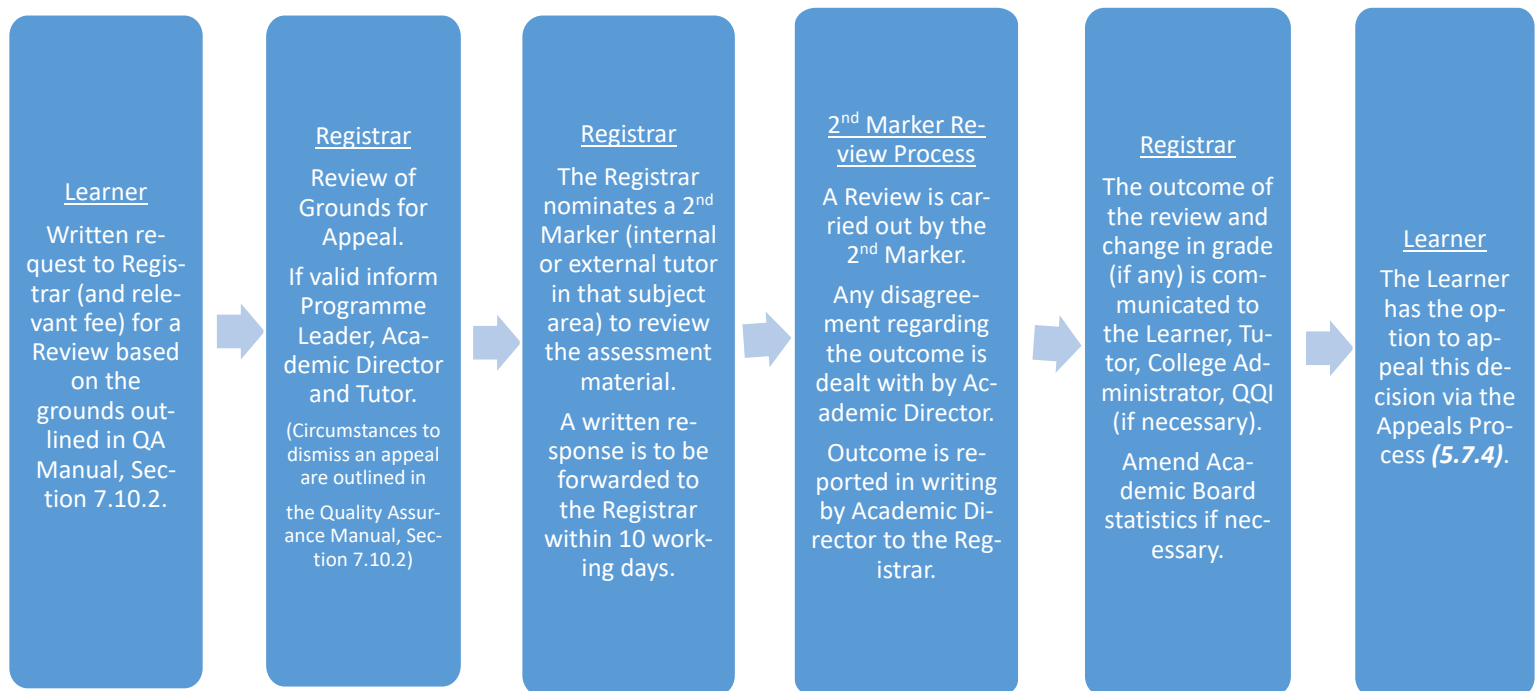
ICPPD recognises the right of learners to appeal against a decision of a lower-level decision-making authority by making a request to a higher one. Academic appeals and decisions are the responsibility of the Academic Board, and an Appeals sub-committee of Academic Board will be appointed to hear the appeal. This is the final decision-making authority and there is no further right of appeal against an appeal decision.

Reviews/Appeals submitted simply because a learner disagrees, or is unhappy, with the mark they have been awarded will not be considered.

***There is a fee for the review/appeal of assessment results. These fees are determined on an annual basis and details on the specific amount is available from the College Administration Staff.***

NOTE: The learner is also advised that the outcome of a review/appeal may not change the awarded marks, and therefore any learner should avail himself/herself of any opportunity to re-sit an examination where necessary, on the understanding that the re-sitting of an examination does not prejudice their appeal in any way.

Figure 6.2 Assessment Review Process



### 6.2.1 Definition of Review/Appeal

A review/appeal means the consideration of factors which may have influenced a learners' performance, and a request for consideration may be made only on the following grounds

- the assessment was not conducted in accordance with the current regulations for the programme
- an administrative error or some other material irregularity relevant to the assessment has occurred
- for a learner where extenuating circumstances were considered, but there are circumstances of which the examination board was unable to take proper account. This refers to a situation





where the extenuating circumstances were not drawn to the attention of the examination board because:

- they were unknown to the learner at the appropriate time.
  - or the learner was unable to present the information because of circumstances outside their control:
- d) for a learner with disability or special educational need, the agreed revised assessment procedures were not implemented, or agreed support was not made available.
- e) for a learner wishing to appeal the decision of a Progression / Fitness to Practice panel.

The Registrar/nominee reviews the content of the review/appeal request to ensure that a prima facie case exists, and may dismiss an appeal in the following circumstances

- a) when the appeal is lodged late, without a satisfactory explanation
- b) when it can be demonstrated that the appeal does not comply with the College's assessment
- c) when it can be shown that the appeal, although complying with these regulations, could not lead to any change in the assessment which is the subject of the appeal. (Such a conclusion may follow from the assessment structure of the programme concerned but may not be based on qualitative judgements concerning the possible outcome of the appellant's case.)

If the notification of review/appeal is considered valid and complies with College regulations, the Registrar/nominee informs the Academic Director, the relevant programme leader and the tutor in writing, that a review/appeal has been requested, and that it may proceed to an appeals committee hearing.

### 6.2.2 Review of Assessment Material

The Registrar will nominate a Second Marker. A review (reconsideration in detail of all or part of the existing examination material) will be carried out by a second marker - a third-party internal examiner or external examiner(s) with expertise in the relevant topic – within 10 working days. Where there is disagreement between the internal examiner (tutor) and the third-party examiner regarding the outcome of the review, this is dealt with by the Academic Director.

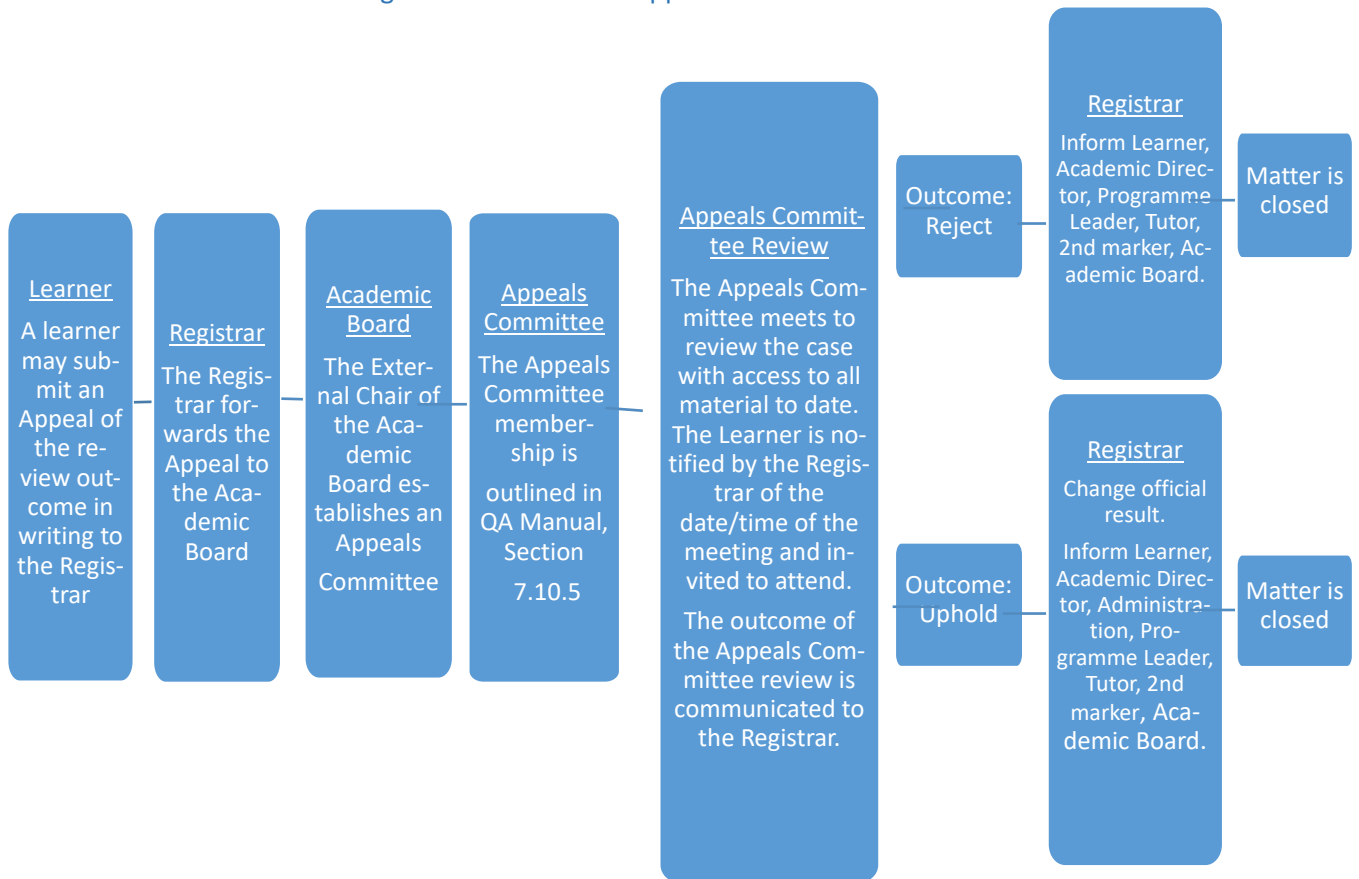
The Academic Director forwards a report on the outcome of the review to the Registrar. If a change in a result is recommended by the report, the Registrar makes the necessary arrangements to have the official result amended i.e., informs the Academic Director and the College Administration Staff and the learner (and QQI, if necessary) of the outcome. Statistics reports for academic council are amended where necessary.

The Registrar communicates the outcome of the review to the Learner.

### 6.3 Appeals Procedure

Where the Learner wishes to appeal the outcome of the review, they communicate this in writing to the Registrar. The registrar forwards to the Academic Board the Academic Directors report accompanied by all relevant supporting documentation e.g., examination board meeting minutes, broad-sheets, copies of assessment material, etc.

Figure 6.3 Assessment Appeals Procedure



### 6.3.1 Appeals Committee

Where an appeal is warranted, an Appeals Committee is established. The Appeals Committee has delegated powers to act on behalf of ICPPD’s Academic Board under its Terms of Reference, **Section A, Chapter 2, 2.2.8.**

The Appeals Committee:

- meets on one pre-arranged/published date to review any/all cases.
- has access to the results of the review of the examination material to date.
- bases its decision on the evidence of the learner’s submission and, as appropriate, consults with the testimony of the chairperson of the examination board concerned, together with any further evidence which it considers relevant.

The chairperson has discretion to declare inadmissible any matter introduced by the learner if it is not directly related to the contents of the appeal previously lodged in writing within the stipulated deadline.

### 6.3.2 Appeals Committee Hearing

The Registrar will notify the Learner of a date/time to present their case to the Appeals Committee. Where the Learner wishes to present new evidence, this must be identified at the point of applying for an appeal. Beyond this point, new evidence not identified will not be considered by the Appeals Committee unless exceptional circumstances are identified, and these are accepted at the Chair’s discretion.



If the learner does not present himself/herself at the date and time scheduled for the appeal hearing, the Appeals Committee considers whether any reasons advanced for non-attendance are valid, and

- if members so judge, adjourn proceedings to a later meeting.
- if no reasons are advanced, or if they are judged invalid, proceed in the Learner's absence.

### 6.3.3 Appeals Committee Decision/Outcome

The Appeals Committee is empowered to take either of the following decisions and secretary of the committee informs the Registrar in writing of the decision.

- **Reject.** If the appeal is rejected, no further action is taken. The Registrar informs the learner, the appropriate programme leader, the Academic Director, the internal and external examiner(s), and the Academic Board of the decision.
- **Uphold.** If the appeal is upheld, the committee, in consultation with the internal and/or external examiner(s), determines any change to the level of marks and/or award, as appropriate.

If a change in a result is recommended, the Registrar makes the necessary arrangements to have the official result amended and informs the learner, the Office Administrator, the internal examiner(s), the external examiner(s), Academic Director and the Academic Board of the final outcome, in writing.

### 6.3.4 Conclusion of the Appeals Process

The decision of the Appeals Committee of the Academic Board is final, and the process is concluded at this point.

### 6.3.5 Complaints about the Appeals Process

There is no internal appeal against the finding of an Appeals Committee, however, allegations of procedural irregularities in the conduct of an appeal may be made to the Complaints Committee under the terms of the **Complaints Policy, Section A, Chapter 3, 3.9.**

## 6.4 Audit/Review of Assessment Review and Appeals Policies and Procedures

ICPPD is committed to ensuring that Assessment Review and Appeals Policies and procedures are adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the QA and Enhancement, reporting to Academic Board.



## Chapter 7 Dignity and Respect for Staff and Learners

### 7.1 Learners' Code of Conduct

#### 7.1.1 Introduction

ICPPD has developed the following Learners' Code of Conduct which is consistent with the ethics and beliefs of the College. This Code of Conduct outlines what is acceptable behaviour and sets out the learner's responsibility to peers, colleagues and the College.

#### 7.1.2 Purpose

The purpose of this Code of Conduct is to provide a framework of good practice at ICPPD to provide a safe and welcoming environment for learners, staff and all other stakeholders.

#### 7.1.2 Scope

This policy applies to all registered learners at ICPPD in relation to their activities on ICPPD academic and training programme including training events, work placements and supervision.

When registering on an ICPPD programme, learners agree to abide by the Code of Conduct. Learners are required to familiarise themselves with this Code, and with all other relevant College regulations and policies which are outlined in the Quality Assurance Manual (available publicly on the ICPPD website) and Learner Handbook (available on Moodle).

Learners should respect fellow-learners, staff, property and activities of ICPPD, any staff/supervisors or property linked to off-site programme activities, associated venues and partners (e.g., outreach sites, volunteer and placement organisations, etc.), including local residents where appropriate.

'The College' is defined as any ICPPD event or anywhere the ICPPD provides education and training.

#### 7.1.3 Code of Conduct

- Each learner shall behave in a manner which ensures that all staff and learners are treated with dignity and respect.
- Learners are expected to always behave responsibly, and to not engage in any activity which might bring the good name of the College, fellow learners or staff into disrepute.
- Every learner shall behave in a manner which does not disrupt the functioning of the College
- Learners must conform to the directions of the academic and support staff members in the performance of their duties.
- Every learner shall behave in a manner which ensures that the College's property and facilities are used appropriately.
- The property of all members of the ICPPD community shall be respected.
- It is the responsibility of the learner to always maintain communication with the College, maintain current contact details on the College files, and inform the College of any reason for non-participation in their studies.

- Learners are required to attend punctually the classes, assessments, learner support and internal supervision sessions for which they have registered and to which they have been assigned. Class attendance and etiquette regulations apply to both face-to-face and virtual class environments.
- It is the learners' responsibility to familiarise themselves with the Assessment Standards that govern the programme upon which they are enrolled and the policies and procedures of the College, to which they must adhere.
- Every learner shall comply with their academic programme requirements and with all associated ICPPD policies including but not limited to Learner Attendance, Assessment and Examination regulations, Registration and Fees Policies.
- Every learner shall approach their academic and professional endeavours with honesty and integrity.
- Every learner shall respect the intellectual property rights in the work others produce individually or in partnership with them.
- Learners who are members of any professional body (e.g., IACP), should conduct themselves within the general ethics, professional attitude and integrity of the body and comply with any professional guidelines in so far as they are applicable to Learners. However, a breach of professional ethics may also amount to a breach of ICPPD College requirements.
- When engaged on placement/client work, learners are required to conduct themselves in such a way as to reflect credit on the College and its learners, and not to bring ICPPD or the volunteer/placement organisation into disrepute.
- Learners should comply with the reasonable and lawful instruction of College and/or volunteer/placement organisation staff and their disciplinary procedures
- Codes governing the conduct in ICPPD venues (outreach centres) and the use of their facilities, equipment or services should be adhered to
- Criminal Offences shall be referred to the Civil Authorities.

## 7.2 Rights of Learners

The provisions of the Learner Code of Conduct are without prejudice to the legal rights of learners.

- In the context of the Code, no person may be charged twice with the same offence in relation to the same incident.
- No member of the Disciplinary Committee, Academic Board or Board of Directors shall prosecute or be a witness in any case which he or she is assigned to adjudicate.
- In the case of major offences, the learner shall be given notice in writing, through the appropriate channel, detailing the precise charge being made and the basic facts alleged to constitute the offence.
- In the case of major offences, the learner has the right to speak in their own defence, to call witnesses and to cross-examine prosecution witnesses. The learner may also have representation of their choice at any hearing. The learner must submit the name(s) and profession of the person(s) who will be representing them to the Registrar 48 hours in advance of the meeting. Failure to do so may mean that the meeting will be postponed for a period. The Disciplinary Committee reserves the right to have a legal advisor present if it is deemed appropriate, or to seek legal advice on any matter arising before or during the hearing.



### 7.3 Roles and Responsibilities

The Academic Board is responsible for formally approving this policy and for overseeing its implementation.

All staff of the College are expected to ensure that those who infringe the Learner Code of Conduct and Discipline are identified and dealt with according to the College regulations.

The Academic Director in consultation with the Registrar is responsible for deciding whether disciplinary procedures are warranted.

The Registrar is responsible for carrying out an investigation.

The Academic Board is responsible for convening a Disciplinary Committee.

All staff and learners of ICPPD College are jointly responsible for the effective implementation of this policy.

Learners are required to cooperate with any investigation under this policy, including provision of additional information and attendance at any necessary interviews or hearings. Failure to cooperate may be a disciplinary matter.

Appeals - the Registrar is responsible for managing Appeals. The Academic Board is responsible for convening an Appeals Committee.

### 7.4 Learner Disciplinary Policy

#### 7.4.1 General Principles

ICPPD is committed to fair, equitable and appropriate disciplinary procedures. This policy is intended to ensure a proportionate and timely resolution of issues.

Learner Disciplinary Procedure shall be invoked where a learner has allegedly acted contrary to the Learner Code of Conduct.

Where appropriate, all reasonable efforts shall be made to resolve a case informally before formal procedures are invoked.

At each stage of this procedure the learner should be:

- Asked to explain why the inappropriate behaviour has occurred
- Counselling as to why it is unacceptable
- Advised/reminded of the support services available, where appropriate
- Encouraged to be accompanied at meetings and put forward their views
- Kept informed of actions/outcomes
- Advised of the possible consequences of any further inappropriate behaviour.

No disciplinary consequence will be imposed upon a learner until the case has been investigated. The College may, however, take action to protect staff, learners, clients and the public, and/or to fulfil the professional responsibilities of its staff, during an investigation

Learners are required to cooperate fully with any hearing. Failure to cooperate is itself a disciplinary matter.

Subject to concerns for the welfare and wellbeing of others, the disciplinary process is confidential to the College, and should only be discussed with those who are a part of the proceedings (including those who support the parties), or for the purposes of the proper functioning of the College. Breaches



of confidentiality may lead to disciplinary action being taken. This does not prevent ICPPD from fulfilling its safeguarding responsibility, or from acting in accordance with legal and regulatory reporting requirements.

At all stages of the Disciplinary Procedures the learner will have the right to be accompanied by a representative. Where the learner chooses to exercise this right then prior notification of the person to accompany them and their status must be given to the Registrar prior to any formal hearing or informal meeting.

Attention is drawn to the ICPPD Appeals Procedures.

Record Keeping. Records are kept of any relevant meeting, whether formal or informal, and ICPPD College's privacy statement and policy on Retention Periods apply to such records.

#### 7.4.2 Breaches of Learner Code of Conduct

Any act or omission, which affects adversely the rights of any other member of the academic community (staff or fellow-Learner), or which disrupts the orderly and responsible conduct of any ICPPD activity, or which violates any College regulation, (or those of placement organisations) shall constitute a breach of discipline and be dealt with under the College disciplinary procedures.

This policy is intended to ensure that responses are fair, reasonable and proportionate. In furtherance of these aims the College distinguishes between different levels of infringements of Code of Conduct and College Regulations.

In particular, and without prejudice to the generality of the foregoing, the following are examples of offences:

##### **Minor Breaches:**

This includes, but is not limited to:

- minor incidents of disorderly conduct which obstructs activities of the College or any person carrying out their normal duties
- minor disruption of classroom activities
- conduct which is disruptive of, or which may disrupt, teaching, study or engagement in client work.
- conduct which is likely to interfere with any meeting or other activity, including non-academic and social activities, within the College or organised by the College.
- minor damage to property of the college (or any support facility used by the college)
- minor damage to personal property of other members of the ICPPD community
- breach of housekeeping rules
- eating and drinking in unauthorised areas
- smoking in unauthorised areas
- being in unauthorised areas without permission
- failure to identify oneself on request
- an activity (including social conduct and engagement with social media) which adversely affects, or is likely to adversely affect, the reputation of the ICPPD, the learners, members of staff or the relevant profession.

##### **Major Breaches:**

This includes, but is not limited to:



- Conduct which interferes, or is likely to interfere, with good health safety and welfare at work practices as defined in the College's Safety Statement
- Conduct interfering with the proper conduct of assessments.
- Academic Impropriety as outlined in ***Academic Impropriety in Assessments (Quality Assurance Manual, Section C, Chapter 4, 4.7)***.
- repeated minor offences including refusal or failure to pay a fine or comply with any other penalty (subject to the right of appeal applicable) imposed for offences against the Code of Conduct
  
- harassment, bullying, abusive or dangerous behaviour or threats to the person
- acts or omissions by learners while outside the College engaged in client work, volunteering activity, clinical practice or assignment, organised by the College, or while representing the College which would breach these regulations if committed in ICPPD.
- actions intended to cause harm to the College or its members
- Incitement or encouragement of any other person or persons to do any of the aforementioned things/breach the Code of Discipline.
- furnishing false information to the College with intent to deceive
- forging, alteration, or misuse of College documents, records, or identification cards
- serious damage to property of the college
- serious damage to the personal property of other members of the ICPPD community

**Gross Misconduct:**

This includes, but is not limited to,

- assault causing serious harm (including sexual assault)
- serious or repeated harassment
- extreme nuisance
- serious damage to property (or any support facility used by the college)
- use of alcohol/illegal substances
- any activity that could raise child protection concerns or concerns for vulnerable adult

## 7.5 Reporting a Breach of Conduct

**Minor Breaches of Conduct:**

Allegations or incidents of a minor breach of the Code of Conduct should be reported to the relevant Programme Leader.

**Major Breaches of Conduct:**

Allegations of a major breach of conduct should be brought to the notice of the Registrar for the next appropriate action to be taken.

**Gross Misconduct:**

Allegations of gross misconduct should be reported to the Registrar for the next appropriate action to be taken.





If a learner is alleged to have behaved in such a manner that, if proven in a court of law, they would have committed a criminal offence the College may suspend the Learner Disciplinary Procedure and refer the matter to the appropriate authorities.

Anonymous allegations about a learner will not be considered.

## 7.6 Learner Disciplinary Process

### 7.6.1 General Principles

It is expected that in most cases a progressive, staged approach to learner discipline will be implemented.

Written records will be maintained at each stage of the procedure.

At any stage in the process the Registrar may determine that the suspected infringement cannot be substantiated, in which case no further action shall be taken, and no record shall be retained in the learner file.

The learner has the right of appeal against the findings at any of the stages of the process. At the completion of each of the stages in the procedures where there is written communication, the learner will be advised in writing of how they may take the matter further, if they so wish.

### 7.6.2 Minor Breaches of Learner Code of Conduct – Informal Process

In cases of minor infringements, ICPPD seek to resolve disciplinary matters informally, and as close to the time of the incident as possible.

Usually, the first stage of these is a conversation between the staff member and the learner, unless there are compelling reasons not to do so. This respects the rights of the learner, ensures that his or her voice is heard, and establishes conditions for a timely, managed resolution.

In many instances the infringement will be of a minor nature and as such can be dealt with locally and informally by the member of staff most closely involved, by way of advice and guidance to correct the behaviour or conduct. This can include advice that any repetition or escalation of the behaviour could lead to disciplinary proceedings under these Regulations.

In the case of a minor breaches relating to damage of property, these should be reported to and dealt with by the College Manager.

In certain cases, a record of the incident may be placed on the learner's file, removable after six months, provided there are no further instances of misconduct.

Where a resolution cannot be found at the informal stage, the Registrar should be notified and the **Formal Process** is followed.

### 7.6.3 Formal Process – Stage 1

The Formal Process – Stage 1 will be followed where

- the Informal Stage has not brought about a resolution
- there is repetition of Minor Breaches of Conduct
- the alleged incident constitutes a Major Breach of Conduct.

The Registrar (or other senior member of staff who has not had prior involvement in the situation), will carry out an investigation, unless it is determined that any one of the following apply:

- The matter is not sufficiently serious to justify disciplinary action



- The alleged breach cannot be substantiated
- A Major Breach of the Code of Conduct or College regulations has occurred, in which case more prompt action is required
- A decision is taken to report the matter to statutory authorities
- The matter should be dealt with under another of the College's Policies or Procedures.

A learner who is the subject of a complaint of misconduct and against whom a criminal charge is pending or who is the subject of police investigation may be suspended or excluded immediately by the College Manager pending either the disciplinary hearing or a trial.

#### Investigation:

- i) The Registrar shall review all information and / or documentation.
- ii) Any investigation into an alleged breach of conduct should include where appropriate the offer of a meeting with the learner who is the subject of the allegation and should inform them that they will have the right to be accompanied to the meeting.
- iii) The invitation to any such meeting should be in writing and should inform the learner of the evidence that has been presented against him or her, and their right to be accompanied to this meeting.
- iv) Where possible this invitation should give the learner at least 5 working days' notice of the meeting. This period may be shortened if a delay may adversely affect the welfare or health of any person, including the learner.
- v) The Registrar, accompanied by another staff member, should interview the learner, and give the learner an opportunity to respond.
- vi) The Registrar may, if appropriate, interview any other person involved.
- vii) If, following the investigation, Registrar considers it appropriate, then a summary finding can be made. In reaching a decision on whether the matter should be dealt with summarily, the Registrar should consider the nature of the allegation and whether the possible outcomes would be sufficient. The Registrar will have discretion to consider the individual extenuating or aggravating circumstances of a matter. For example, extenuating circumstances (e.g., illness at the time of the incident) may exist which justify the matter being dealt with summarily. Aggravating circumstances (e.g., a disciplinary record) may justify the matter being dealt with under the formal procedures.
- viii) If, following the investigation, Registrar does not consider it appropriate to make a summary finding, then he or she may refer the case under the Formal Processes to the Disciplinary Committee.
- ix) A decision will normally be relayed to the learner in writing within 10 working days of the completion of the investigation. The investigation should be completed where reasonably possible within 30 working days of notification to the Registrar of the alleged breach.
- x) A copy of the decision will be kept on the learner's file

#### Outcomes of the Investigation/Summary Decision:

If the Registrar is satisfied that there has been a breach of the Code of Conduct, one or more of the following courses of action may be taken:

- resolve that no action be taken
- informal warning (not held on learner's file)

- requirement for a verbal or written apology
- guidance and advice regarding future behaviour
- issue a written warning to the learner indicating the consequences of future misconduct, to be entered into the learner's academic file removable any period up to three months after the completion of the learners' programme, at the discretion of the Registrar, provided there are no further instances of misconduct
- require the learner to compensate for or make good any damage caused to property
- impose a fine payable to ICPPD.
- Reasonable restrictions on communication with named learners or members of staff, either verbally or via written or electronic or other means.
- require the learner to vacate immediately any ICPPD owned, or ICPPD contracted or managed property, when their continued presence might affect the safety, security or welfare of other occupants at the property or ICPPD employees.
- confirm any disciplinary decision made by the officer in charge of a building, equipment or service, in cases which involve infringement of the Code governing the use of the building, equipment or service concerned.
- restrict access to any part of ICPPD's campuses (or its outreach sites or other contracted premises), or to any services of ICPPD, where this is deemed desirable for the welfare of other learners, or staff, or where continued access may interfere with the smooth running of that building or service or otherwise compromise it.

#### Appeal of Investigation Outcome/Summary Decision

The learner has a Right of Appeal of the summary decision to the Disciplinary Appeals Committee. This application should follow the procedure laid out in Section 7.7 below. Learners should note that an appeal must be lodged with the Registrar within 10 working days of notification of the summary finding.

#### 7.6.4 Formal Process – Stage 2

Where, in the judgement of the Registrar, the alleged infringement should not be dealt with by summary means then the Registrar shall notify the learner as soon as possible, in writing, that the College is preparing a disciplinary case against him/her.

- i) The Registrar will request the Academic Board to convene a Disciplinary Committee and to appoint a chair. No person with any prior involvement in the case shall be appointed to the Committee.
- ii) The Registrar will provide the learner with the following information:
  - The intended time, date and place of the hearing, and give at least 10 working days' notice
  - The consequence of non-attendance
  - The precise charge being made
  - The facts alleged to constitute the alleged offence
  - The evidence that will be presented to the Disciplinary Committee
  - The format of the scheduled disciplinary hearing

- The learner's right to be accompanied/ represented, their right to hear the evidence presented, to challenge the evidence and to present their own evidence.
- iii) Within 10 working days of the hearing, or as soon as possible thereafter, the Chair of the Disciplinary Committee will notify the learner of the outcome of the hearing. Where the Chair of the Disciplinary Committee is satisfied that an incident of misconduct has arisen, the associated disciplinary action shall be identified, if any, and the learner's right of appeal explained.
- iv) In the event of the learner choosing to exercise their right of appeal, a Request for Appeal must be lodged within 10 working days from the date of receipt of Outcome of the Disciplinary Committee. An appeal will not be considered where the duly completed form is not lodged within this timeline, other than in exceptional circumstances, such as illness, that may have prevented the learner acting within the timeline
- v) The application of the disciplinary outcome may be suspended pending the outcome of the appeal. In the event of the learner not choosing to exercise his / her right of appeal, the disciplinary outcome shall be applied.

#### Disciplinary Outcomes:

Possible outcomes from the Disciplinary Committee include the outcomes available following the Investigation/Summary Decision plus the following where appropriate:

- Dismissal of the case
- Requirement for a verbal or written apology
- Informal warning (not held on learner's file)
- Formal warning, to be held on the learner's file for a period up to completion of studies and which will be considered in any future disciplinary cases within that timescale
- Requirement for the learner to attend a relevant support service
- Reasonable restrictions on communication with named learners or members of staff, either verbally or via written or electronic or other means
- require the learner to vacate immediately any ICPPD owned, or ICPPD contracted or managed property, when their continued presence might affect the safety, security or welfare of other occupants at the property or ICPPD employees
- confirm any disciplinary decision made by the officer in charge of a building, equipment or service, in cases which involve infringement of the Code governing the use of the building, equipment or service concerned.
- restrict access to any part of ICPPD's campuses (or its outreach sites or other contracted premises), or to any services of ICPPD, where this is deemed desirable for the welfare of other learners, or staff, or where continued access may interfere with the smooth running of that building or service or otherwise compromise it.

#### **With the authority of the Academic Board the following outcomes may be carried out:**

- report to the relevant professional or statutory body (this may be mandatory)
- suspend or exclude the learner with immediate effect from ICPPD programmes



**A further major breach of conduct subsequent to the issuance of a formal reprimand shall normally lead to the learner's suspension or expulsion. A permanent record of shall be retained on the learner's file.**

## 7.7 Appeals of the Learner Disciplinary Process

A learner may appeal a Summary Judgement or an Outcome of the Disciplinary Committee.

### 7.7.1 Grounds for Appeal

A learner wishing to appeal may do so only on the following grounds:

- A material procedural irregularity has occurred which has impacted significantly on the validity of the original hearing and the subsequent consequence.
- The consequence imposed by the disciplinary hearing was too severe bearing in mind the circumstances of the case.
- The learner wishes to present new evidence or information of extenuating circumstances which were not known to the Disciplinary Committee. In this case the learner must also show good reason why such circumstances could not have been made known prior to or at the Disciplinary Hearing.
- That the decision was made without due regard to the facts, evidence or circumstances.

### 7.7.2 Disciplinary Appeals Process

- To initiate an appeal, the learner must submit to the Registrar a Request for Appeal in writing, within 10 working days from the date of receipt of Summary Judgement or an Outcome of the Disciplinary Committee.
- The Written Request for Appeal should outline the grounds for Appeal. The Request for Appeal should be accompanied by supporting documentation that shall be relied upon in the event of a hearing.
- The purpose of the Appeal Hearing is to examine the Grounds of Appeal made by the learner. Its purpose is not to re-hear the case.
- An Appeal should not result in any increase in consequence.
- Upon receipt of the written Request for Appeal, the Registrar will evaluate whether the Appeal is rooted in one of the named grounds for Appeal. The Registrar may dismiss an appeal which does not provide a prima facie case.
- Within 10 working days of the Request for Appeal, or as soon as possible thereafter, the Registrar will acknowledge receipt, and inform the learner whether an Appeals Committee will be constituted or the Request for an Appeal has been refused.
- Where the Request for an Appeal has been refused, then any outcome decided by the Disciplinary Committee and suspended pending Appeal will be implemented.

Where the Appeal comes within the stated grounds, then the Registrar will ask the Academic Council to convene a Disciplinary Appeals Committee.

#### Proceedings of the Disciplinary Appeals Committee

The purpose of the Disciplinary Appeals Committee is to ascertain whether the complaint was correctly and appropriately addressed, whether all evidence was examined and whether proper procedures were followed.

- A minute taker will also be appointed to the Disciplinary Appeals Committee and will take minutes of the process.



- ii) The Disciplinary Appeals Committee will be convened where reasonably possible within 30 working days of the receipt of the written request for an appeal.
- iii) The learner must be given a minimum of 10 working days' notice of the date of Appeal Hearing.
- iv) The Disciplinary Appeals Committee will be provided with a written report from the Registrar, which details the management of the investigation to date and the appeal documentation submitted by the learner.
- v) In exceptional circumstances, where it is considered that witnesses are appropriate (such as where a witness is introducing information that was not available at the previous meeting), witnesses may be invited to attend the Appeal Hearing.
- vi) The Disciplinary Appeals Committee will have power to gather any additional information that is necessary to evaluate the grounds of the appeal. This may include further interviews and meetings with the parties and witnesses to any alleged infringement.
- vii) It is the responsibility of the Disciplinary Appeals Committee to ensure fairness and accuracy. Every effort will be made to resolve disputes as quickly as possible.
- viii) The Appeals Committee will, where reasonably possible, issue its findings within 10 working days of the Appeals hearing. The Chair has responsibility for ensuring that the decision is communicated to the parties as soon as is practicable. If any delay is necessary, the Chair will inform all parties, in writing, outlining the proposed change to the timeline and the rationale for the delay.

#### Outcome of the Appeal:

The Outcome of the Appeal may rescind or uphold the original decision of the Disciplinary Committee. Where the grounds for an appeal related to the severity of a consequence, then the Appeals Committee may vary the consequence, if this does not result in any increase in consequence.

The Appeals Committee will report to the Academic Board within 30 working days of the Appeal hearing.

The Chair of the Disciplinary Appeals Committee will notify the appellant, in writing, of the following:

- The outcome of the appeal.
- Formal confirmation that the Disciplinary Appeals Committee's decision is final and binding on all parties.

### 7.3 Audit/Review of Learner Disciplinary Policies and Procedures

ICPPD is committed to ensuring that Learner Disciplinary Policies and procedures are adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the QA and Enhancement Committee, reporting to Academic Board.

## Chapter 8 Policies relating to ICPPD's Clinical Practice

### 8.1 Clinical Practice in ICPPD's Counsellor/Psychotherapist Training Programme

Clinical Practice is at the heart of ICPPD's /all counselling and psychotherapy training and education programmes. The focus in this module is to facilitate the learner as they move from classroom to clinical practice by developing their awareness around the client's process and their own and for the learner to integrate the skills and theory from other modules

The Clinical Practice modules will develop an informed and critical appraisal of the core principles associated with an adaptable integrative framework for practice. Prior to commencing any work with clients each learner is required to meet an ICPPD Fitness to Practise Panel (comprised of Programme Leader and Core Tutor) for an in-depth interview, as outlined in **Section C, Chapter1, 1.5**.

### 8.2 Clinical Practice Co-ordinator (CPCO)

The Clinical Practice Coordinator, supported by the Programme Leader, is responsible for the overall delivery and administration of the Clinical Practice module. This role is described in further details in *Section A, Chapter2, 2.3.7* and in the Clinical Practice Handbook.

### 8.3 Clinical Practice Handbook

The ICPPD **Clinical Practice Handbook** is provided to ICPPD learners/trainee counsellors for the purpose of outlining the necessary requirements, and to give clear directions to learners commencing work with clients as part of their training. It outlines the policies and procedures they must adhere to in order to meet the requirements of these modules. The Clinical Practice Handbook is available to learners via Moodle.

The Clinical Practice Handbook covers areas for learners including:

- Monitoring ICPPD Learner's Level of Professional Development and Readiness to practise
- Information/Professional Guidelines for ICPPD Learners Relevant to their Client Work
- Supervision during Client Work
- Next Steps/Getting Ready for Clinical Practice
- Professional Bodies' Codes of Ethics

### 8.4 Clinical Practice - Over-arching policies

#### 8.4.1 ICPPD Client Complaint Procedure

##### **Policy Statement**

ICPPD requires that it's learners/trainees treat clients with consideration and respect, and act within the Ethical Framework for Good Practice in Counselling and Psychotherapy of the Irish Association for Counselling and Psychotherapy (IACP).

All complaints/allegations made by Clients, either directly to ICPPD will be taken seriously, given due consideration in a timely fashion, and processed in a manner which respects the confidentiality of all parties.



ICPPD aims to resolve all complaints/allegations as close to the source of the complaint/allegation as possible.

### **Purpose**

This procedure will be used to investigate and resolve a complaint or allegation made by a client with regard to an ICPPD learner/trainee within a Clinical Practice Host Organisation.

Any other issue is processed through the **ICPPD's Code of Conduct, Section C, Chapter 7, 7.1.**

### **Confidentiality**

- All aspects of any complaint/allegation will be dealt with in a confidential manner.
- The complainant, and the person complained against, will be kept aware of the extent and detail of any information divulged to the other, on a need-to-know basis.
- The maintenance of the file, and administration of the procedure in connection with a complaint/allegation, will be the responsibility of a named individual, normally ICPPD's Academic Director.
- The complainant, and the person complained against will be provided with the Academic Director's name and contact details, and be informed that they are responsible for the conduct of the relevant proceedings.

### **Initial Procedure**

1. Any complaint/allegation made (by telephone, email or in person) either directly to ICPPD or to the Clinical Practice Host Organisation is reported immediately to the Clinical Practice Manager (Host Organisation) and/or ICPPD's Academic Director, as appropriate.
2. The Academic Director contacts the complainant to discuss the nature of the complaint/allegation, and to determine the complaints preferable outcome.
3. If the complainant declines to pursue the complaint/allegation, the date of the conversation, and the fact that the matter is considered closed, is entered on the Learner's File by the Academic Director.

The Learner and the Clinical Practice Coordinator/In-house Supervisor (CPCO) will be advised by the Academic Director of the complaint/allegation to prevent any possible reoccurrence of the alleged situation.

The Learner is required to inform their External Supervisor of the complaint/allegation and confirm this to the Academic Director and the Clinical Practice Coordinator/In-house Supervisor (CPCO).

4. If the complainant wishes to pursue the complaint/allegation, the Academic Director will send them a copy of this ICPPD Complaints Procedure and request a formal, written statement outlining the substantive matters which give rise to the complaint/allegation.
5. The Academic Director informs the Learner, the CPCO, the Programme Leader, and the Clinical Practice Manager - Host Organisation of the complaint/allegation, at the earliest opportunity, and no later seven days after its receipt.
6. A brief note of the complaint/allegation is recorded and dated on the Learner's File.

### **Formal Procedure - Stage One (Mediation)**

1. When a written complaint in relation a learners' engagement with Client Work is received by ICPPD, the Academic Director will acknowledge receipt, in writing, within 48 hours.





2. The Learner, the CPCO, the Programme Leader and the Clinical Practice Manager (Host Organisation) are notified by the Academic Director that a formal complaint has been made and the Learner is requested to make a written response to the complaint/allegation, within seven days.
3. On receipt of the Learners' Written statement, the Academic Director copies it to the complainant, and follows-up to check if the complainant wishes to proceed with the complaint/allegation.
4. If the complainant declines to pursue the complaint/allegation, the date of the communication, and the fact that the matter is considered closed, is entered on the Learner's File by the Academic Director. All parties are advised of this conclusion.
5. If the complainant still wishes to pursue the complaint/allegation, a meeting is arranged, to facilitate mediation between the two parties, as soon as possible, but in not more than two weeks.
6. The Learner is offered the opportunity to be accompanied at the meeting by one other person of their choice (and are strongly advised that this person should be their External Supervisor). This individual may not actively participate in the meeting.
7. The complainant is also offered the opportunity to be accompanied at the meeting by one other person of their choice, but that person may not actively participate in the meeting.
8. This mediation meeting is chaired by an independent individual, appointed by the Academic Director in consultation with the College Registrar, who has not been involved in the process to date, and is independent of both parties. Both parties are invited to identify their desired resolution, and are facilitated to achieve a consensus in this matter, if possible.
9. Following this meeting, the chair/mediator issues a report to the College, which is copied to both parties, who are invited to make a written response (within 48 hours).
10. If the complaint/allegation is resolved at this meeting, the complainant, learner/trainee counsellor/psychotherapist, CPCO, Programme Leader and the Clinical Practice Manager (Host Organisation) will receive written confirmation that the matter is resolved, and that fact is noted on the learner's file.
11. If the complainant is not satisfied with the outcome of the meeting, they will be asked to give a written statement to this effect to the Academic Director, and the complaint will move to Stage 2.

#### **Formal Procedure - Stage Two (Ethics Committee)**

1. The purpose of this stage is to review the conduct of the complaint/allegation; to ensure that procedure has been followed correctly; to provide the complainant/client with an opportunity to appeal the outcome of Stage 1; and to arrive at a final ruling for the resolution of the complaint.
2. The Academic Director informs all parties involved in writing of the continuing complaint, and that the procedure has moved to Stage Two.
3. The Academic Director informs the chairperson of the Academic Board of the complaint/allegation and requests that the Ethics Committee meets to review the complaint/allegation.
4. The Ethic Committee is convened, is provided with all relevant paperwork prior to the date of the meeting and reviews the overall conduct of the complaint/allegation to date.
5. Following its deliberations, the findings of the Ethics Committee, in the form of a written report, is provided to the Chair of the Academic Board. The Academic Board determines the final outcome based on this Report and informs all relevant parties, in writing, of the outcome.
6. The communication to the complainant will inform the complainant that ICPPD has exhausted its procedure for complaint, and that they may refer their complaint to the Irish Association for Counselling and Psychotherapy (IACP).



### Note(s):

1. Any complaint by a client about an ICPPD trainee that is made directly to the Host Organisation will be dealt with internally by the organisation unless the complaint relates to the trainee's competency as a counsellor/therapist. Information from the Host Organisation relating to the clinical competencies of the trainee will be communicated to ICPPD via the **CP03 - Contract: ICPPD with Clinical Practice Organisation and the Learner**
2. Learner Client Work may be suspended at any stage during the above complaint/allegation investigation process, pending the outcome of the process
3. At any stage this procedure may divert into the **ICPPD Learner Disciplinary procedures, Quality Assurance Manual, Section C, Chapter 7, 7.3.**

#### 8.4.2 ICPPD Child Protection Policy

##### NOTE:

- In line with IACP requirements, ICPPD learners are not insured to work with clients under the age of 18 years, however, ICPPD is cognisant that, in working with Clients in therapy, issues may present which fall within the remit of the Children First: National Guidance for the Protection and Welfare of Children.
- All citizens are mandatorily required to report any activity that endangers a child, and therefore as trainee therapists, they are also required to report such activity.
- As trainee therapists they do not have the experience and required competency to attend court proceedings, nor are they mandated persons.
- Therefore, it is important that trainee therapists have a Designated Person/team in their clinical practice host organisation with whom to receive support on this serious matter.
- Trainee therapists are advised (required) to familiarise themselves with the overall relevant policies and procedures of each organisation they are involved (in clinical practice) with.
- *"The Court of Appeal ruled on Friday 8th December 2023, that child protection legislation does not require Health Service Executive therapists and other mandated people to report to Tusla when an adult discloses historic child abuse, provided there is no reasonable suspicion that a child is at risk."* (IACP - December News 2023).

This protocol outlines how trainee therapists should handle disclosures by adult clients that they were sexually abused in childhood (historical abuse).

The **HSE's Children First Guidelines** indicate that all such disclosures should be notified to the HSE Children and Family Social Work Services. This is because the alleged perpetrator may still pose a risk to children. The guidelines regard child protection as paramount even where it opposes the client's current interests. In practice, however, the client's co-operation is likely to be needed to substantiate any third-party notification.

Trainee Therapists are to familiarise themselves with IACP/IAHIP, and other professional bodies, policies on Child Protection.

Prior to commencing Clinical Practice at ICPPD, learners are required to complete the short **Túsla Child Protection Online training course**.

### **What the trainee therapist needs to let the client know at the start of the counselling work**

In the first session, or as early as possible, the trainee counsellor/psychotherapist needs to discuss their trainee status and confidentiality and its limits. This is outlined in general terms in the ***counselling contract form [CP04]***.

Confidentiality may need to be breached where the most serious concerns arise, such as:

1. A concern about a risk to the client.
2. A concern about a risk to another person.
3. A concern about a risk of abuse to children.

The client needs to know that the above reporting obligations will arise if childhood sexual abuse is discussed with their trainee counsellor, and the abuser is identified.

(ICPPD recognises that it has a duty of care to the welfare of the client, which always must be balanced with child protection concerns.)

### **If the client discloses that they suffered childhood sexual abuse**

If the client discloses the name and address/identity, of their childhood abuser to their trainee counsellor/psychotherapist, an obligation to report the information to the Social Work Dept. will arise.

The trainee counsellor/psychotherapist must make any such disclosures to the Designated Person/ Director of Counselling/Manager at the Placement Host Organisation. The case will usually be considered by clinical practice host organisation in accordance with the organisation's own Children First reporting procedures (which may involve the trainee counsellor/psychotherapist involved, the in-house supervisor, the director of client services) and appropriate action identified.

The trainee counsellor/psychotherapist is also required to discuss their concerns and seek support from ICPPD – through the CPCO, In-House Supervisor. If a report is being made, trainee therapists are required to inform the Children First Designated Person at ICPPD - the Academic Director - who will guide and support them also.

The obligation to report will be discussed between trainee therapist and client, and the client will be supported in making their own report.

Where the client is not opposed to making the report but feels unready to make the report to, the designated person at the clinical practice host organisation (in consultation with the trainee counsellor/psychotherapist), may do so on their behalf.

The client may be opposed to making the report. In such circumstances the **Designated Person** of the clinical practice organisation will be charged with the responsibility to discuss the relevant points of the client's disclosure with the Duty Social Worker without giving names or identifying information. The Duty Social Worker should be told that the client is unable to provide more information at this time. The S/W response should be noted. The clinical practice host organisation usually does not put a limit on the time it will continue to work with the client prior to full reporting of information. The client will continue to receive counselling and be supported in preparing to



make the report, however, it may not be appropriate for the trainee counsellor/psychotherapist to continue working with that client during that time.

Trainee counsellors/psychotherapists are advised to please discuss this with the designated person within the clinical practice host organisation, and the CPCO/Academic Director at ICPPD, to adhere with their policies on the matter.

### **Follow-up actions which are not the responsibility of the trainee counsellor/psychotherapist**

The S/W department at the clinical practice host organisation will conduct a risk assessment.

If the client has already made a report to HSE or Gardaí, the date and location of the notification should be established, and followed-up in writing to the HSE, by the Designated Person at the clinical practice host organisation. This report may be made via phone call but should be confirmed in a follow-up email.

If the client identifies a child who may be at immediate risk of abuse, then the HSE Children and Family Services must be notified immediately through the Designated Person at the clinical practice host organisation.

### **Sharing of information**

The trainee counsellor/psychotherapist should not hold information regarding possible child protection issues alone. The Designated Person at the clinical practice host organisation and the Academic Director at ICPPD should be informed immediately, or as soon as practicable.

The trainee counsellor/psychotherapist should bring the matter to their next external and in-house supervision sessions.

Written records should be kept of all child-protection-related activity on each case.

**Further Reading/Useful Resources are listed for learners in the Clinical Practice Handbook.**

## 8.5 Suicide Prevention Officer

In line with the *IACP Guidelines for Counselling and Psychotherapy Placements (November 2018)*, ICPPD have included as part of their site evaluation, the identification of a Suicide Prevention Officer in each clinical placement (Clinical Practice Handbook - CP13: Clinical Practice Host Organisation - Site Evaluation/Visit).

To further support the safety of learners, clients and staff, the Academic Director is the designated Suicide Prevention Officer for ICPPD.

## 8.6 Audit/Review of Clinical Practice Policies and Procedures

ICPPD is committed to ensuring that Clinical Practice Policies and procedures are adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the Academic Director and the Clinical Practice Co-Ordinator.



## Chapter 9 Communication, Information Management and Public Information

### 9.1 Communication at ICPPD

ICPPD has a responsibility to provide information about the programmes on offer and ensures that the information provided about programmes and the College is accurate, impartial, objective and readily accessible. ICPPD is committed to ongoing monitoring of its information provision to provide clear and accurate information to the public, prospective applicants, enrolled learners and other stakeholders.

The Academic Director has oversight and provides final approval of content of the public information provided about programmes, in conjunction with the Marketing and Recruitment Consultant and the Registrar.

ICPPD recognises that communication plays a vital role in developing motivation and commitment of staff and learners, and therefore actively supports a positive communications process within the College. ICPPD is committed to supporting the necessary communications channels that allow for easier transfer of information, data, messages, etc. within the College (between staff), between learners, and ICPPD/staff to learners and *vice versa*. ICPPD utilises the following communications systems to facilitate its liaison with learners and staff:

- **Front Office/Reception**

The front office desk/reception is open 09.30hrs to 17.00hrs, Tuesday-Friday, throughout the year, to facilitate current and prospective learner queries in relation to College programmes, academic regulations and requirements, and any learner support issues. Individual issues/queries are dealt with in a professional and confidential manner, in accordance with College policy which is outlined on the Learner Handbook and on the [ICPPD's website](#).

- **Email**

To facilitate the part-time and outreach nature of ICPPD programmes, ICPPD utilises email to distribute much of its general communication, academic and promotional information to stakeholders.

Learners are required to supply ICPPD with a current email address on registration and are advised that this mail address required to register them is the primary channel for the College's communication with its registered Learners. It is the learners' responsibility to keep ICPPD informed of any changes to this email address.

Staff are required to supply ICPPD with their email address on application to the College and are advised that this mail address is the primary channel for the College's communication with staff members. It is the staff members' responsibility to keep ICPPD informed of any changes to this email address. ICPPD provides each staff member/Tutor an ICPPD address which, where possible, should be used for all College communication.

ICPPD has established an acceptable usage policy (AUP) to govern the use and interaction with College IT facilities.

- **College Website**

ICPPD utilises the College website to convey generic communications and programme specific promotional information. The College requests that each staff member directs any feedback, either personal or from third parties to the Marketing and Recruitment Consultant to facilitate continued accuracy of information provided on [ICPPD's website](#). The Academic Director has overall responsibility for the academic content and the Registrar has oversight of the QA processes involved.

Following QQI Re-Engagement and approval of ICPPD's QA policies and procedures, ICPPD's QA Manual will be available publicly via the ICPPD website.



- **Social Media**

ICPPD has a presence on Facebook, Twitter and LinkedIn (and continues to explore the option to engage with other social networking sites) to facilitate its public communications in relation to programme promotion and communication of College activities. These sites are maintained by the Marketing and Recruitment Consultant in conjunction with the Academic Director.

- **Programme Promotional Material**

ICPPD generates programme material for promotional purposes, and relevant information is updated, generated and reviewed annually to ensure accuracy of content. The continual development and updating of [ICPPD's website](#) further enhances the information which is available to external and internal stakeholders.

Each programme offered within ICPPD has a detailed programme information leaflets which provides accurate and comprehensive information regarding the programme. The Academic Director has overall responsibility for the academic content of marketing material and the Registrar has oversight of the QA processes involved.

- **College Newsletters**

ICPPD generates newsletters, for different target audiences at varying intervals throughout the year, and these are as follows:

*Encounter* – a Public Newsletter used to convey generic communications and programme information (including workshop details and promotional material. This document is prepared by the Marketing Working Group, with input from ICPPD staff).

### **External Communications (Formal/Informal)**

- **External Higher Education Links**

ICPPD has developed and continues to build links to other higher education institutions through different and varied fora, particularly through events organised by awarding bodies and relevant professional bodies.

Links have been developed with other third-level colleges, clinical practice support organisations, and employer organisations. ICPPD is an institutional member of the relevant professional bodies and the local chamber of commerce.

Owing to the part-time nature of the academic staff within the College, academic staff may teach with more than one provider and therefore the College's links with organisations are strengthened.

ICPPD additionally has various informal methods of communication with outside individuals and organisations through personal contacts, telephone, e-mail, postal service, press releases and photographs, attending conferences/seminars and the website.

## **9.2 Information Management System**

The learner information management system at ICPPD is currently hosted on password protected Microsoft Excel spreadsheets which contain details of learners' name, address, date of birth, programme attending and modules for which the learner is registered. Each new learner registered at the College is assigned a unique learner number on the database, which remains with them for the duration of their studies with the institute. Access to the database is strictly limited to authorised ICPPD administration staff only.

Assessment details are inputted into this system and retained for future requirements. Broadsheets of assessment results are generated for each academic year for submission to the Examination Board for certification of learners' academic achievements. These electronic broadsheet files are stored indefinitely in the secure filing system of ICPPD, accessible only to internal staff and may only be amended as authorised by the Registrar. Any amendments required to broadsheets following their



ratification by an Examination Board will be notified, in writing by the Registrar, to the External Examiner(s) and relevant external agency.

### 9.3 Confidentiality

ICPPD is obliged to maintain confidentiality in certain aspects of its work. It is the duty of all staff members to observe such confidentiality, and to maintain this confidentiality after they have left the employment of the College.

### 9.4 Acceptable Usage Policy

ICPPD has developed internal procedures to define the conditions under which a College employee, contractor, vendor or other person may access the College's Information Technology (IT) systems, which includes computer, internet, email and related services and hardware, software and network facilities. These procedures are distributed to users of the College's IT systems during the relevant induction processes, with which all users of the systems within or on behalf of the College are expected to be familiar with and to comply.

### 9.5 Audit/Review of Communication, Information Management and Public Information Policies and Procedures

ICPPD is committed to ensuring that Communication, Information Management and Public Information and procedures are adhered to and used in a proper and adequate manner. The college endeavours to continuously review the process on an annual basis so that the best practice is always applied. This review will be conducted by the Executive Management Committee.



## Chapter 10 Data Protection, Record Management and Retention Policy

### 10.1 Data Protection Policy / GDPR

ICPPD is committed to the collection of reliable information and data and its analysis to support informed decision making and to ensure the College can establish what is working well and what needs attention in an accessible and timely manner. Data is collected where it will add value and reflects the context and mission of the College. Procedures are in place to ensure accuracy, security and integrity of data and information obtained.

In accordance with and to implement its function as an educational provider ICPPD is required to collect, use and keep personal data and information about its staff, learners and other individuals who come in contact with the College for a variety of purposes. The purposes of processing data on staff, learners and other individuals with whom ICPPD has dealings include the organisation and administration of courses, evaluation activities, consultancy/project work, the recruitment and payment of staff, compliance with statutory obligations, and compliance with legal obligations to funding bodies and government, etc.

The *Data Protection Acts 2018* and the *EU General Data Protection Regulation (GDPR)* confer rights on individuals as well as responsibilities on those persons processing personal data. Data Protection is the safeguarding of the privacy rights of individuals in relation to the processing of their personal data. Personal data, both automated and manual, are data relating to a living individual who is or can be identified, either from the data or from the data in conjunction with other information that is in, or is likely to come into, the possession of the Data Controller.

ICPPD is committed to processing data in accordance with the *Data Protection Acts 2018* and *the EU General Data Protection Regulation (GDPR)*. Data Protection/GDPR is covered at Staff Induction Sessions and specific training sessions.

In accordance with the requirement of the Act, ICPPD has appointed a Data Protection Officer to ensure that the College continues to meet its legislative requirements.

### 10.2 Scope of Record Management and Retention Policy

The scope of this policy impacts on all areas of ICPPD's organisational work practices, e.g., those who create records in any format, have access to records, or have management responsibility for staff engaged in any of these activities.

### 10.3 General Principles

ICPPD recognises the importance of effective records' management to:

- Meet statutory and legal requirements.
- Ensure that obsolete records (hard-copy and digital) which are of no longer relevant or needed are destroyed in an appropriate and confidential manner

ICPPD's information systems maintain secure, accurate and complete learner records and can generate reports for internal and external reporting purposes.

Records will also be held of Quality Assurance activity – including meetings, actions planned, actions completed, key performance indicators etc. This information will be centrally stored and backed up.

ICPPD 'business critical data' is backed up off-site to a secure datacentre to ensure their full retention and no data loss in the event of a disaster at the College. Additionally, the College also performs backup of laptops and PCs using cloud-based servers to ensure that the business has continuity of service. All data retained on the home-server is secure to specific user groups thereby ensuring that information is only seen by relevant staff. All I.T. activity is supported by a professional I.T. company.





## 10.4 Responsibilities for Record Management

All employees, academic and non-academic, are responsible for making and keeping the records of their work and should actively create the records needed to do business: record decisions and actions taken, generally document activities for which they are responsible, and take care of records so that information is well organised, updated regularly, and filled appropriately to facilitate access and retrieval.

Company law dictates how company records are kept and maintained in order that they are legally valid evidence of ICPPD company activities e.g., minutes of relevant meetings, company accounts. In addition, statutory considerations and references for financial records include audit, Revenue Commissioners' guidelines, and the acceptability of copies of documents as evidence in a court of law. There are varying duration requirements for financial record retention in accordance with the requirements of the Companies Acts and the Revenue Commissioners guidelines. ICPPD adheres to the requirements of this legislation in the management of its company-related and financial records.

Records such as legal documentation, financial information, minutes of Board of Directors and Academic Board meetings, learner registration data, examination broadsheet, etc. are backed up off-site to a secure datacentre.

Legislation also governs personnel practices and the associated records which are required to demonstrate legally valid evidence of a company's activities in relation to the protection of its employees. ICPPD adheres to the requirements of all employment legislation in the management and maintenance of its personnel records. All employees have a responsibility to ensure that ICPPD records are created with care and due consideration for the records management process.

The Administration Staff, with the oversight of the Registrar, have responsible for the veracity, completeness and confidentiality of all learner data.

The Registrar as Data Protection Officer has responsibility for the disposal of records with due care and confidentiality. A Record Disposal Form should be used in every instance and should be jointly signed-off by the College Manager/Designate and Registrar before disposing of any records occurs.

A designated GDPR Officer has oversight of all practices to ensure compliance with Data Protection and GDPR legislation. All staff receive GDPR training and attend relevant workshops to keep informed of best practice.

The College has installed an onsite anti-virus package on all PCs to help protect systems from any external threat. This process is supported by contracted an IT Company.

## 10.5 Records Retention Schedule

ICPPD has defined the length of time a series of records or individual files should be retained.

Documents/Records	Detail	Retention requirement
<b>Learners Records including application, interview records, garda vetting, assessment material and other correspondence</b>	Current or Deferred Learners	Hard copies of documents held on site and used on a regular basis. Digital Records stored and with password protected access.
	BA Learners – Graduated/Withdrawn	Records stored for 2 years post completion/notice of withdrawal.



<b>Documents/Records</b>	<b>Detail</b>	<b>Retention requirement</b>
	ICPPD self-certified (short) courses	Records stored for 1 year post completion/notice of withdrawal
	Unsuccessful applicants / Applicants who did not enrol	1 Year from start of relevant programme
	Attendance Records	2 years after course completion
<b>Governance Boards and Committees</b>	Minutes of meetings	Permanent
	Strategic Plans	Permanent
	General Correspondence	3 Years
<b>Academic Programmes</b>	Programme Design and Validation/Revalidation	Permanent
	Professional Body Accreditation	Permanent
	College Handbooks	Permanent
	Timetables	Permanent
<b>Assessments and Awards</b>	Examination Broadsheets	Permanent
	Conferring Records	Permanent
	Alumni Records	Permanent
	Examination papers	Permanent
	External Examiner Reports	Permanent
	Appeals / Complaints records	2 years from learners' completion/withdrawal from programme
<b>Financial Records</b>	Signed Financial Statements/Audited accounts	Permanent
	Legal documents and correspondence	Permanent
	Insurance, tenders, Purchase requisition, purchase invoices, payments, creditor statements,	7 years
	Payroll – Payslips, Revenue documents, Staff Expenses	7 years
	Bank statements, documentation and correspondence	7 Years
<b>I.T.</b>	Staff I.T. profiles / user accounts	Duration of employment plus 1 month
	Learner I.T. profiles	As per learner records above.
<b>Human Resources</b>	Personal records - employment history, qualifications, training, salary increments, appointment and termination details, medical	Permanent



Documents/Records	Detail	Retention requirement
	certificates, leave of absence, birth certificates, staff development	
	Staff personal information (address, email, telephone)	Duration of employment plus 1 year
	Application forms and any other documentation in respect of applicants who are not offered positions	1 year after advertisement of post
	Health & safety statements and records	Permanent
	Staff Training / CPD records	Permanent

Records schedules are reviewed annually and updated as necessary, to consider

- statutory or regulatory requirements
- the nature or responsibilities of the programme documented by the records
- the function of the records
- how records are used or the way that staff members use records to do their work
- the content of a series of records by including records previously managed separately or by splitting one series into two or more series
- the data collected as part of the electronic record-keeping system transfer of functions from one area to another

## 10.6 Data Protection of Personal Information

ICPPD applies policies in accordance with those as required for data controllers/processor under *Data Protection Acts 2018* and *EU General Data Protection Regulation (GDPR)*. Data Protection requirements cover information, kept in paper records, electronic systems or on computer, which relates to a living person.

The following are the seven principles on which the GDPR is based –

1. Lawfulness, fairness and transparency
2. Purpose limitation
3. Data minimization
4. Accuracy
5. Storage limitations
6. Integrity and confidentiality
7. Accountability

In summary, GDPR specifies that information must be adequate, relevant and not excessive in relation to the purposes for which it is kept. It also states that this data should not be kept for longer than is necessary. ICPPD adheres to the requirements of this legislation in the management of all its records.



ICPPD is committed to adhering to sound procedures to ensure the integrity, security, privacy and confidentiality of all its records, and will use its best endeavours to protect information that is received in confidence and on the understanding that it will be treated as such.

In accordance with the requirement of the Act, ICPPD has appointed a Data Protection Officer to ensure that the College continues to meet its legislative requirements.

ICPPD's Privacy Policy is available on the ICPPD website.

#### 10.6.1 GDPR Risk Register

A GDPR risk register is maintained to identify and mitigate against data protection risks and to demonstrate compliance in the event of a regulatory investigation or audit.

#### 10.6.2 Data Protection Impact Assessment

A Data Protection Impact Assessment (DPIA) is conducted where any envisaged project/initiative/service which involves data processing and would be likely to effect in a high risk to the rights and freedoms of natural persons. This is relevant and used when a new data processing technology is being introduced at the college.

### 10.7 Audit/Review of Data Protection, Record Management and Retention Policy

ICPPD is committed to ensuring that this records management and data retention policy is adhered to and used in a proper and adequate manner. It endeavours to continuously review the process on an annual basis so that the best practice is always applied to the records management system. This review will be conducted by the QA and Enhancement committee.